



## Manage SAML authentication settings

Active IQ Unified Manager

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# Manage SAML authentication settings

After you have configured remote authentication settings, you can enable Security Assertion Markup Language (SAML) authentication so that remote users are authenticated by a secure identity provider (IdP) before they can access the Unified Manager web UI.

Note that only remote users will have access to the Unified Manager graphical user interface after SAML authentication has been enabled. Local users and Maintenance users will not be able to access the UI. This configuration does not impact users who access the maintenance console.

## Identity provider requirements

When configuring Unified Manager to use an identity provider (IdP) to perform SAML authentication for all remote users, you need to be aware of some required configuration settings so that the connection to Unified Manager is successful.

You must enter the Unified Manager URI and metadata into the IdP server. You can copy this information from the Unified Manager SAML Authentication page. Unified Manager is considered the service provider (SP) in the Security Assertion Markup Language (SAML) standard.

## Supported encryption standards

- Advanced Encryption Standard (AES): AES-128 and AES-256
- Secure Hash Algorithm (SHA): SHA-1 and SHA-256

## Validated identity providers

- Shibboleth
- Active Directory Federation Services (ADFS)

## ADFS configuration requirements

- You must define three claim rules in the following order that are required for Unified Manager to parse ADFS SAML responses for this relying party trust entry.

Claim rule	Value
SAM-account-name	Name ID
SAM-account-name	urn:oid:0.9.2342.19200300.100.1.1
Token groups — Unqualified Name	urn:oid:1.3.6.1.4.1.5923.1.5.1.1

- You must set the authentication method to “Forms Authentication” or users may receive an error when logging out of Unified Manager. Follow these steps:
  - Open the ADFS Management Console.

- b. Click on the Authentication Policies folder on the left tree view.
- c. Under Actions on the right, click Edit Global Primary Authentication Policy.
- d. Set the Intranet Authentication Method to “Forms Authentication” instead of the default “Windows Authentication”.

- In some cases login through the IdP is rejected when the Unified Manager security certificate is CA-signed. There are two workarounds to resolve this issue:
  - Follow the instructions identified in the link to disable the revocation check on the ADFS server for chained CA cert associated relying party:  
[Disable Revocation Check per Relying Party Trust](#)
  - Have the CA server reside within the ADFS server to sign the Unified Manager server cert request.

## Other configuration requirements

- The Unified Manager clock skew is set to 5 minutes, so the time difference between the IdP server and the Unified Manager server cannot be more than 5 minutes or authentication will fail.

# Enable SAML authentication

You can enable Security Assertion Markup Language (SAML) authentication so that remote users are authenticated by a secure identity provider (IdP) before they can access the Unified Manager web UI.

### Before you begin

- You must have configured remote authentication and verified that it is successful.
- You must have created at least one Remote User, or a Remote Group, with the Application Administrator role.
- The Identity provider (IdP) must be supported by Unified Manager and it must be configured.
- You must have the IdP URL and metadata.
- You must have access to the IdP server.

After you have enabled SAML authentication from Unified Manager, users cannot access the graphical user interface until the IdP has been configured with the Unified Manager server host information. So you must be prepared to complete both parts of the connection before starting the configuration process. The IdP can be configured before or after configuring Unified Manager.

Only remote users will have access to the Unified Manager graphical user interface after SAML authentication has been enabled. Local users and Maintenance users will not be able to access the UI. This configuration does not impact users who access the maintenance console, the Unified Manager commands, or ZAPIs.



Unified Manager is restarted automatically after you complete the SAML configuration on this page.

### Steps

1. In the left navigation pane, click **General > SAML Authentication**.
2. Select the **Enable SAML authentication** checkbox.

The fields required to configure the IdP connection are displayed.

3. Enter the IdP URI and the IdP metadata required to connect the Unified Manager server to the IdP server.

If the IdP server is accessible directly from the Unified Manager server, you can click the **Fetch IdP Metadata** button after entering the IdP URI to populate the IdP Metadata field automatically.

4. Copy the Unified Manager host metadata URI, or save the host metadata to an XML text file.

You can configure the IdP server with this information at this time.

5. Click **Save**.

A message box displays to confirm that you want to complete the configuration and restart Unified Manager.

6. Click **Confirm and Logout** and Unified Manager is restarted.

The next time authorized remote users attempt to access the Unified Manager graphical interface they will enter their credentials in the IdP login page instead of the Unified Manager login page.

If not already completed, access your IdP and enter the Unified Manager server URI and metadata to complete the configuration.



When using ADFS as your identity provider, the Unified Manager GUI does not honor the ADFS timeout and will continue to work until the Unified Manager session timeout is reached. You can change the GUI session timeout by clicking **General > Feature Settings > Inactivity Timeout**.

## Change the identity provider used for SAML authentication

You can change the identity provider (IdP) that Unified Manager uses to authenticate remote users.

### Before you begin

- You must have the IdP URL and metadata.
- You must have access to the IdP.

The new IdP can be configured before or after configuring Unified Manager.

### Steps

1. In the left navigation pane, click **General > SAML Authentication**.
2. Enter the new IdP URI and the IdP metadata required to connect the Unified Manager server to the IdP.

If the IdP is accessible directly from the Unified Manager server, you can click the **Fetch IdP Metadata** button after entering the IdP URL to populate the IdP Metadata field automatically.

3. Copy the Unified Manager metadata URI, or save the metadata to an XML text file.
4. Click **Save Configuration**.

A message box displays to confirm that you want to change the configuration.

5. Click **OK**.

Access the new IdP and enter the Unified Manager server URI and metadata to complete the configuration.

The next time authorized remote users attempt to access the Unified Manager graphical interface they will enter their credentials in the new IdP login page instead of the old IdP login page.

## Update SAML authentication settings after Unified Manager security certificate change

Any change to the HTTPS security certificate installed on the Unified Manager server requires that you update the SAML authentication configuration settings. The certificate is updated if you rename the host system, assign a new IP address for the host system, or manually change the security certificate for the system.

After the security certificate is changed and the Unified Manager server is restarted, SAML authentication will not function and users will not be able to access the Unified Manager graphical interface. You must update the SAML authentication settings on both the IdP server and on the Unified Manager server to re-enable access to the user interface.

### Steps

1. Log into the maintenance console.
2. In the **Main Menu**, enter the number for the **Disable SAML authentication** option.

A message displays to confirm that you want to disable SAML authentication and restart Unified Manager.

3. Launch the Unified Manager user interface using the updated FQDN or IP address, accept the updated server certificate into your browser, and log in using the maintenance user credentials.
4. In the **Setup/Authentication** page, select the **SAML Authentication** tab and configure the IdP connection.
5. Copy the Unified Manager host metadata URI, or save the host metadata to an XML text file.
6. Click **Save**.

A message box displays to confirm that you want to complete the configuration and restart Unified Manager.

7. Click **Confirm and Logout** and Unified Manager is restarted.
8. Access your IdP server and enter the Unified Manager server URI and metadata to complete the configuration.

Identity provider	Configuration steps
ADFS	<ol style="list-style-type: none"><li>a. Delete the existing relying party trust entry in the ADFS management GUI.</li><li>b. Add a new relying party trust entry using the <code>saml_sp_metadata.xml</code> from the updated Unified Manager server.</li><li>c. Define the three claim rules that are required for Unified Manager to parse ADFS SAML responses for this relying party trust entry.</li><li>d. Restart the ADFS Windows service.</li></ol>

Identity provider	Configuration steps
Shibboleth	<ol data-bbox="855 160 1488 350" style="list-style-type: none"> <li data-bbox="855 160 1488 266">Update the new FQDN of Unified Manager server into the <code>attribute-filter.xml</code> and <code>relying-party.xml</code> files.</li> <li data-bbox="855 287 1488 350">Restart the Apache Tomcat web server and wait for port 8005 to come online.</li> </ol>

9. Log in to Unified Manager and verify that SAML authentication works as expected through your IdP.

## Disable SAML authentication

You can disable SAML authentication when you want to stop authenticating remote users through a secure identity provider (IdP) before they can log into the Unified Manager web UI. When SAML authentication is disabled, the configured directory service providers, such as Active Directory or LDAP, perform sign-on authentication.

After you disable SAML authentication, Local users and Maintenance users will be able to access the graphical user interface in addition to configured Remote users.

You can also disable SAML authentication using the Unified Manager maintenance console if you do not have access to the graphical user interface.



Unified Manager is restarted automatically after SAML authentication is disabled.

### Steps

1. In the left navigation pane, click **General > SAML Authentication**.
2. Uncheck the **Enable SAML authentication** checkbox.
3. Click **Save**.

A message box displays to confirm that you want to complete the configuration and restart Unified Manager.

4. Click **Confirm and Logout** and Unified Manager is restarted.

The next time remote users attempt to access the Unified Manager graphical interface they will enter their credentials in the Unified Manager login page instead of the IdP login page.

Access your IdP and delete the Unified Manager server URI and metadata.

## Disable SAML authentication from the maintenance console

You may need to disable SAML authentication from the maintenance console when there is no access to the Unified Manager GUI. This could happen in cases of misconfiguration or if the IdP is not accessible.

### Before you begin

You must have access to the maintenance console as the maintenance user.

When SAML authentication is disabled, the configured directory service providers, such as Active Directory or LDAP, perform sign-on authentication. Local users and Maintenance users will be able to access the graphical user interface in addition to configured Remote users.

You can also disable SAML authentication from the Setup/Authentication page in the UI.



Unified Manager is restarted automatically after SAML authentication is disabled.

## Steps

1. Log into the maintenance console.
2. In the **Main Menu**, enter the number for the **Disable SAML authentication** option.

A message displays to confirm that you want to disable SAML authentication and restart Unified Manager.

3. Type **y**, and then press Enter and Unified Manager is restarted.

The next time remote users attempt to access the Unified Manager graphical interface they will enter their credentials in the Unified Manager login page instead of the IdP login page.

If required, access your IdP and delete the Unified Manager server URL and metadata.

## SAML Authentication page

You can use the SAML Authentication page to configure Unified Manager to authenticate remote users using SAML though a secure identity provider (IdP) before they can log in to the Unified Manager web UI.

- You must have the Application Administrator role to create or modify the SAML configuration.
- You must have configured remote authentication.
- You must have configured at least one remote user or remote group.

After remote authentication and remote users have been configured, you can select the Enable SAML authentication checkbox to enable authentication using a secure identity provider.

- **IdP URI**

The URI to access the IdP from the Unified Manager server. Example URIs are listed below.

ADFS example URI:

```
https://win2016-dc.ntap2016.local/federationmetadata/2007-  
06/federationmetadata.xml
```

Shibboleth example URI:

```
https://centos7.ntap2016.local/idp/shibboleth
```

- **IdP Metadata**

The IdP metadata in XML format.

If the IdP URL is accessible from the Unified Manager server, you can click the **Fetch IdP Metadata** button

to populate this field.

- **Host System (FQDN)**

The FQDN of the Unified Manager host system as defined during installation. You can change this value if necessary.

- **Host URI**

The URI to access the Unified Manager host system from the IdP.

- **Host Metadata**

The host system metadata in XML format.

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