



# **Boot media**

## **Install and maintain**

NetApp  
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# Boot media

## Overview of boot media replacement - ASA A800

- You must replace the failed component with a replacement FRU component you received from your provider.
- It is important that you apply the commands in these steps on the correct controller:
  - The *impaired* controller is the controller on which you are performing maintenance.
  - The *healthy* controller is the HA partner of the impaired controller.

## Check onboard encryption keys - ASA A800

Prior to shutting down the impaired controller and checking the status of the onboard encryption keys, you must check the status of the impaired controller, disable automatic giveback, and check which version of ONTAP is running on the system.

If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see the [Synchronize a node with the cluster](#).

### Steps

1. Check the status of the impaired controller:
  - If the impaired controller is at the login prompt, log in as `admin`.
  - If the impaired controller is at the LOADER prompt and is part of HA configuration, log in as `admin` on the healthy controller.
  - If the impaired controller is in a standalone configuration and at LOADER prompt, contact [mysupport.netapp.com](https://mysupport.netapp.com).
2. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:  

```
system node autosupport invoke -node * -type all -message  
MAINT=number_of_hours_downh
```

The following AutoSupport message suppresses automatic case creation for two hours: `cluster1:*>  
system node autosupport invoke -node * -type all -message MAINT=2h`
3. Check the version of ONTAP the system is running on the impaired controller if up, or on the partner controller if the impaired controller is down, using the `version -v` command:
  - If `<Ino-DARE>` or `<1Ono-DARE>` is displayed in the command output, the system does not support NVE, proceed to shut down the controller.
  - If `<Ino-DARE>` is not displayed in the command output, and the system is running ONTAP 9.5, go to [Option 1: Check NVE or NSE on systems running ONTAP 9.5 and earlier](#).
  - If `<Ino-DARE>` is not displayed in the command output, and the system is running ONTAP 9.6 or later, go to [Option 2: Check NVE or NSE on systems running ONTAP 9.6 and later](#).
4. If the impaired controller is part of an HA configuration, disable automatic giveback from the healthy controller: `storage failover modify -node local -auto-giveback false` or `storage failover modify -node local -auto-giveback-after-panic false`

## Option 1: Check NVE or NSE on systems running ONTAP 9.5 and earlier

Before shutting down the impaired controller, you need to check whether the system has either NetApp Volume Encryption (NVE) or NetApp Storage Encryption (NSE) enabled. If so, you need to verify the configuration.

### Steps

1. Connect the console cable to the impaired controller.
2. Check whether NVE is configured for any volumes in the cluster: `volume show -is-encrypted true`

If any volumes are listed in the output, NVE is configured and you need to verify the NVE configuration. If no volumes are listed, check whether NSE is configured.

3. Check whether NSE is configured: `storage encryption disk show`
  - If the command output lists the drive details with Mode & Key ID information, NSE is configured and you need to verify the NSE configuration.
  - If NVE and NSE are not configured, it's safe to shut down the impaired controller.

## Verify NVE configuration

### Steps

1. Display the key IDs of the authentication keys that are stored on the key management servers: `security key-manager query`
  - If the `Restored` column displays `yes` and all key managers display `available`, it's safe to shut down the impaired controller.
  - If the `Restored` column displays anything other than `yes`, or if any key manager displays `unavailable`, you need to complete some additional steps.
  - If you see the message `This command is not supported when onboard key management is enabled`, you need to complete some other additional steps.
2. If the `Restored` column displayed anything other than `yes`, or if any key manager displayed `unavailable`:
  - a. Retrieve and restore all authentication keys and associated key IDs: `security key-manager restore -address *`

If the command fails, contact NetApp Support.

[mysupport.netapp.com](https://mysupport.netapp.com)
  - b. Verify that the `Restored` column displays `yes` for all authentication keys and that all key managers display `available`: `security key-manager query`
  - c. Shut down the impaired controller.
3. If you saw the message `This command is not supported when onboard key management is enabled`, display the keys stored in the onboard key manager: `security key-manager key show -detail`
  - a. If the `Restored` column displays `yes` manually back up the onboard key management information:
    - Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
    - Enter the command to display the OKM backup information: `security key-manager backup show`

- Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
- Return to admin mode: `set -priv admin`
- Shut down the impaired controller.

b. If the `Restored` column displays anything other than `yes`:

- Run the key-manager setup wizard: `security key-manager setup -node target/impaired node name`



Enter the customer's onboard key management passphrase at the prompt. If the passphrase cannot be provided, contact [mysupport.netapp.com](https://mysupport.netapp.com)

- Verify that the `Restored` column displays `yes` for all authentication key: `security key-manager key show -detail`
- Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
- Enter the command to display the OKM backup information: `security key-manager backup show`
- Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
- Return to admin mode: `set -priv admin`
- You can safely shutdown the controller.

## Verify NSE configuration

### Steps

1. Display the key IDs of the authentication keys that are stored on the key management servers: `security key-manager query`
  - If the `Restored` column displays `yes` and all key managers display `available`, it's safe to shut down the impaired controller.
  - If the `Restored` column displays anything other than `yes`, or if any key manager displays `unavailable`, you need to complete some additional steps.
  - If you see the message `This command is not supported when onboard key management is enabled`, you need to complete some other additional steps
2. If the `Restored` column displayed anything other than `yes`, or if any key manager displayed `unavailable`:
  - a. Retrieve and restore all authentication keys and associated key IDs: `security key-manager restore -address *`  
  
If the command fails, contact NetApp Support.  
  
[mysupport.netapp.com](https://mysupport.netapp.com)
  - b. Verify that the `Restored` column displays `yes` for all authentication keys and that all key managers display `available`: `security key-manager query`
  - c. Shut down the impaired controller.

3. If you saw the message This command is not supported when onboard key management is enabled, display the keys stored in the onboard key manager: `security key-manager key show -detail`
  - a. If the Restored column displays `yes`, manually back up the onboard key management information:
    - Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
    - Enter the command to display the OKM backup information: `security key-manager backup show`
    - Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
    - Return to admin mode: `set -priv admin`
    - Shut down the impaired controller.
  - b. If the Restored column displays anything other than `yes`:
    - Run the key-manager setup wizard: `security key-manager setup -node target/impaired node name`

Enter the customer's OKM passphrase at the prompt. If the passphrase cannot be provided, contact [mysupport.netapp.com](https://mysupport.netapp.com)
    - Verify that the Restored column shows `yes` for all authentication keys: `security key-manager key show -detail`
    - Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
    - Enter the command to back up the OKM information: `security key-manager backup show`

Make sure that OKM information is saved in your log file. This information will be needed in disaster scenarios where OKM might need to be manually recovered.
    - Copy the contents of the backup information to a separate file or your log. You'll need it in disaster scenarios where you might need to manually recover OKM.
    - Return to admin mode: `set -priv admin`
    - You can safely shut down the controller.

## Option 2: Check NVE or NSE on systems running ONTAP 9.6 and later

Before shutting down the impaired controller, you need to verify whether the system has either NetApp Volume Encryption (NVE) or NetApp Storage Encryption (NSE) enabled. If so, you need to verify the configuration.

1. Verify whether NVE is in use for any volumes in the cluster: `volume show -is-encrypted true`

If any volumes are listed in the output, NVE is configured and you need to verify the NVE configuration. If no volumes are listed, check whether NSE is configured and in use.

2. Verify whether NSE is configured and in use: `storage encryption disk show`
  - If the command output lists the drive details with Mode & Key ID information, NSE is configured and you need to verify the NSE configuration and in use.
  - If no disks are shown, NSE is not configured.

- If NVE and NSE are not configured, no drives are protected with NSE keys, it's safe to shut down the impaired controller.

## Verify NVE configuration

1. Display the key IDs of the authentication keys that are stored on the key management servers: `security key-manager key query`



After the ONTAP 9.6 release, you may have additional key manager types. The types are KMIP, AKV, and GCP. The process for confirming these types is the same as confirming external or onboard key manager types.

- If the Key Manager type displays `external` and the Restored column displays `yes`, it's safe to shut down the impaired controller.
  - If the Key Manager type displays `onboard` and the Restored column displays `yes`, you need to complete some additional steps.
  - If the Key Manager type displays `external` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
  - If the Key Manager type displays `onboard` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
2. If the Key Manager type displays `onboard` and the Restored column displays `yes`, manually back up the OKM information:
    - a. Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
    - b. Enter the command to display the key management information: `security key-manager onboard show-backup`
    - c. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
    - d. Return to admin mode: `set -priv admin`
    - e. Shut down the impaired controller.
  3. If the Key Manager type displays `external` and the Restored column displays anything other than `yes`:
    - a. Restore the external key management authentication keys to all nodes in the cluster: `security key-manager external restore`  
  
If the command fails, contact NetApp Support.  
  
[mysupport.netapp.com](https://mysupport.netapp.com)
    - b. Verify that the Restored column equals `yes` for all authentication keys: `security key-manager key query`
    - c. Shut down the impaired controller.
  4. If the Key Manager type displays `onboard` and the Restored column displays anything other than `yes`:
    - a. Enter the onboard security key-manager sync command: `security key-manager onboard sync`



Enter the customer's 32 character, alphanumeric onboard key management passphrase at the prompt. If the passphrase cannot be provided, contact NetApp Support. [mysupport.netapp.com](https://mysupport.netapp.com)

- b. Verify the Restored column shows `yes` for all authentication keys: `security key-manager key query`
- c. Verify that the Key Manager type shows `onboard`, and then manually back up the OKM information.
- d. Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
- e. Enter the command to display the key management backup information: `security key-manager onboard show-backup`
- f. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
- g. Return to admin mode: `set -priv admin`
- h. You can safely shut down the controller.

## Verify NSE configuration

1. Display the key IDs of the authentication keys that are stored on the key management servers: `security key-manager key query -key-type NSE-AK`



After the ONTAP 9.6 release, you may have additional key manager types. The types are KMIP, AKV, and GCP. The process for confirming these types is the same as confirming external or onboard key manager types.

- If the Key Manager type displays `external` and the Restored column displays `yes`, it's safe to shut down the impaired controller.
  - If the Key Manager type displays `onboard` and the Restored column displays `yes`, you need to complete some additional steps.
  - If the Key Manager type displays `external` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
  - If the Key Manager type displays `external` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
2. If the Key Manager type displays `onboard` and the Restored column displays `yes`, manually back up the OKM information:
    - a. Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
    - b. Enter the command to display the key management information: `security key-manager onboard show-backup`
    - c. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
    - d. Return to admin mode: `set -priv admin`
    - e. You can safely shut down the controller.
  3. If the Key Manager type displays `external` and the Restored column displays anything other than `yes`:



- a. Restore the external key management authentication keys to all nodes in the cluster: `security key-manager external restore`

If the command fails, contact NetApp Support.

[mysupport.netapp.com](https://mysupport.netapp.com)

- b. Verify that the `Restored` column equals `yes` for all authentication keys: `security key-manager key query`
  - c. You can safely shut down the controller.
4. If the `Key Manager` type displays `onboard` and the `Restored` column displays anything other than `yes`:
- a. Enter the onboard security key-manager sync command: `security key-manager onboard sync`  
  
Enter the customer's 32 character, alphanumeric onboard key management passphrase at the prompt. If the passphrase cannot be provided, contact NetApp Support.  
  
[mysupport.netapp.com](https://mysupport.netapp.com)
  - b. Verify the `Restored` column shows `yes` for all authentication keys: `security key-manager key query`
  - c. Verify that the `Key Manager` type shows `onboard`, and then manually back up the OKM information.
  - d. Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
  - e. Enter the command to display the key management backup information: `security key-manager onboard show-backup`
  - f. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
  - g. Return to admin mode: `set -priv admin`
  - h. You can safely shut down the controller.

## Shut down the controller - ASA A800

After completing the NVE or NSE tasks, you need to complete the shutdown of the impaired controller. Shut down or take over the impaired controller using the appropriate procedure for your configuration.

### Option 1: Most systems

After completing the NVE or NSE tasks, you need to complete the shutdown of the impaired controller.

#### Steps

- a. Take the impaired controller to the `LOADER` prompt:

| If the impaired controller displays... | Then...                         |
|----------------------------------------|---------------------------------|
| The <code>LOADER</code> prompt         | Go to Remove controller module. |

| If the impaired controller displays...                   | Then...                                                                                                                                                                                                                                                         |
|----------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Waiting for giveback...                                  | Press Ctrl-C, and then respond <code>y</code> when prompted.                                                                                                                                                                                                    |
| System prompt or password prompt (enter system password) | <p>Take over or halt the impaired controller from the healthy controller:</p> <pre>storage failover takeover -ofnode impaired_node_name</pre> <p>When the impaired controller shows Waiting for giveback..., press Ctrl-C, and then respond <code>y</code>.</p> |

- b. From the LOADER prompt, enter: `printenv` to capture all boot environmental variables. Save the output to your log file.



This command may not work if the boot device is corrupted or non-functional.

## Option 2: System is in a MetroCluster



Do not use this procedure if your system is in a two-node MetroCluster configuration.

To shut down the impaired controller, you must determine the status of the controller and, if necessary, take over the controller so that the healthy controller continues to serve data from the impaired controller storage.

- If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see [Synchronize a node with the cluster](#).
- If you have a MetroCluster configuration, you must have confirmed that the MetroCluster Configuration State is configured and that the nodes are in an enabled and normal state (`metrocluster node show`).

### Steps

1. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message
MAINT=number_of_hours_downh
```

The following AutoSupport message suppresses automatic case creation for two hours: `cluster1:*> system node autosupport invoke -node * -type all -message MAINT=2h`

2. Disable automatic giveback from the console of the healthy controller: `storage failover modify -node local -auto-giveback false`
3. Take the impaired controller to the LOADER prompt:

| If the impaired controller is displaying... | Then...                                                      |
|---------------------------------------------|--------------------------------------------------------------|
| The LOADER prompt                           | Go to the next step.                                         |
| Waiting for giveback...                     | Press Ctrl-C, and then respond <code>y</code> when prompted. |

| If the impaired controller is displaying...              | Then...                                                                                                                                                                                                                                                   |
|----------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| System prompt or password prompt (enter system password) | <p>Take over or halt the impaired controller from the healthy controller:</p> <pre>storage failover takeover -ofnode impaired_node_name</pre> <p>When the impaired controller shows Waiting for giveback..., press Ctrl-C, and then respond <i>y</i>.</p> |

## Replace the boot media - ASA A800

To replace the boot media, you must remove the impaired controller module, install the replacement boot media, and transfer the boot image to a USB flash drive.

### Step 1: Remove the controller module

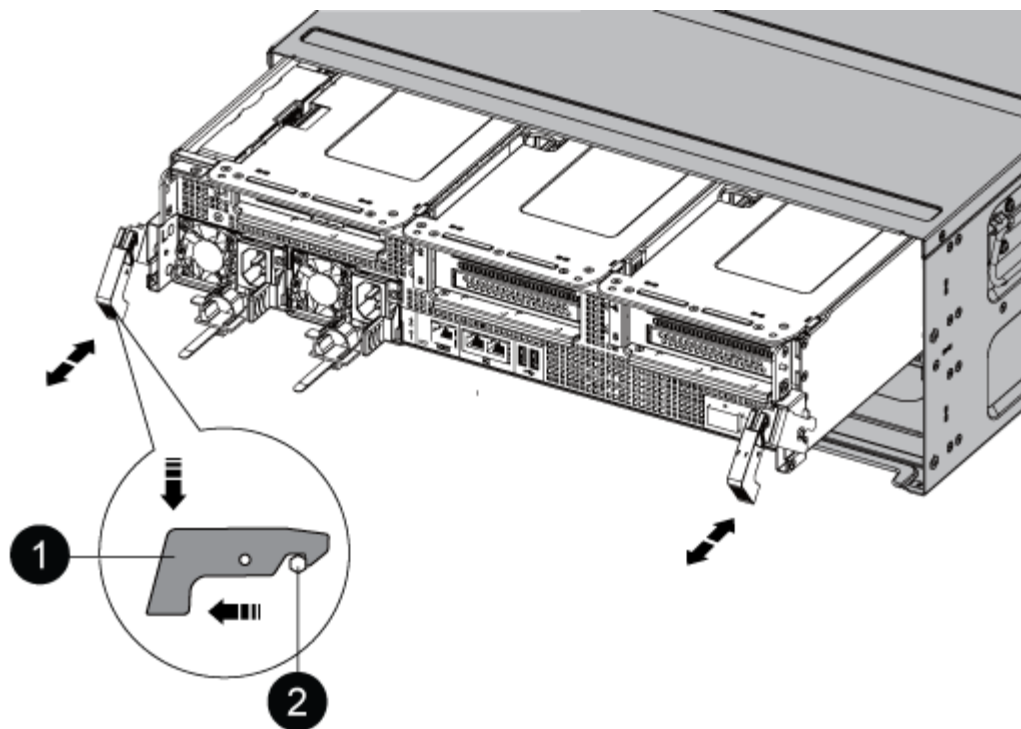
You must remove the controller module from the chassis when you replace the controller module or replace a component inside the controller module.

1. If you are not already grounded, properly ground yourself.
2. Unplug the controller module power supplies from the source.
3. Release the power cable retainers, and then unplug the cables from the power supplies.
4. Loosen the hook and loop strap binding the cables to the cable management device, and then unplug the system cables and SFP and QSFP modules (if needed) from the controller module, keeping track of where the cables were connected.

Leave the cables in the cable management device so that when you reinstall the cable management device, the cables are organized.

5. Remove the cable management device from the controller module and set it aside.
6. Press down on both of the locking latches, and then rotate both latches downward at the same time.

The controller module moves slightly out of the chassis.



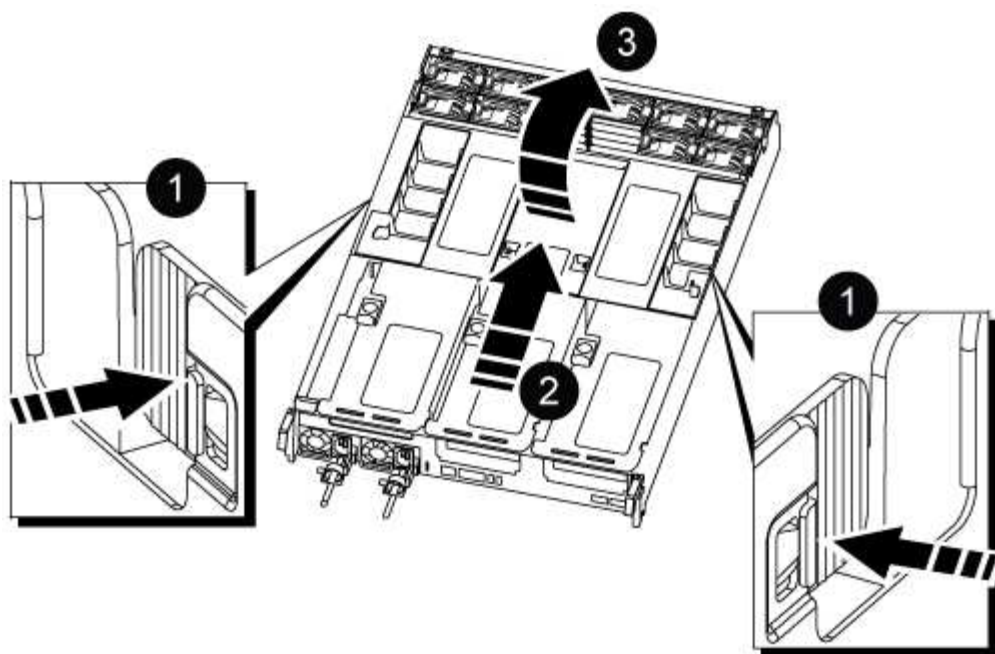
|   |               |
|---|---------------|
| 1 | Locking latch |
| 2 | Locking pin   |

7. Slide the controller module out of the chassis.

Make sure that you support the bottom of the controller module as you slide it out of the chassis.

8. Place the controller module on a stable, flat surface, and then open the air duct:

- Press in the locking tabs on the sides of the air duct toward the middle of the controller module.
- Slide the air duct toward the fan modules, and then rotate it upward to its completely open position.



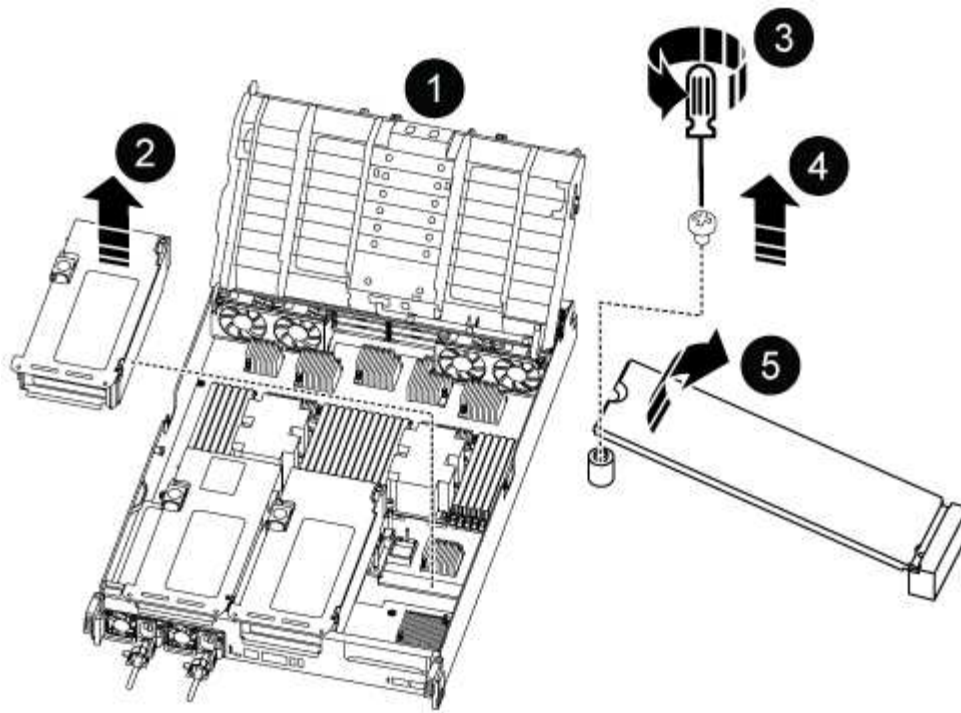
|   |                                     |
|---|-------------------------------------|
| 1 | Air duct locking tabs               |
| 2 | Slide air duct towards fan modules  |
| 3 | Rotate air duct towards fan modules |

## Step 2: Replace the boot media

You locate the failed boot media in the controller module by removing Riser 3 on the controller module before you can replace the boot media.

You need a Phillips head screwdriver to remove the screw that holds the boot media in place.

1. Locate the boot media:



|   |                         |
|---|-------------------------|
| 1 | Air duct                |
| 2 | Riser 3                 |
| 3 | Phillips #1 screwdriver |
| 4 | Boot media screw        |
| 5 | Boot media              |

2. Remove the boot media from the controller module:

- Using a #1 Phillips head screwdriver, remove the screw holding down the boot media and set the screw aside in a safe place.
- Grasping the sides of the boot media, gently rotate the boot media up, and then pull the boot media straight out of the socket and set it aside.

3. Install the replacement boot media into the controller module:

- Align the edges of the boot media with the socket housing, and then gently push it squarely into the socket.
- Rotate the boot media down toward the motherboard.
- Secure the boot media to the motherboard using the boot media screw.

Do not over-tighten the screw or you might damage the boot media.

4. Reinstall the riser into the controller module.

5. Close the air duct:
  - a. Rotate the air duct downward.
  - b. Slide the air duct toward the risers until it clicks into place.

### Step 3: Transfer the boot image to the boot media

The replacement boot media that you installed is without a boot image so you need to transfer a boot image using a USB flash drive.

#### Before you begin

- You must have a USB flash drive, formatted to FAT32, with at least 4GB capacity.
- A copy of the same image version of ONTAP as what the impaired controller was running. You can download the appropriate image from the Downloads section on the NetApp Support Site
  - If NVE is enabled, download the image with NetApp Volume Encryption, as indicated in the download button.
  - If NVE is not enabled, download the image without NetApp Volume Encryption, as indicated in the download button.
- If your system is an HA pair, you must have a network connection.
- If your system is a stand-alone system you do not need a network connection, but you must perform an additional reboot when restoring the var file system.

#### Steps

1. Download and copy the appropriate service image from the NetApp Support Site to the USB flash drive.
  - a. Download the service image to your work space on your laptop.
  - b. Unzip the service image.

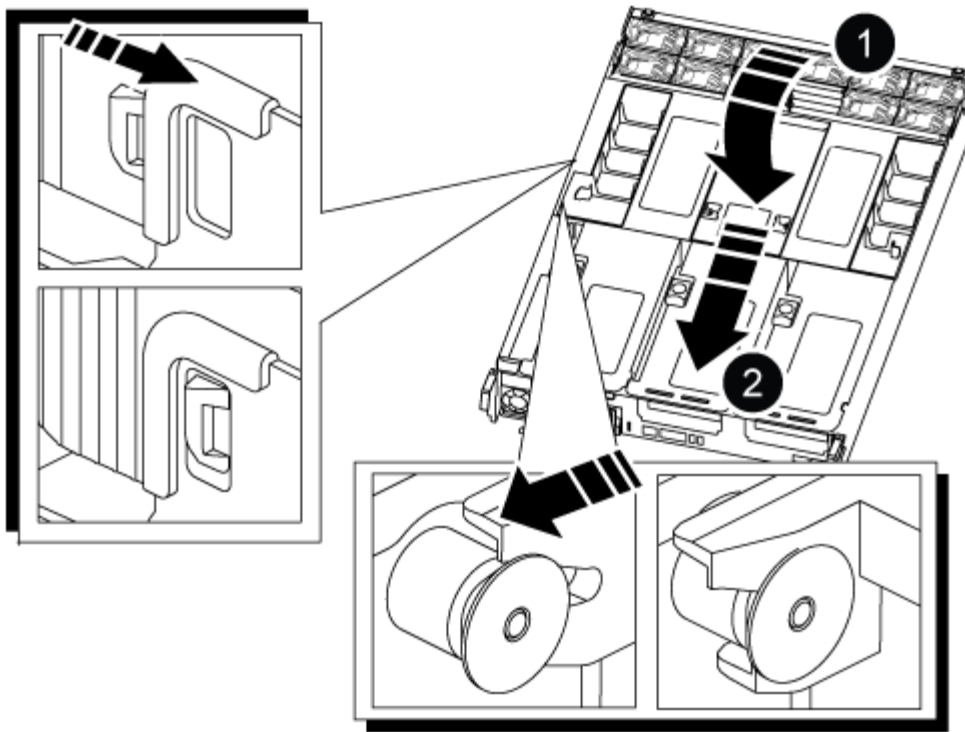


If you are extracting the contents using Windows, do not use WinZip to extract the netboot image. Use another extraction tool, such as 7-Zip or WinRAR.

There are two folders in the unzipped service image file:

- boot
  - efi
- c. Copy the efi folder to the top directory on the USB flash drive.

The USB flash drive should have the efi folder and the same Service Image (BIOS) version of what the impaired controller is running.
  - d. Remove the USB flash drive from your laptop.
2. If you have not already done so, close the air duct:
    - a. Swing the air duct all the way down to the controller module.
    - b. Slide the air duct toward the risers until the locking tabs click into place.
    - c. Inspect the air duct to make sure that it is properly seated and locked into place.



|   |          |
|---|----------|
| 1 | Air duct |
| 2 | Risers   |

- Align the end of the controller module with the opening in the chassis, and then gently push the controller module halfway into the system.
- Reinstall the cable management device and recable the system, as needed.

When recabling, remember to reinstall the media converters (SFPs or QSFPs) if they were removed.

- Plug the power cable into the power supply and reinstall the power cable retainer.
- Insert the USB flash drive into the USB slot on the controller module.

Make sure that you install the USB flash drive in the slot labeled for USB devices, and not in the USB console port.

- Gently push the controller module all the way into the system until the controller module locking hooks begin to rise, firmly push on the locking hooks to finish seating the controller module, and then swing the locking hooks into the locked position over the pins on the controller module.

The controller begins to boot as soon as it is completely installed into the chassis.

- Interrupt the boot process by pressing Ctrl-C to stop at the LOADER prompt.

If you miss this message, press Ctrl-C, select the option to boot to Maintenance mode, and then halt the controller to boot to LOADER.



# Boot the recovery image - ASA A800

Prior to shutting down the impaired controller and checking the status of the onboard encryption keys, you must check the status of the impaired controller, disable automatic giveback, and check which version of ONTAP is running on the system.

If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see the [Synchronize a node with the cluster](#).

## Steps

1. Check the status of the impaired controller:
  - If the impaired controller is at the login prompt, log in as `admin`.
  - If the impaired controller is at the LOADER prompt and is part of HA configuration, log in as `admin` on the healthy controller.
  - If the impaired controller is in a standalone configuration and at LOADER prompt, contact [mysupport.netapp.com](https://mysupport.netapp.com).

2. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message  
MAINT=number_of_hours_downh
```

The following AutoSupport message suppresses automatic case creation for two hours: `cluster1:*>`  
`system node autosupport invoke -node * -type all -message MAINT=2h`

3. Check the version of ONTAP the system is running on the impaired controller if up, or on the partner controller if the impaired controller is down, using the `version -v` command:
  - If `<Ino-DARE>` or `<1Ono-DARE>` is displayed in the command output, the system does not support NVE, proceed to shut down the controller.
  - If `<Ino-DARE>` is not displayed in the command output, and the system is running ONTAP 9.5, go to [Option 1: Check NVE or NSE on systems running ONTAP 9.5 and earlier](#).
  - If `<Ino-DARE>` is not displayed in the command output, and the system is running ONTAP 9.6 or later, go to [Option 2: Check NVE or NSE on systems running ONTAP 9.6 and later](#).
4. If the impaired controller is part of an HA configuration, disable automatic giveback from the healthy controller:  
`storage failover modify -node local -auto-giveback false` or `storage failover modify -node local -auto-giveback-after-panic false`

## Option 1: Check NVE or NSE on systems running ONTAP 9.5 and earlier

Before shutting down the impaired controller, you need to check whether the system has either NetApp Volume Encryption (NVE) or NetApp Storage Encryption (NSE) enabled. If so, you need to verify the configuration.

## Steps

1. Connect the console cable to the impaired controller.
2. Check whether NVE is configured for any volumes in the cluster: `volume show -is-encrypted true`

If any volumes are listed in the output, NVE is configured and you need to verify the NVE configuration. If no volumes are listed, check whether NSE is configured.

### 3. Check whether NSE is configured: `storage encryption disk show`

- If the command output lists the drive details with Mode & Key ID information, NSE is configured and you need to verify the NSE configuration.
- If NVE and NSE are not configured, it's safe to shut down the impaired controller.

## Verify NVE configuration

### Steps

1. Display the key IDs of the authentication keys that are stored on the key management servers: `security key-manager query`
  - If the `Restored` column displays `yes` and all key managers display `available`, it's safe to shut down the impaired controller.
  - If the `Restored` column displays anything other than `yes`, or if any key manager displays `unavailable`, you need to complete some additional steps.
  - If you see the message `This command is not supported when onboard key management is enabled`, you need to complete some other additional steps.
2. If the `Restored` column displayed anything other than `yes`, or if any key manager displayed `unavailable`:
  - a. Retrieve and restore all authentication keys and associated key IDs: `security key-manager restore -address *`  
  
If the command fails, contact NetApp Support.  
  
[mysupport.netapp.com](https://mysupport.netapp.com)
  - b. Verify that the `Restored` column displays `yes` for all authentication keys and that all key managers display `available`: `security key-manager query`
  - c. Shut down the impaired controller.
3. If you saw the message `This command is not supported when onboard key management is enabled`, display the keys stored in the onboard key manager: `security key-manager key show -detail`
  - a. If the `Restored` column displays `yes` manually back up the onboard key management information:
    - Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
    - Enter the command to display the OKM backup information: `security key-manager backup show`
    - Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
    - Return to admin mode: `set -priv admin`
    - Shut down the impaired controller.
  - b. If the `Restored` column displays anything other than `yes`:
    - Run the key-manager setup wizard: `security key-manager setup -node target/impaired node name`



Enter the customer's onboard key management passphrase at the prompt. If the passphrase cannot be provided, contact [mysupport.netapp.com](https://mysupport.netapp.com)

- Verify that the `Restored` column displays `yes` for all authentication key: `security key-manager key show -detail`
- Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
- Enter the command to display the OKM backup information: `security key-manager backup show`
- Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
- Return to admin mode: `set -priv admin`
- You can safely shutdown the controller.

## Verify NSE configuration

### Steps

1. Display the key IDs of the authentication keys that are stored on the key management servers: `security key-manager query`
  - If the `Restored` column displays `yes` and all key managers display `available`, it's safe to shut down the impaired controller.
  - If the `Restored` column displays anything other than `yes`, or if any key manager displays `unavailable`, you need to complete some additional steps.
  - If you see the message `This command is not supported when onboard key management is enabled`, you need to complete some other additional steps
2. If the `Restored` column displayed anything other than `yes`, or if any key manager displayed `unavailable`:
  - a. Retrieve and restore all authentication keys and associated key IDs: `security key-manager restore -address *`  
  
If the command fails, contact NetApp Support.  
  
[mysupport.netapp.com](https://mysupport.netapp.com)
  - b. Verify that the `Restored` column displays `yes` for all authentication keys and that all key managers display `available`: `security key-manager query`
  - c. Shut down the impaired controller.
3. If you saw the message `This command is not supported when onboard key management is enabled`, display the keys stored in the onboard key manager: `security key-manager key show -detail`
  - a. If the `Restored` column displays `yes`, manually back up the onboard key management information:
    - Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
    - Enter the command to display the OKM backup information: `security key-manager backup show`
    - Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.

- Return to admin mode: `set -priv admin`
- Shut down the impaired controller.

b. If the `Restored` column displays anything other than `yes`:

- Run the key-manager setup wizard: `security key-manager setup -node target/impaired node name`



Enter the customer's OKM passphrase at the prompt. If the passphrase cannot be provided, contact [mysupport.netapp.com](https://mysupport.netapp.com)

- Verify that the `Restored` column shows `yes` for all authentication keys: `security key-manager key show -detail`
- Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
- Enter the command to back up the OKM information: `security key-manager backup show`



Make sure that OKM information is saved in your log file. This information will be needed in disaster scenarios where OKM might need to be manually recovered.

- Copy the contents of the backup information to a separate file or your log. You'll need it in disaster scenarios where you might need to manually recover OKM.
- Return to admin mode: `set -priv admin`
- You can safely shut down the controller.

## Option 2: Check NVE or NSE on systems running ONTAP 9.6 and later

Before shutting down the impaired controller, you need to verify whether the system has either NetApp Volume Encryption (NVE) or NetApp Storage Encryption (NSE) enabled. If so, you need to verify the configuration.

1. Verify whether NVE is in use for any volumes in the cluster: `volume show -is-encrypted true`

If any volumes are listed in the output, NVE is configured and you need to verify the NVE configuration. If no volumes are listed, check whether NSE is configured and in use.

2. Verify whether NSE is configured and in use: `storage encryption disk show`
  - If the command output lists the drive details with Mode & Key ID information, NSE is configured and you need to verify the NSE configuration and in use.
  - If no disks are shown, NSE is not configured.
  - If NVE and NSE are not configured, no drives are protected with NSE keys, it's safe to shut down the impaired controller.

## Verify NVE configuration

1. Display the key IDs of the authentication keys that are stored on the key management servers: `security key-manager key query`



After the ONTAP 9.6 release, you may have additional key manager types. The types are KMIP, AKV, and GCP. The process for confirming these types is the same as confirming external or onboard key manager types.

- If the Key Manager type displays `external` and the Restored column displays `yes`, it's safe to shut down the impaired controller.
  - If the Key Manager type displays `onboard` and the Restored column displays `yes`, you need to complete some additional steps.
  - If the Key Manager type displays `external` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
  - If the Key Manager type displays `onboard` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
2. If the Key Manager type displays `onboard` and the Restored column displays `yes`, manually back up the OKM information:
    - a. Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
    - b. Enter the command to display the key management information: `security key-manager onboard show-backup`
    - c. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
    - d. Return to admin mode: `set -priv admin`
    - e. Shut down the impaired controller.
  3. If the Key Manager type displays `external` and the Restored column displays anything other than `yes`:
    - a. Restore the external key management authentication keys to all nodes in the cluster: `security key-manager external restore`

If the command fails, contact NetApp Support.

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    - b. Verify that the Restored column equals `yes` for all authentication keys: `security key-manager key query`
    - c. Shut down the impaired controller.
  4. If the Key Manager type displays `onboard` and the Restored column displays anything other than `yes`:
    - a. Enter the onboard security key-manager sync command: `security key-manager onboard sync`



Enter the customer's 32 character, alphanumeric onboard key management passphrase at the prompt. If the passphrase cannot be provided, contact NetApp Support.

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- b. Verify the Restored column shows `yes` for all authentication keys: `security key-manager key query`
- c. Verify that the Key Manager type shows `onboard`, and then manually back up the OKM information.

- d. Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
- e. Enter the command to display the key management backup information: `security key-manager onboard show-backup`
- f. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
- g. Return to admin mode: `set -priv admin`
- h. You can safely shut down the controller.

## Verify NSE configuration

1. Display the key IDs of the authentication keys that are stored on the key management servers: `security key-manager key query -key-type NSE-AK`



After the ONTAP 9.6 release, you may have additional key manager types. The types are KMIP, AKV, and GCP. The process for confirming these types is the same as confirming external or onboard key manager types.

- If the Key Manager type displays `external` and the Restored column displays `yes`, it's safe to shut down the impaired controller.
  - If the Key Manager type displays `onboard` and the Restored column displays `yes`, you need to complete some additional steps.
  - If the Key Manager type displays `external` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
  - If the Key Manager type displays `external` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
2. If the Key Manager type displays `onboard` and the Restored column displays `yes`, manually back up the OKM information:
    - a. Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
    - b. Enter the command to display the key management information: `security key-manager onboard show-backup`
    - c. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
    - d. Return to admin mode: `set -priv admin`
    - e. You can safely shut down the controller.
  3. If the Key Manager type displays `external` and the Restored column displays anything other than `yes`:
    - a. Restore the external key management authentication keys to all nodes in the cluster: `security key-manager external restore`  
  
If the command fails, contact NetApp Support.  
  
[mysupport.netapp.com](https://mysupport.netapp.com)
    - b. Verify that the Restored column equals `yes` for all authentication keys: `security key-manager`

key query

c. You can safely shut down the controller.

4. If the Key Manager type displays onboard and the Restored column displays anything other than yes:

a. Enter the onboard security key-manager sync command: `security key-manager onboard sync`

Enter the customer's 32 character, alphanumeric onboard key management passphrase at the prompt.  
If the passphrase cannot be provided, contact NetApp Support.

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b. Verify the Restored column shows yes for all authentication keys: `security key-manager key query`

c. Verify that the Key Manager type shows onboard, and then manually back up the OKM information.

d. Go to advanced privilege mode and enter y when prompted to continue: `set -priv advanced`

e. Enter the command to display the key management backup information: `security key-manager onboard show-backup`

f. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.

g. Return to admin mode: `set -priv admin`

h. You can safely shut down the controller.

## Restore OKM, NSE, and NVE - ASA A800

Once environment variables are checked, you must complete steps specific to systems that have Onboard Key Manager (OKM), NetApp Storage Encryption (NSE) or NetApp Volume Encryption (NVE) enabled.

Determine which section you should use to restore your OKM, NSE, or NVE configurations:

If NSE or NVE are enabled along with Onboard Key Manager you must restore settings you captured at the beginning of this procedure.

- If NSE or NVE are enabled and Onboard Key Manager is enabled, go to [Option 1: Restore NVE or NSE when Onboard Key Manager is enabled](#).
- If NSE or NVE are enabled for ONATP 9.5, go to [Option 2: Restore NSE/NVE on systems running ONTAP 9.5 and earlier](#).
- If NSE or NVE are enabled for ONTAP 9.6, go to [Option 3: Restore NSE/NVE on systems running ONTAP 9.6 and later](#).

### Option 1: Restore NVE or NSE when Onboard Key Manager is enabled

#### Steps

1. Connect the console cable to the target controller.
2. Use the `boot_ontap` command at the LOADER prompt to boot the controller.
3. Check the console output:

| If the console displays... | Then...                                                                                                                                                                                                                          |
|----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| The LOADER prompt          | Boot the controller to the boot menu: <code>boot_ontap menu</code>                                                                                                                                                               |
| Waiting for giveback...    | a. Enter <code>Ctrl-C</code> at the prompt<br>b. At the message: Do you wish to halt this controller rather than wait [y/n]? , enter: <code>y</code><br>c. At the LOADER prompt, enter the <code>boot_ontap menu</code> command. |

- At the Boot Menu, enter the hidden command, `recover_onboard_keymanager` and reply `y` at the prompt.
- Enter the passphrase for the onboard key manager you obtained from the customer at the beginning of this procedure.
- When prompted to enter the backup data, paste the backup data you captured at the beginning of this procedure, when asked. Paste the output of `security key-manager backup show` OR `security key-manager onboard show-backup` command.



The data is output from either `security key-manager backup show` or `security key-manager onboard show-backup` command.

Example of backup data:

```
-----BEGIN BACKUP-----
TmV0QXBwIEtleSBCbG9iAAEAAAAEAAAAcAEAAAAAADuD+byAAAAACEAAAAAAAAA
QAAAAAAAAABvOIH0AAAAAMh7qDLRyH1DBz12piVdy9ATSFMT0C0TIYFss4PDjTaV
dzRYkLd1PhQLxAWJwOlyqSr8qY1SEBgm1IWgE5DLRqkiAAAAAAAAACgAAAAAAAA
3WTh7gAAAAAAAAAAAAAAAAAIAAAAAAAgAZJEIWvdeHr5RCAvHGclo+wAAAAAAAA
lgAAAAAAAAAoAAAAAAAAAEOTcR0AAAAAAAAAAAAAAAAACAAAAAAJAGr3tJA/
LRzUQRHwv+1aWvAAAAAAAAAACQAAAAAAAAAgAAAAAAAAACdhTcvAAAAAJ1PXeBf
ml4NBsSyV1B4jc4A7cvWEFY6ILG6hc6tbKLAHZuvfQ4rIbYAAAAAAAAAAAAAAAA
AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA...
H4nPQM0nrDRYRa9SCv8AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
AAAAAAA
-----END BACKUP-----
```

- At the Boot Menu select the option for Normal Boot.

The system boots to `Waiting for giveback...` prompt.

- Move the console cable to the partner controller and login as admin.
- Confirm the target controller is ready for giveback with the `storage failover show` command.
- Give back only the CFO aggregates with the `storage failover giveback -fromnode local -only-cfo -aggregates true` command.



- If the command fails because of a failed disk, physically disengage the failed disk, but leave the disk in the slot until a replacement is received.
- If the command fails because of an open CIFS session, check with the customer on how to close out CIFS sessions.



Terminating CIFS can cause loss of data.

- If the command fails because the partner is "not ready", wait 5 minutes for the NVMEMs to synchronize.
- If the command fails because of an NDMP, SnapMirror, or SnapVault process, disable the process. See the appropriate Documentation Center for more information.

11. Once the giveback completes, check the failover and giveback status with the `storage failover show` and ``storage failover show-giveback`` commands.

Only the CFO aggregates (root aggregate and CFO style data aggregates) will be shown.

12. Move the console cable to the target controller.

13. If you are running ONTAP 9.5 and earlier, run the key-manager setup wizard:

- a. Start the wizard using the `security key-manager setup -nodenodename` command, and then enter the passphrase for onboard key management when prompted.
- b. Enter the `key-manager key show -detail` command to see a detailed view of all keys stored in the onboard key manager and verify that the `Restored` column = `yes` for all authentication keys.



If the `Restored` column = anything other than `yes`, contact Customer Support.

- c. Wait 10 minutes for the key to synchronize across the cluster.

14. If you are running ONTAP 9.6 or later:

- a. Run the `security key-manager onboard sync` command and then enter the passphrase when prompted.
- b. Enter the `security key-manager key query` command to see a detailed view of all keys stored in the onboard key manager and verify that the `Restored` column = `yes/true` for all authentication keys.



If the `Restored` column = anything other than `yes/true`, contact Customer Support.

- c. Wait 10 minutes for the key to synchronize across the cluster.

15. Move the console cable to the partner controller.

16. Give back the target controller using the `storage failover giveback -fromnode local` command.

17. Check the giveback status, 3 minutes after it reports complete, using the `storage failover show` command.

If giveback is not complete after 20 minutes, contact Customer Support.

18. At the clustershell prompt, enter the `net int show -is-home false` command to list the logical interfaces that are not on their home controller and port.

If any interfaces are listed as `false`, revert those interfaces back to their home port using the `net int revert -vserver Cluster -lif nodename` command.

19. Move the console cable to the target controller and run the `version -v` command to check the ONTAP versions.
20. Restore automatic giveback if you disabled it by using the `storage failover modify -node local -auto-giveback true` command.

## Option 2: Restore NSE/NVE on systems running ONTAP 9.5 and earlier

### Steps

1. Connect the console cable to the target controller.
2. Use the `boot_ontap` command at the LOADER prompt to boot the controller.
3. Check the console output:

| If the console displays... | Then...                                                                                                                                                                                                 |
|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| The login prompt           | Go to Step 7.                                                                                                                                                                                           |
| Waiting for giveback...    | <ol style="list-style-type: none"><li>a. Log into the partner controller.</li><li>b. Confirm the target controller is ready for giveback with the <code>storage failover show</code> command.</li></ol> |

4. Move the console cable to the partner controller and give back the target controller storage using the `storage failover giveback -fromnode local -only-cfo-aggregates true local` command.
  - If the command fails because of a failed disk, physically disengage the failed disk, but leave the disk in the slot until a replacement is received.
  - If the command fails because of an open CIFS sessions, check with customer how to close out CIFS sessions.



Terminating CIFS can cause loss of data.

- If the command fails because the partner "not ready", wait 5 minutes for the NVMEMs to synchronize.
  - If the command fails because of an NDMP, SnapMirror, or SnapVault process, disable the process. See the appropriate Documentation Center for more information.
5. Wait 3 minutes and check the failover status with the `storage failover show` command.
  6. At the clustershell prompt, enter the `net int show -is-home false` command to list the logical interfaces that are not on their home controller and port.

If any interfaces are listed as `false`, revert those interfaces back to their home port using the `net int revert -vserver Cluster -lif nodename` command.

7. Move the console cable to the target controller and run the `version -v` command to check the ONTAP versions.

8. Restore automatic giveback if you disabled it by using the `storage failover modify -node local -auto-giveback true` command.
9. Use the `storage encryption disk show` at the clustershell prompt, to review the output.



This command does not work if NVE (NetApp Volume Encryption) is configured

10. Use the security key-manager query to display the key IDs of the authentication keys that are stored on the key management servers.
  - If the `Restored` column = `yes` and all key managers report in an available state, go to *Complete the replacement process*.
  - If the `Restored` column = anything other than `yes`, and/or one or more key managers is not available, use the `security key-manager restore -address` command to retrieve and restore all authentication keys (AKs) and key IDs associated with all nodes from all available key management servers.

Check the output of the security key-manager query again to ensure that the `Restored` column = `yes` and all key managers report in an available state

11. If the Onboard Key Management is enabled:
  - a. Use the `security key-manager key show -detail` to see a detailed view of all keys stored in the onboard key manager.
  - b. Use the `security key-manager key show -detail` command and verify that the `Restored` column = `yes` for all authentication keys.

If the `Restored` column = anything other than `yes`, use the `security key-manager setup -node Repaired(Target)node` command to restore the Onboard Key Management settings. Rerun the `security key-manager key show -detail` command to verify `Restored` column = `yes` for all authentication keys.

12. Connect the console cable to the partner controller.
13. Give back the controller using the `storage failover giveback -fromnode local` command.
14. Restore automatic giveback if you disabled it by using the `storage failover modify -node local -auto-giveback true` command.

## Option 3: Restore NSE/NVE on systems running ONTAP 9.6 and later

### Steps

1. Connect the console cable to the target controller.
2. Use the `boot_ontap` command at the LOADER prompt to boot the controller.
3. Check the console output:

| If the console displays... | Then...       |
|----------------------------|---------------|
| The login prompt           | Go to Step 7. |

| If the console displays... | Then...                                                                                                                                                                                              |
|----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Waiting for giveback...    | <ol style="list-style-type: none"> <li>Log into the partner controller.</li> <li>Confirm the target controller is ready for giveback with the <code>storage failover show</code> command.</li> </ol> |

- Move the console cable to the partner controller and give back the target controller storage using the `storage failover giveback -fromnode local -only-cfo-aggregates true local` command.
  - If the command fails because of a failed disk, physically disengage the failed disk, but leave the disk in the slot until a replacement is received.
  - If the command fails because of an open CIFS session, check with the customer on how to close out CIFS sessions.



Terminating CIFS can cause loss of data.

- If the command fails because the partner is "not ready", wait 5 minutes for the NVMEMs to synchronize.
  - If the command fails because of an NDMP, SnapMirror, or SnapVault process, disable the process. See the appropriate Documentation Center for more information.
- Wait 3 minutes and check the failover status with the `storage failover show` command.
  - At the clustershell prompt, enter the `net int show -is-home false` command to list the logical interfaces that are not on their home controller and port.

If any interfaces are listed as `false`, revert those interfaces back to their home port using the `net int revert -vserver Cluster -lif nodename` command.

- Move the console cable to the target controller and run the `version -v` command to check the ONTAP versions.
- Restore automatic giveback if you disabled it by using the `storage failover modify -node local -auto-giveback true` command.
- Use the `storage encryption disk show` at the clustershell prompt, to review the output.
- Use the `security key-manager key query` command to display the key IDs of the authentication keys that are stored on the key management servers.
  - If the `Restored` column = `yes/true`, you are done and can proceed to complete the replacement process.
  - If the `Key Manager type` = `external` and the `Restored` column = anything other than `yes/true`, use the `security key-manager external restore` command to restore the key IDs of the authentication keys.



If the command fails, contact Customer Support.

- If the `Key Manager type` = `onboard` and the `Restored` column = anything other than `yes/true`, use the `security key-manager onboard sync` command to re-sync the Key Manager type.

Use the security key-manager key query to verify that the Restored column = yes/true for all authentication keys.

11. Connect the console cable to the partner controller.
12. Give back the controller using the `storage failover giveback -fromnode local` command.
13. Restore automatic giveback if you disabled it by using the `storage failover modify -node local -auto-giveback true` command.
14. Restore Autosupport if it was disabled by using the `system node autosupport invoke -node * -type all -message MAINT=END`

## Return the failed part to NetApp - ASA A800

Return the failed part to NetApp, as described in the RMA instructions shipped with the kit. See the [Part Return & Replacements](#) page for further information.

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