



# **Getting Started**

## Data Infrastructure Insights

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# Getting Started

## Getting Started with Workload Security

Workload Security helps you monitor user activity and detect potential security threats in your storage environment. Before you can begin monitoring, you need to configure agents, data collectors, and directory services to establish the foundation for comprehensive security monitoring.

The Workload Security system uses an agent to collect access data from storage systems and user information from Directory Services servers.

You need to configure the following before you can start collecting data:

Task	Related information
Configure an Agent	<a href="#">Agent Requirements</a> <a href="#">Add Agent</a>
Configure a User Directory Connector	<a href="#">Add User Directory Connector</a>
Configure data collectors	<b>Click Workload Security &gt; Collectors</b>  Click the data collector you want to configure.  See the Data Collector Vendor Reference section of the documentation for collector information.
Create Users Accounts	<a href="#">Manage User Accounts</a>

Workload Security can integrate with other tools as well. For example, [see this guide](#) on integration with Splunk.

## Workload Security Agent Requirements

Deploy Workload Security Agents on dedicated servers that meet minimum OS, CPU, memory, and disk space requirements to ensure optimal monitoring and threat detection performance. This guide specifies the hardware and network requirements needed before [installing your Workload Security Agent](#), including supported Linux distributions, network connectivity rules, and system sizing guidance.

Component	Linux Requirement
Operating system	<p>A computer running a licensed version of one of the following:</p> <ul style="list-style-type: none"> <li>* AlmaLinux 9.4 (64bit) thru 9.5 (64bit), 10 (64bit), including SELinux</li> <li>* CentOS Stream 9 (64-bit)</li> <li>* Debian 11 (64-bit), 12 (64-bit), including SELinux</li> <li>* OpenSUSE Leap 15.3 (64-bit) through 15.6 (64-bit)</li> <li>* Oracle Linux 8.10 (64-bit), 9.1 (64-bit) through 9.6 (64-bit), including SELinux</li> <li>* Red Hat Enterprise Linux 8.10 (64bit), 9.1 (64bit) thru 9.6 (64bit), 10 (64bit), including SELinux</li> <li>* Rocky 9.4 (64bit) thru 9.6 (64bit), including SELinux</li> <li>* SUSE Linux Enterprise Server 15 SP4 (64-bit) through 15 SP6 (64-bit), including SELinux</li> <li>* Ubuntu 20.04 LTS (64-bit), 22.04 LTS (64-bit), 24.04 LTS (64-bit)</li> </ul> <p>This computer should be running no other application-level software. A dedicated server is recommended.</p>
Commands	'unzip' is required for installation. Additionally, the 'sudo su -' command is required for installation, running scripts, and uninstall.
CPU	4 CPU cores
Memory	16 GB RAM
Available disk space	<p>Disk space should be allocated in this manner:  <code>/opt/netapp 36 GB (minimum 35 GB free space after filesystem creation)</code></p> <p>Note: It is recommended to allocate a little extra disk space to allow for the creation of the filesystem. Ensure that there is at least 35 GB free space in the filesystem.</p> <p>If <code>/opt</code> is a mounted folder from a NAS storage, make sure that local users have access to this folder. Agent or Data collector may fail to install if local users do not have permission to this folder. see the <a href="#">troubleshooting</a> section for more details.</p>
Network	100 Mbps to 1 Gbps Ethernet connection, static IP address, IP connectivity to all devices, and a required port to the Workload Security instance (80 or 443).

Please note: The Workload Security agent can be installed in the same machine as a Data Infrastructure Insights acquisition unit and/or agent. However, it is a best practice to install these in separate machines. In the event that these are installed on the same machine, please allocate disk space as shown below:

Available disk space	50-55 GB For Linux, disk space should be allocated in this manner: /opt/netapp 25-30 GB /var/log/netapp 25 GB
----------------------	--

## Additional recommendations

- It is strongly recommended to synchronize the time on both the ONTAP system and the Agent machine using **Network Time Protocol (NTP)** or **Simple Network Time Protocol (SNTP)**.

## Cloud Network Access Rules

For **US-based** Workload Security environments:

Protocol	Port	Source	Destination	Description
TCP	443	Workload Security Agent	<site_name>.cs01.cloudinsights.netapp.com <site_name>.c01.cloudinsights.netapp.com <site_name>.c02.cloudinsights.netapp.com	Access to Data Infrastructure Insights
TCP	443	Workload Security Agent	agentlogin.cs01.cloudinsights.netapp.com	Access to authentication services

For **Europe-based** Workload Security environments:

Protocol	Port	Source	Destination	Description
TCP	443	Workload Security Agent	<site_name>.cs01-eu-1.cloudinsights.netapp.com <site_name>.c01-eu-1.cloudinsights.netapp.com <site_name>.c02-eu-1.cloudinsights.netapp.com	Access to Data Infrastructure Insights
TCP	443	Workload Security Agent	agentlogin.cs01-eu-1.cloudinsights.netapp.com	Access to authentication services

For **APAC-based** Workload Security environments:

Protocol	Port	Source	Destination	Description
TCP	443	Workload Security Agent	<site_name>.cs01-ap-1.cloudinsights.netapp.com <site_name>.c01-ap-1.cloudinsights.netapp.com <site_name>.c02-ap-1.cloudinsights.netapp.com	Access to Data Infrastructure Insights
TCP	443	Workload Security Agent	agentlogin.cs01-ap-1.cloudinsights.netapp.com	Access to authentication services

## In-network rules

Protocol	Port	Source	Destination	Description
TCP	389(LDAP) 636 (LDAPs / start-tls)	Workload Security Agent	LDAP Server URL	Connect to LDAP
TCP	443	Workload Security Agent	Cluster or SVM Management IP Address (depending on SVM collector configuration)	API communication with ONTAP

Protocol	Port	Source	Destination	Description
TCP	35000 - 55000	SVM data LIF IP Addresses	Workload Security Agent	<p>Communication from ONTAP to the Workload Security Agent for Fpolicy events. These ports must be opened towards the Workload Security Agent in order for ONTAP to send events to it, including any firewall on the Workload Security Agent itself (if present).</p> <p>NOTE that you do not need to reserve <b>all</b> of these ports, but the ports you reserve for this must be within this range. It is recommended to start by reserving ~100 ports, and increasing if necessary.</p>

Protocol	Port	Source	Destination	Description
TCP	35000-55000	Cluster Management IP	Workload Security Agent	<p>Communication from ONTAP Cluster Management IP to the Workload Security Agent for <b>EMS events</b>. These ports must be opened towards the Workload Security Agent in order for ONTAP to send <b>EMS events</b> to it, including any firewall on the Workload Security Agent itself (if present).</p> <p>NOTE that you do not need to reserve <b>all</b> of these ports, but the ports you reserve for this must be within this range. It is recommended to start by reserving ~100 ports, and increasing if necessary.</p>
SSH	22	Workload Security Agent	Cluster management	Needed for CIFS/SMB user blocking.

## System Sizing

See the [Event Rate Checker](#) documentation for information about sizing.

## Deploy Workload Security Agents

Workload Security agents are essential for monitoring user activity and detecting potential security threats across your storage infrastructure. This guide provides step-by-step installation instructions, best practices for agent management (including pause/resume and pin/unpin capabilities), and post-deployment configuration requirements. Before you begin, ensure your agent server meets the [system requirements](#).

### Before You Begin

- The sudo privilege is required for installation, running scripts, and uninstall.
- While installing the agent, a local user `cssys` and a local group `cssys` are created on the machine. If permission settings do not allow creation of a local user, and instead require Active Directory, a user with

the username `cssys` must be created in the Active Directory server.

- You can read about Data Infrastructure Insights security [here](#).

## Best Practices

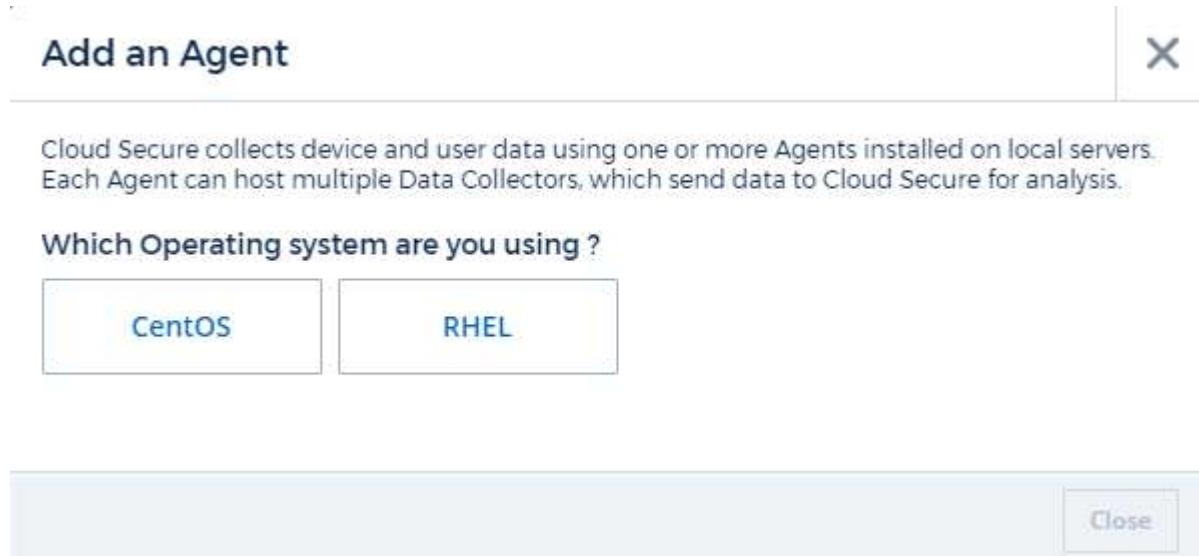
Keep the following in mind before configuring your Workload Security agent.

Pause and Resume	Pause: Removes fpolicies from ONTAP. Typically used when customers perform extended maintenance activities which may take significant time, such as agent VM reboots or storage replacements. Resume: Adds fpolicies back to ONTAP.
Pin and Unpin	Unpin immediately fetches the latest version (if available) and upgrades the agent and collector. During this upgrade, fpolicies will disconnect and reconnect. This feature is designed for customers who want to control the timing of automatic upgrades. See below for <a href="#">pin/unpin instructions</a> .
Recommended Approach	For large configurations, it is advisable to use Pin and Unpin rather than pausing collectors. There is no need to pause and resume while using pin and unpin. Customers can keep their agents and collectors pinned, and upon receiving an email notification about a new version, have a 30-day window to selectively upgrade agents one by one. This approach minimizes latency impact on fpolicies and provides greater control over the upgrade process.

## Steps to Install Agent

1. Log in as Administrator or Account Owner to your Workload Security environment.
2. Select **Collectors > Agents > +Agent**

The system displays the Add an Agent page:



**Add an Agent**

Cloud Secure collects device and user data using one or more Agents installed on local servers. Each Agent can host multiple Data Collectors, which send data to Cloud Secure for analysis.

Which Operating system are you using ?

CentOS      RHEL

Close

3. Verify that the agent server meets the minimum system requirements.
4. To verify that the agent server is running a supported version of Linux, click *Versions Supported (i)*.
5. If your network is using proxy server, please set the proxy server details by following the instructions in the

## Proxy section.

### Add an Agent

×

Cloud Secure collects device and user data using one or more Agents installed on local servers. Each Agent can host multiple Data Collectors, which send data to Cloud Secure for analysis.

## Agent Server Requirements

Linux Versions Supported: [?](#) Minimum Server Requirements: [?](#)

## Installation Instructions

Need Help?

Open up a terminal window and run the following commands:

1. If a proxy server is used, please enter these proxy server settings after editing in your proxy variables. [?](#)

```
export https proxy='USER:PASSWORD@PROXY SERVER:PORT'
```

## 2. Enter this agent installation command.

This snippet has a unique key valid for 2 hours and for one Agent only.

**Close**

6. Click the Copy to Clipboard icon to copy the installation command.
7. Run the installation command in a terminal window.
8. The system displays the following message when the installation completes successfully:

 New agent detected!

## After You Finish

1. You need to configure a [User Directory Collector](#) .
2. You need to configure one or more Data Collectors.

## Network Configuration

Run the following commands on the local system to open ports that will be used by Workload Security. If there is a security concern regarding the port range, you can use a lesser port range, for example 35000:35100. Each SVM uses two ports.

### Steps

1. `sudo firewall-cmd --permanent --zone=public --add-port=35000-55000/tcp`
2. `sudo firewall-cmd --reload`

Follow the next steps according to your platform:

#### CentOS 7.x / RHEL 7.x:

1. `sudo iptables-save | grep 35000`

Sample output:

```
-A IN_public_allow -p tcp -m tcp --dport 35000:55000 -m conntrack -ctstate NEW,UNTRACKED -j ACCEPT
```

#### CentOS 8.x / RHEL 8.x:

1. `sudo firewall-cmd --zone=public --list-ports | grep 35000` (for CentOS 8)

Sample output:

```
35000-55000/tcp
```

## "Pinning" an Agent at the current version

By default, Data Infrastructure Insights Workload Security updates agents automatically. Some customers may wish to pause automatic updating, which leaves an Agent at its current version until one of the following occurs:

- The customer resumes automatic Agent updates.
- 30 days have passed. Note that the 30 days starts on the day of the most recent Agent update, not at the day the Agent is paused.

In each of these cases, the agent will be updated at the next Workload Security refresh.

To pause or resume automatic agent updates, use the *cloudsecure\_config.agents* APIs:

cloudsecure_config.agents		
GET	/v1/cloudsecure/agents	Retrieve all agents.
POST	/v1/cloudsecure/agents/configuration	Pin all agents under tenant
DELETE	/v1/cloudsecure/agents/configuration	Unpin all agents under tenant
POST	/v1/cloudsecure/agents/{agentId}/configuration	Pin an agent under tenant
DELETE	/v1/cloudsecure/agents/{agentId}/configuration	Unpin an agent under tenant
GET	/v1/cloudsecure/agents/{agentUuid}	Retrieve an agent by agentUuid.

Note that it may take up to five minutes for the pause or resume action to take effect.

You can view your current Agent versions on the **Workload Security > Collectors** page, in the **Agents** tab.

### Installed Agents (15)

Name ↑	IP Address	Version	Status
agent-1396	10.128.218.124	1.625.0	Connected

## Troubleshooting Agent Errors

Known problems and their resolutions are described in the following table.

Problem:	Resolution:
Agent installation fails to create the /opt/netapp/cloudsecure/agent/logs/agent.log folder and the install.log file provides no relevant information.	This error occurs during bootstrapping of the agent. The error is not logged in log files because it occurs before logger is initialized. The error is redirected to standard output, and is visible in the service log using the <code>journalctl -u cloudsecure-agent.service</code> command. This command can be used for troubleshooting the issue further.
Agent installation fails with 'This linux distribution is not supported. Exiting the installation'.	This error appears when you attempt to install the Agent on an unsupported system. See <a href="#">Agent Requirements</a> .
Agent Installation failed with the error: "-bash: unzip: command not found"	Install unzip and then run the installation command again. If Yum is installed on the machine, try "yum install unzip" to install unzip software. After that, re-copy the command from the Agent installation UI and paste it in the CLI to execute the installation again.

Problem:	Resolution:
Agent was installed and was running. However agent has stopped suddenly.	<p>SSH to the Agent machine. Check the status of the agent service via <code>sudo systemctl status cloudsecure-agent.service</code>.</p> <ol style="list-style-type: none"> <li>1. Check if the logs shows a message “Failed to start Workload Security daemon service” .</li> <li>2. Check if <code>cssys</code> user exists in the Agent machine or not. Execute the following commands one by one with root permission and check if the <code>cssys</code> user and group exists.</li> </ol> <pre> sudo id cssys sudo groups cssys </pre> <ol style="list-style-type: none"> <li>3. If none exists, then a centralized monitoring policy may have deleted the <code>cssys</code> user.</li> <li>4. Create <code>cssys</code> user and group manually by executing the following commands.</li> </ol> <pre> sudo useradd cssys sudo groupadd cssys </pre> <ol style="list-style-type: none"> <li>5. Restart the agent service after that by executing the following command:</li> </ol> <pre>sudo systemctl restart cloudsecure-agent.service</pre> <ol style="list-style-type: none"> <li>6. If it is still not running, please check the other troubleshooting options.</li> </ol>
Unable to add more than 50 Data collectors to an Agent.	Only 50 Data collectors can be added to an Agent. This can be a combination of all the collector types, for example, Active Directory, SVM and other collectors.
UI shows Agent is in NOT_CONNECTED state.	<p>Steps to restart the Agent.</p> <ol style="list-style-type: none"> <li>1. SSH to the Agent machine.</li> <li>2. Restart the agent service after that by executing the following command:</li> </ol> <pre>sudo systemctl restart cloudsecure-agent.service</pre> <ol style="list-style-type: none"> <li>3. Check the status of the agent service via <code>sudo systemctl status cloudsecure-agent.service</code>.</li> <li>4. Agent should go to CONNECTED state.</li> </ol>
Agent VM is behind Zscaler proxy and the agent installation is failing. Because of Zscaler proxy's SSL inspection, the Workload Security certificates are presented as it is signed by Zscaler CA so the agent is not trusting the communication.	Disable SSL inspection in the Zscaler proxy for the <code>*.cloudinsights.netapp.com</code> url. If Zscaler does SSL inspection and replaces the certificates, Workload Security will not work.

Problem:	Resolution:
While installing the agent, the installation hangs after unzipping.	<p>“chmod 755 -Rf” command is failing. The command fails when the agent installation command is being run by a non-root sudo user that has files in the working directory, belonging to another user, and permissions of those files cannot be changed. Because of the failing chmod command, the rest of the installation does not execute.</p> <ol style="list-style-type: none"> <li>1. Create a new directory named “cloudsecure”.</li> <li>2. Go to that directory.</li> <li>3. Copy and paste the full “token=..... ./cloudsecure-agent-install.sh” installation command and press enter.</li> <li>4. Installation should be able to proceed.</li> </ol>
If the Agent is still not able to connect to SaaS, please open a case with NetApp Support. Provide the Data Infrastructure Insights serial number to open a case, and attach logs to the case as noted.	<p>To attach logs to the case:</p> <ol style="list-style-type: none"> <li>1. Execute the following script with root permission and share the output file (cloudsecure-agent-symptoms.zip).</li> <li>a. /opt/netapp/cloudsecure/agent/bin/cloudsecure-agent-symptom-collector.sh</li> <li>2. Execute the following commands one by one with root permission and share the output.</li> <li>a. id cssys</li> <li>b. groups cssys</li> <li>c. cat /etc/os-release</li> </ol>
<p>The cloudsecure-agent-symptom-collector.sh script fails with the following error.</p> <pre data-bbox="132 1178 817 1695"> [root@machine tmp]# /opt/netapp/cloudsecure/agent/bin/cloudsecure-agent-symptom-collector.sh Collecting service log Collecting application logs Collecting agent configurations Taking service status snapshot Taking agent directory structure snapshot ..... ..... /opt/netapp/cloudsecure/agent/bin/cloudsecure-agent-symptom-collector.sh: line 52: zip: command not found ERROR: Failed to create /tmp/cloudsecure-agent-symptoms.zip </pre>	<p>Zip tool is not installed.. Install the zip tool by running the command “yum install zip”.</p> <p>Then run the cloudsecure-agent-symptom-collector.sh again.</p>

Problem:	Resolution:
<p>Agent installation Fails with useradd: cannot create directory /home/cssys</p>	<p>This error can occur if user's login directory cannot be created under /home, due to lack of permissions.</p> <p>The workaround would be to create cssys user and add its login directory manually using the following command:</p> <p><code>sudo useradd user_name -m -d HOME_DIR</code></p> <p>-m :Create the user's home directory if it does not exist.</p> <p>-d : The new user is created using HOME_DIR as the value for the user's login directory.</p> <p>For instance, <code>sudo useradd cssys -m -d /cssys</code>, adds a user cssys and creates its login directory under root.</p>
<p>Agent is not running after installation.  <code>Systemctl status cloudsecure-agent.service</code> shows the following:</p> <pre>[root@demo ~]# systemctl status cloudsecure-agent.service agent.service – Workload Security Agent Daemon Service Loaded: loaded (/usr/lib/systemd/system/cloudsecure-agent.service; enabled; vendor preset: disabled) Active: activating (auto-restart) (Result: exit-code) since Tue 2021-08-03 21:12:26 PDT; 2s ago Process: 25889 ExecStart=/bin/bash /opt/netapp/cloudsecure/agent/bin/cloudsecure-agent (code=exited status=126) Main PID: 25889 (code=exited, status=126), Aug 03 21:12:26 demo systemd[1]: cloudsecure-agent.service: main process exited, code=exited, status=126/n/a Aug 03 21:12:26 demo systemd[1]: Unit cloudsecure-agent.service entered failed state. Aug 03 21:12:26 demo systemd[1]: cloudsecure-agent.service failed.</pre>	<p>This can be failing because cssys user may not have permission to install.</p> <p>If /opt/netapp is an NFS mount and if cssys user does not have access to this folder, installation will fail. cssys is a local user created by the Workload Security installer that may not have permission to access the mounted share.</p> <p>You can check this by attempting to access /opt/netapp/cloudsecure/agent/bin/cloudsecure-agent using cssys user. If it returns "Permission denied", installation permission is not present.</p> <p>Instead of a mounted folder, install on a directory local to the machine.</p>

Problem:	Resolution:
<p>Agent was initially connected via a proxy server and the proxy was set during Agent installation. Now the proxy server has changed. How can the Agent's proxy configuration be changed?</p>	<p>You can edit the <code>agent.properties</code> to add the proxy details. Follow these steps:</p> <ol style="list-style-type: none"> <li>1. Change to the folder containing the properties file:  <code>cd /opt/netapp/cloudsecure/conf</code></li> <li>2. Using your favorite text editor, open the <code>agent.properties</code> file for editing.</li> <li>3. Add or modify the following lines:</li> </ol> <pre> AGENT_PROXY_HOST=scspa1950329001.vm.netapp.com AGENT_PROXY_PORT=80 AGENT_PROXY_USER=pxuser AGENT_PROXY_PASSWORD=pass1234 </pre> <ol style="list-style-type: none"> <li>4. Save the file.</li> <li>5. Restart the agent:  <code>sudo systemctl restart cloudsecure-agent.service</code></li> </ol>

## Deleting a Workload Security Agent

When you delete a Workload Security Agent, all the data collectors associated with the Agent must be deleted first.

### Deleting an Agent



Deleting an Agent deletes all of the Data Collectors associated with the Agent. If you plan to configure the data collectors with a different agent you should create a backup of the Data Collector configurations before you delete the Agent.

#### Before you begin

1. Make sure all the data collectors associated with the agent are deleted from the Workload Security portal.

Note: Ignore this step if all the associated collectors are in STOPPED state.

#### Steps to delete an Agent:

1. SSH into the agent VM and execute the following command. When prompted, enter "y" to continue.

```

sudo /opt/netapp/cloudsecure/agent/install/cloudsecure-agent-
uninstall.sh
Uninstall CloudSecure Agent? [y|N] :

```

## 2. Click **Workload Security > Collectors > Agents**

The system displays the list of configured Agents.

### 3. Click the options menu for the Agent you are deleting.

#### 4. Click **Delete**.

The system displays the **Delete Agent** page.

### 5. Click **Delete** to confirm the deletion.

## Configuring an Active Directory (AD) User Directory Collector

Workload Security can be configured to collect user attributes from Active Directory servers.

### Before you begin

- You must be a Data Infrastructure Insights Administrator or Account Owner to perform this task.
- You must have the IP address of the server hosting the Active Directory server.
- An Agent must be configured before you configure a User Directory connector.

### Steps to Configure a User Directory Collector

#### 1. In the Workload Security menu, click:

**Collectors > User Directory Collectors > + User Directory Collector** and select **Active Directory**

The system displays the Add User Directory screen.

Configure the User Directory Collector by entering the required data in the following tables:

Name	Description
Name	Unique name for the user directory. For example <i>GlobalADCollector</i>
Agent	Select a configured agent from the list
Server IP/Domain Name	IP address or Fully-Qualified Domain Name (FQDN) of server hosting the active directory

Forest Name	<p>Forest level of the directory structure. Forest name allows both of the following formats:</p> <p><i>x.y.z</i> ⇒ direct domain name as you have it on your SVM. [Example: <i>hq.companyname.com</i>]</p> <p><i>DC=x,DC=y,DC=z</i> ⇒ Relative distinguished names [Example: <i>DC=hq,DC= companyname,DC=com</i>]</p> <p>Or you can specify as the following:</p> <p><i>OU=engineering,DC=hq,DC= companyname,DC=com</i> [to filter by specific OU <i>engineering</i>]</p> <p><i>CN=username,OU=engineering,DC=companyname,DC=netapp, DC=com</i> [to get only specific user with &lt;username&gt; from OU &lt;engineering&gt;]</p> <p><i>CN=Acrobat Users,CN=Users,DC=hq,DC=companyname,DC=com,O= companyname,L=Boston,S=MA,C=US</i> [to get all Acrobat Users within the Users in that organization]</p> <p>Trusted Active Directory domains are also supported.</p>
Bind DN	<p>User permitted to search the directory. For example: <i>username@companyname.com</i> or <i>username@domainname.com</i></p> <p>In addition, Domain Read Only permission is required. User must be a member of the Security group <i>Read-only Domain Controllers</i>.</p>
BIND password	Directory server password (i.e. password for <i>username</i> used in Bind DN)
Protocol	ldap, ldaps, ldap-start-tls
Ports	Select port

Add to table once link is provided:

For more details about forest names, please refer to this xref:////

Enter the following Directory Server required attributes if the default attribute names have been modified in LDAP Directory Server. Most often these attributes names are *not* modified in LDAP Directory Server, in which case you can simply proceed with the default attribute name.

Attributes	Attribute name in Directory Server
Display Name	name
UNIXID	uidnumber
User Name	uid

Click Include Optional Attributes to add any of the following attributes:

Attributes	Attribute Name in Directory Server
Email Address	mail
Telephone Number	telephonenumber
Role	title
Country	co
State	state
Department	departmentnumber
Photo	photo
ManagerDN	manager
Groups	memberOf

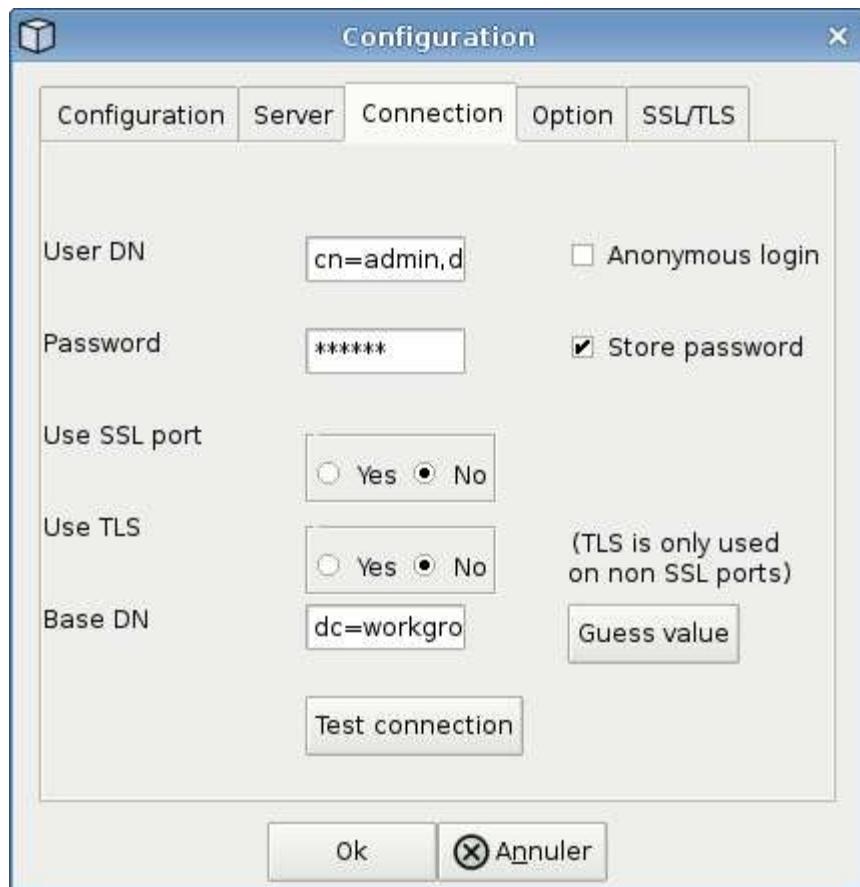
## Testing Your User Directory Collector Configuration

You can validate LDAP User Permissions and Attribute Definitions using the following procedures:

- Use the following command to validate Workload Security LDAP user permission:

```
ldapsearch -D "uid=john ,cn=users,cn=accounts,dc=dorp,dc=company,dc=com"
-W -x -LLL -o ldif-wrap=no -b "cn=accounts,dc=dorp,dc=company,dc=com" -H
ldap://vmwipaapp08.dorp.company.com
```

- Use LDAP Explorer to navigate an LDAP database, view object properties and attributes, view permissions, view an object's schema, execute sophisticated searches that you can save and re-execute.
  - Install LDAP Explorer (<http://ldaptool.sourceforge.net/>) or Java LDAP Explorer (<http://jxplorer.org/>) on any windows machine which can connect to the LDAP Server.
  - Connect to the LDAP server using the username/password of the LDAP directory server.



## Troubleshooting LDAP Directory Collector Configuration Errors

The following table describes known problems and resolutions that can occur during collector configuration:

Problem:	Resolution:
Adding an LDAP Directory connector results in the 'Error' state. Error says, "Invalid credentials provided for LDAP server".	Incorrect Bind DN or Bind Password or Search Base provided. Edit and provide the correct information.
Adding an LDAP Directory connector results in the 'Error' state. Error says, "Failed to get the object corresponding to DN=DC=hq,DC=domainname,DC=com provided as forest name."	Incorrect Search Base provided. Edit and provide the correct forest name.
The optional attributes of domain user are not appearing in the Workload Security User Profile page.	This is likely due to a mismatch between the names of optional attributes added in CloudSecure and the actual attribute names in Active Directory. Fields are case sensitive. Edit and provide the correct optional attribute name(s).
Data collector in error state with "Failed to retrieve LDAP users. Reason for failure: Cannot connect on the server, the connection is null"	Restart the collector by clicking on the <i>Restart</i> button.

Problem:	Resolution:
Adding an LDAP Directory connector results in the 'Error' state.	<p>Ensure you have provided valid values for the required fields (Server, forest-name, bind-DN, bind-Password).</p> <p>Ensure bind-DN input is always provided as uid=ldapuser,cn=users,cn=accounts,dc=domain,dc=companyname,dc=com.</p>
Adding an LDAP Directory connector results in the 'RETRYING' state. Shows error "Failed to determine the health of the collector hence retrying again"	<p>Ensure correct Server IP and Search Base is provided</p> <p>////</p>
While adding LDAP directory the following error is shown: "Failed to determine the health of the collector within 2 retries, try restarting the collector again(Error Code: AGENT008)"	Ensure correct Server IP and Search Base is provided
Adding an LDAP Directory connector results in the 'RETRYING' state. Shows error "Unable to define state of the collector, reason Tcp command [Connect(localhost:35012,None,List(),Some(,seconds),true)] failed because of java.net.ConnectionException:Connection refused."	<p>Incorrect IP or FQDN provided for the AD Server. Edit and provide the correct IP address or FQDN.</p> <p>////</p>
Adding an LDAP Directory connector results in the 'Error' state. Error says, "Failed to establish LDAP connection".	<p>Incorrect IP or FQDN provided for the LDAP Server. Edit and provide the correct IP address or FQDN.</p> <p>Or</p> <p>Incorrect value for Port provided. Try using the default port values or the correct port number for the LDAP server.</p>
Adding an LDAP Directory connector results in the 'Error' state. Error says, "Failed to load the settings. Reason: Datasource configuration has an error. Specific reason: /connector/conf/application.conf: 70: ldap.ldap-port has type STRING rather than NUMBER"	Incorrect value for Port provided. Try using the default port values or the correct port number for the AD server.
I started with the mandatory attributes, and it worked. After adding the optional ones, the optional attributes data is not getting fetched from AD.	This is likely due to a mismatch between the optional attributes added in CloudSecure and the actual attribute names in Active Directory. Edit and provide the correct mandatory or optional attribute name.
After restarting the collector, when will the LDAP sync happen?	LDAP sync will happen immediately after the collector restarts. It will take approximately 15 minutes to fetch user data of approximately 300K users, and is refreshed every 12 hours automatically.
User Data is synced from LDAP to CloudSecure. When will the data be deleted?	User data is retained for 13months in case of no refresh. If the tenant is deleted then the data will be deleted.

Problem:	Resolution:
<p>LDAP Directory connector results in the 'Error' state. "Connector is in error state. Service name: usersLdap. Reason for failure: Failed to retrieve LDAP users. Reason for failure: 80090308: LdapErr: DSID-0C090453, comment: AcceptSecurityContext error, data 52e, v3839"</p>	<p>Incorrect forest name provided. See above on how to provide the correct forest name.</p>
<p>Telephone number is not getting populated in the user profile page.</p>	<p>This is most likely due to an attribute mapping problem with the Active Directory.</p> <ol style="list-style-type: none"> <li>1. Edit the particular Active Directory collector which is fetching the user's information from Active Directory.</li> <li>2. Notice under optional attributes, there is a field name "Telephone Number" mapped to Active Directory attribute 'telephonenumber'.</li> <li>3. Now, please use the Active Directory Explorer tool as described above to browse the LDAP Directory server and see the correct attribute name.</li> <li>4. Make sure that in LDAP Directory there is an attribute named 'telephonenumber' which has indeed the telephone number of the user.</li> <li>5. Let us say in LDAP Directory it has been modified to 'phonenumber'.</li> <li>6. Then Edit the CloudSecure User Directory collector. In optional attribute section, replace 'telephonenumber' with 'phonenumber'.</li> <li>7. Save the Active Directory collector, the collector will restart and get the telephone number of the user and display the same in the user profile page.</li> </ol>
<p>If encryption certificate (SSL) is enabled on the Active Directory (AD) Server, the Workload Security User Directory Collector can not connect to the AD Server.</p>	<p>Disable AD Server encryption before Configuring a User Directory Collector. Once the user detail is fetched it will be there for 13 months. If the AD server gets disconnected after fetching the user details, the newly added users in AD won't get fetched. To fetch again the user directory collector needs to be connected to AD.</p>

## Configuring the ONTAP SVM Data Collector

The ONTAP SVM Data Collector enables Workload Security to monitor file and user access activities on NetApp ONTAP storage virtual machines (SVMs). This guide walks you through the configuration and management of the SVM data collector to provide comprehensive security monitoring of your ONTAP environment.

### Before you begin

- This data collector is supported with the following:
  - Data ONTAP 9.2 and later versions. For best performance, use a Data ONTAP version greater than 9.13.1.

- SMB protocol version 3.1 and earlier.
- NFS versions up to and including NFS 4.1 (Note that NFS 4.1 is supported with ONTAP 9.15 or later).
- Flexgroup is supported from ONTAP 9.4 and later versions
- FlexCache is supported for NFS with ONTAP 9.7 and later versions.
- FlexCache is supported for SMB with ONTAP 9.14.1 and later versions.
- ONTAP Select is supported

- Only data type SVMs are supported. SVMs with infinite volumes are not supported.
- SVM has several sub-types. Of these, only *default*, *sync\_source*, and *sync\_destination* are supported.
- An Agent **must be configured** before you can configure data collectors.
- Make sure that you have a properly configured User Directory Connector, otherwise events will show encoded user names and not the actual name of the user (as stored in Active Directory) in the “Activity Forensics” page.
- ONTAP Persistent Store is supported from 9.14.1.
- For optimal performance, you should configure the FPolicy server to be on the same subnet as the storage system.
- For comprehensive best practices and recommendations regarding Workload Security FPolicy configuration, see the [KB Article on FPolicy Best Practices](#).
- You must add an SVM using one of the following two methods:
  - By Using Cluster IP, SVM name, and Cluster Management Username and Password. ***This is the recommended method.***
    - SVM name must be exactly as is shown in ONTAP and is case-sensitive.
  - By Using SVM Vserver Management IP, Username, and Password
  - If you are not able or not willing to use the full Administrator Cluster/SVM Management Username and Password, you can create a custom user with lesser privileges as mentioned in the [“A note about permissions”](#) section below. This custom user can be created for either SVM or Cluster access.
    - You can also use an AD user with a role that has at least the permissions of csrole as mentioned in [“A note about permissions”](#) section below. Also refer to the [ONTAP documentation](#).
- Ensure the correct applications are set for the SVM by executing the following command:

```
clustershell:> security login show -vserver <vservername> -user-or-group  
-name <username>
```

## Example output:

Vserver: svmname					Second	Authentication
User/Group	Authentication			Acct	Authentication	
Name	Application	Method	Role Name	Locked	Method	
vsadmin	http	password	vsadmin	no	none	
vsadmin	ontapi	password	vsadmin	no	none	
vsadmin	ssh	password	vsadmin	no	none	

3 entries were displayed.

- Ensure that the SVM has a CIFS server configured:

```
clustershell:> vserver cifs show
```

The system returns the Vserver name, CIFS server name and additional fields.

- Set a password for the SVM vsadmin user. If using custom user or cluster admin user, skip this step.

```
clustershell:> security login password -username vsadmin -vserver svmname
```

- Unlock the SVM vsadmin user for external access. If using custom user or cluster admin user, skip this step.

```
clustershell:> security login unlock -username vsadmin -vserver svmname
```

- Ensure the firewall-policy of the data LIF is set to 'mgmt' (not 'data'). Skip this step if using a dedicated management lif to add the SVM.

```
clustershell:> network interface modify -lif <SVM_data_LIF_name> -firewall-policy mgmt
```

- When a firewall is enabled, you must have an exception defined to allow TCP traffic for the port using the Data ONTAP Data Collector.

See [Agent requirements](#) for configuration information. This applies to on-premise Agents and Agents installed in the Cloud.

- When an Agent is installed in an AWS EC2 instance to monitor a Cloud ONTAP SVM, the Agent and Storage must be in the same VPC. If they are in separate VPCs, there must be a valid route between the VPC's.

## Test Connectivity for Data Collectors

The test connectivity feature (introduced March 2025) aims to help end users identify the specific causes of failures when setting up data collectors in Data Infrastructure Insights (DII) Workload Security. This allows the users to self-correct issues related to network communication or missing roles.

This feature will help users determine if all network-related checks are in place before setting up a data collector. Additionally, it will inform users about the features they can access based on the ONTAP version, roles, and permissions assigned to them in ONTAP.



Test connectivity is not supported for User Directory collectors

### Prerequisites for Connection Testing

- Cluster level credentials are needed for this feature to work in full.
- Feature access check is not supported in SVM mode.
- If you are using cluster administration credentials, no new permissions are needed.
- If you are using a custom user (e.g., *csuser*), provide the mandatory permissions and feature specific permissions for the features you want to use.



Be sure to review the [Permissions](#) section below as well.

## Test the Connection

The user can go to the add/edit collector page, enter the cluster level details (in Cluster Mode) or SVM level details (in SVM Mode), and click on the **Test Connection** button. Workload Security will then process the request and display an appropriate success or failure message.

### Add ONTAP SVM

[Need Help?](#)

An Agent is required to fetch data from the ONTAP SVM in to Storage Workload Security

Network Checks:

Https: Connection successful on port 443 (AGENT -> ONTAP)

Ontap Version: 9.14.1

Data Lifs: Found 1 (10.██████) data interfaces in the SVM which contains service name data-fpolicy-client, admin/oper status as up.

Agent IP: Determined agent IP address to be used (10.██████)

 Fpolicy Server: Connection successful on Agent IP (10.██████), ports [35037, 35038, 35039] (ONTAP -> AGENT)

Features (User has permissions):

Snapshot, Ems, Access Denied, Persistent Store, Ontap ARP, User Blocking

Features (User does not have permissions):

Protobuf: Ontap version 9.14.1 is below minimum supported version 9.15.0

## Things to note for ONTAP Multi Admin Verify (MAV)

Some features, such as the creation and deletion of snapshots or user blocking (SMB), may not work based on the MAV commands added in your version of ONTAP.

Follow the steps below to add exclusions to your MAV commands which allow Workload Security to create or delete snapshots and block users.

Commands to allow snapshot create and delete:

```
multi-admin-verify rule modify -operation "volume snapshot create" -query
"-snapshot !*cloudsecure_*"
multi-admin-verify rule modify -operation "volume snapshot delete" -query
"-snapshot !*cloudsecure_*"
```

Command to allow user blocking:

```
multi-admin-verify rule delete -operation set
```

## Prerequisites for User Access Blocking

Keep the following in mind for [User Access Blocking](#):

Cluster level credentials are needed for this feature to work.

If you are using cluster administration credentials, no new permissions are needed.

If you are using a custom user (for example, *csuser*) with permissions given to the user, then follow the steps in [User Access Blocking](#) to give permissions to Workload Security to block user.

## A Note About Permissions

### Permissions when adding via Cluster Management IP:

If you cannot use the Cluster management administrator user to allow Workload Security to access the ONTAP SVM data collector, you can create a new user named “csuser” with the roles as shown in the commands below. Use the username “csuser” and password for “csuser” when configuring the Workload Security data collector to use Cluster Management IP.

Note: You can create a single role to use for all feature permissions for a custom user. If there is an existing user then first delete the existing user and role using these commands:

```
security login delete -user-or-group-name csuser -application *
security login role delete -role csrole -cmddirname *
security login rest-role delete -role csrestrole -api *
security login rest-role delete -role arwrole -api *
```

To create the new user, log in to ONTAP with the Cluster management Administrator username/password, and execute the following commands on the ONTAP server:

```
security login role create -role csrole -cmddirname DEFAULT -access
readonly
```

```
security login role create -role csrole -cmddirname "vserver fpolicy"
-access all
security login role create -role csrole -cmddirname "volume snapshot"
-access all -query "-snapshot cloudsecure_"
security login role create -role csrole -cmddirname "event catalog"
-access all
security login role create -role csrole -cmddirname "event filter" -access
all
security login role create -role csrole -cmddirname "event notification
destination" -access all
security login role create -role csrole -cmddirname "event notification"
-access all
security login role create -role csrole -cmddirname "security certificate"
-access all
security login role create -role csrole -cmddirname "cluster application-
record" -access all
security login create -user-or-group-name csuser -application ontapi
-authmethod password -role csrole
security login create -user-or-group-name csuser -application ssh
-authmethod password -role csrole
security login create -user-or-group-name csuser -application http
-authmethod password -role csrole
```

## Permissions when adding via Vserver Management IP:

If you cannot use the Cluster management administrator user to allow Workload Security to access the ONTAP SVM data collector, you can create a new user named “csuser” with the roles as shown in the commands below. Use the username “csuser” and password for “csuser” when configuring the Workload Security data collector to use Vserver Management IP.

Note: You can create a single role to use for all feature permissions for a custom user. If there is an existing user then first delete the existing user and role using these commands:

```
security login delete -user-or-group-name csuser -application * -vserver
<vservername>
security login role delete -role csrole -cmddirname * -vserver
<vservername>
security login rest-role delete -role csrestrole -api * -vserver
<vservername>
```

To create the new user, log in to ONTAP with the Cluster management Administrator username/password, and execute the following commands on the ONTAP server. For ease, copy these commands to a text editor and replace the <vservername> with your Vserver name before and executing these commands on ONTAP:

```
security login role create -vserver <vservername> -role csrole -cmddirname
DEFAULT -access none
```

```
security login role create -vserver <vservername> -role csrole -cmddirname
"network interface" -access readonly
security login role create -vserver <vservername> -role csrole -cmddirname
version -access readonly
security login role create -vserver <vservername> -role csrole -cmddirname
volume -access readonly
security login role create -vserver <vservername> -role csrole -cmddirname
vserver -access readonly
```

```
security login role create -vserver <vservername> -role csrole -cmddirname
"vserver fpolicy" -access all
security login role create -vserver <vservername> -role csrole -cmddirname
"volume snapshot" -access all
```

```
security login create -user-or-group-name csuser -application ontapi
-authmethod password -role csrole -vserver <vservername>
security login create -user-or-group-name csuser -application http
-authmethod password -role csrole -vserver <vservername>
```

## Protobuf Mode

Workload Security will configure the FPolicy engine in protobuf mode when this option is enabled in the collector's *Advanced Configuration* settings. Protobuf mode is supported in ONTAP version 9.15 and later.

More details on this feature can be found in the [ONTAP documentation](#).

Specific permissions are required for protobuf (some or all of these may already exist):

Cluster mode:

```
security login role create -role csrole -cmddirname "vserver fpolicy"  
-access all
```

Vserver mode:

```
security login role create -vserver <vservername> -role csrole -cmddirname  
"vserver fpolicy" -access all
```

## Permissions for ONTAP Autonomous Ransomware Protection and ONTAP Access Denied

If you are using cluster administration credentials, no new permissions are needed.

If you are using a custom user (for example, *csuser*) with permissions given to the user, then follow the steps below to give permissions to Workload Security to collect ARP related information from ONTAP.

For more information, read about [Integration with ONTAP Access Denied](#)

and [Integration with ONTAP Autonomous Ransomware Protection](#)

## Configure the data collector

### Steps for Configuration

1. Log in as Administrator or Account Owner to your Data Infrastructure Insights environment.
2. Click **Workload Security > Collectors > +Data Collectors**

The system displays the available Data Collectors.

3. Hover over the **NetApp SVM tile** and click **\*+Monitor**.

The system displays the ONTAP SVM configuration page. Enter the required data for each field.

### Configuration

Field	Description
Name	Unique name for the Data Collector
Agent	Select a configured agent from the list.
Connect via Management IP for:	Select either Cluster IP or SVM Management IP

Cluster / SVM Management IP Address	The IP address for the cluster or the SVM, depending on your selection above.
SVM Name	The Name of the SVM (this field is required when connecting via Cluster IP)
Username	User name to access the SVM/Cluster When adding via Cluster IP the options are: 1. Cluster-admin 2. 'csuser' 3. AD-user having similar role as csuser. When adding via SVM IP the options are: 4. vsadmin 5. 'csuser' 6. AD-username having similar role as csuser.
Password	Password for the above user name
Filter Shares/Volumes	Choose whether to include or exclude Shares / Volumes from event collection
Enter complete share names to exclude/include	Comma-separated list of shares to exclude or include (as appropriate) from event collection
Enter complete volume names to exclude/include	Comma-separated list of volumes to exclude or include (as appropriate) from event collection
Monitor Folder Access	When checked, enables events for folder access monitoring. Note that folder create/ rename and delete will be monitored even without this option selected. Enabling this will increase the number of events monitored.
Set ONTAP Send Buffer size	Sets the ONTAP Fpolicy send buffer size. If an ONTAP version prior to 9.8p7 is used and performance issue is seen, then the ONTAP send buffer size can be altered to get improved ONTAP performance. Contact NetApp Support if you do not see this option and wish to explore it.

#### After you finish

- In the Installed Data Collectors page, use the options menu on the right of each collector to edit the data collector. You can restart the data collector or edit data collector configuration attributes.

## Recommended Configuration for MetroCluster

The following is recommended for MetroCluster:

1. Connect two data collectors, one to the source SVM and another to the destination SVM.
2. The data collectors should be connected by *Cluster IP*.
3. At any moment in time, the current 'running' SVM's data collector will show as *Running*. The current 'stopped' SVM's data collector will show as *Stopped*.
4. Whenever there is a switchover, the state of the data collector will change from *Running* to *Stopped* and vice versa.

5. It will take up to two minutes for the data collector to move from *Stopped* state to *Running* state.

## Service Policy

If using service policy with ONTAP **version 9.9.1 or newer**, in order to connect to the Data Source Collector, the *data-fpolicy-client* service is required along with the data service *data-nfs*, and/or *data-cifs*.

Example:

```
Testcluster-1:*> net int service-policy create -policy only_data_fpolicy
-allowed-addresses 0.0.0.0/0 -vserver aniket_svm
-services data-cifs,data-nfs,data,-core,data-fpolicy-client
(network interface service-policy create)
```

In versions of ONTAP prior to 9.9.1, *data-fpolicy-client* need not be set.

## Play-Pause Data Collector

If the Data Collector is in *Running* state, you can Pause collection. Open the "three dots" menu for the collector and select PAUSE. While the collector is paused, no data is gathered from ONTAP, and no data is sent from the collector to ONTAP. This means no Fpolicy events will flow from ONTAP to the data collector, and from there to Data Infrastructure Insights.

Note that if any new volumes, etc. are created on ONTAP while the collector is Paused, Workload Security won't gather the data and those volumes, etc. will not be reflected in dashboards or tables.



A collector cannot be paused if it has restricted users. Restore the user access before pausing the collector.

Keep the following in mind:

- Snapshot purge won't happen as per the settings configured on a paused collector.
- EMS events (like ONTAP ARP) won't be processed on a paused collector. This means if ONTAP identifies a file tampering attack, Data Infrastructure Insights Workload Security won't be able to acquire that event.
- Health notifications emails will NOT be sent for a paused collector.
- Manual or Automatic actions (such as Snapshot or User Blocking) will not be supported on a paused collector.
- On agent or collector upgrades, agent VM restarts/reboots, or agent service restart, a paused collector will remain in *Paused* state.
- If the data collector is in *Error* state, the collector cannot be changed to *Paused* state. The Pause button will be enabled only if the state of the collector is *Running*.
- If the agent is disconnected, the collector cannot be changed to *Paused* state. The collector will go into *Stopped* state and the Pause button will be disabled.

## Persistent Store

Persistent store is supported with ONTAP 9.14.1 and later. Note that volume name instructions vary from ONTAP 9.14 to 9.15.

Persistent Store can be enabled by selecting the checkbox in the collector edit/add page. After selecting the checkbox, a text field is displayed for accepting volume name. Volume name is a mandatory field for enabling Persistent Store.

- For ONTAP 9.14.1, you must create the volume prior to enabling the feature, and provide the same name in the *Volume Name* field. The recommended volume size is 16GB.
- For ONTAP 9.15.1, the volume will be created automatically with 16GB size by the collector, using the name provided in the *Volume Name* field.

Specific permissions are required for Persistent Store (some or all of these may already exist):

Cluster mode:

```
security login role create -role csrole -cmddirname "vserver fpolicy"  
-access all  
security login role create -role csrole -cmddirname "job show" -access  
readonly
```

Vserver mode:

```
security login role create -vserver <vservername> -role csrole -cmddirname  
"vserver fpolicy" -access all  
security login role create -vserver <vservername> -role csrole -cmddirname  
"job show" -access readonly
```

## Migrate Collectors

You can easily migrate a Workload Security collector from one agent to another, allowing for efficient load balancing of collectors across agents.

### Prerequisites

- Source agent must be in *connected* state.
- Collector to be migrated must be in *running* state.

Note:

- Migrate is supported for both Data and User Directory collectors.
- Migration of a collector is not supported for manually managed tenants.

### Migrate collector

To migrate a collector, follow these steps:

1. Go to the "Edit Collector" page.
2. Select a destination agent from the agent dropdown.
3. Click on the "Save Collector" button.

Workload Security will process the request. On successful migration, the user will be redirected to the collectors list page. In case of failure, an appropriate message will be displayed on the edit page.

Note: Any configuration changes previously made on the "Edit Collector" page will be remain applied when the collector is successfully migrated to the destination agent.

Workload Security / Collectors / [Edit Data Collector](#)

### Edit ONTAP SVM

Name\*

CI\_SVM

Agent

fp-cs-1-agent (CONNECTED)

agent-1537 (CONNECTED)

agent-jptsc (CONNECTED)

fp-cs-1-agent (CONNECTED)

fp-cs-2-agent (CONNECTED)

GSSC\_girton (CONNECTED)

Connect via Management IP for:

- Cluster
- SVM

## Troubleshooting

See the [Troubleshooting the SVM Collector](#) page for troubleshooting tips.

## Troubleshooting the ONTAP SVM Data Collector

Workload Security uses data collectors to collect file and user access data from devices. Here you can find tips for troubleshooting issues with this collector.

See the [Configuring the SVM Collector](#) page for instructions on configuring this collector.

In the case of an error, you can click on *more detail* in the *Status* column of the Installed Data Collectors page for detail about the error.

### Installed Data Collectors

Name	Status	Type	Agent
9.8_vs1	<span style="color: red;">!</span> Error <a href="#">more detail</a>	ONTAP SVM	agent-11

Known problems and their resolutions are described below.

**Problem:** Data Collector runs for some time and stops after a random time, failing with: "Error message: Connector is in error state. Service name: audit. Reason for failure: External fpolicy server overloaded."

#### Try This:

The event rate from ONTAP was much higher than what the Agent box can handle. Hence the connection got terminated.

Check the peak traffic in CloudSecure when the disconnection happened. This you can check from the

## CloudSecure > Activity Forensics > All Activity page.

If the peak aggregated traffic is higher than what the Agent Box can handle, then please refer to the Event Rate Checker page on how to size for Collector deployment in an Agent Box.

If the Agent was installed in the Agent box prior to 4 March 2021, run the following commands in the Agent box:

```
echo 'net.core.rmem_max=8388608' >> /etc/sysctl.conf
echo 'net.ipv4.tcp_rmem = 4096 2097152 8388608' >> /etc/sysctl.conf
sysctl -p
```

Restart the collector from the UI after resizing.

**Problem:** Collector reports Error Message: "No local IP address found on the connector that can reach the data interfaces of the SVM".

**Try This:** This is most likely due to a networking issue on the ONTAP side. Please follow these steps:

1. Ensure that there are no firewalls on the SVM data lif or the management lif which are blocking the connection from the SVM.
2. When adding an SVM via a cluster management IP, please ensure that the data lif and management lif of the SVM are pingable from the Agent VM. In case of issues, check the gateway, netmask and routes for the lif.

You can also try logging in to the cluster via ssh using the cluster management IP, and ping the Agent IP. Make sure that the agent IP is pingable:

```
network ping -vserver <vserver name> -destination <Agent IP> -lif <Lif Name> -show-detail
```

If not pingable, make sure the network settings in ONTAP are correct, so that the Agent machine is pingable.

3. If you have tried connecting via Cluster IP and it is not working, try connecting directly via SVM IP. Please see above for the steps to connect via SVM IP.
4. While adding the collector via SVM IP and vsadmin credentials, check if the SVM Lif has Data plus Mgmt role enabled. In this case ping to the SVM Lif will work, however SSH to the SVM Lif will not work. If yes, create an SVM Mgmt Only Lif and try connecting via this SVM management only Lif.
5. If it is still not working, create a new SVM Lif and try connecting through that Lif. Make sure that the subnet mask is correctly set.
6. Advanced Debugging:
  - a. Start a packet trace in ONTAP.
  - b. Try to connect a data collector to the SVM from CloudSecure UI.
  - c. Wait till the error appears. Stop the packet trace in ONTAP.

- d. Open the packet trace from ONTAP. It is available at this location

```
https://<cluster_mgmt_ip>/spi/<clusternname>/etc/log/packet_traces/
```

- e. Make sure there is a SYN from ONTAP to the Agent box.
- f. If there is no SYN from ONTAP then it is an issue with firewall in ONTAP.
- g. Open the firewall in ONTAP, so that ONTAP is able to connect the agent box.

7. If it is still not working, please consult the networking team to make sure that no external firewall is blocking the connection from ONTAP to the Agent box.
8. If none of the above solves the issue, open a case with [Netapp Support](#) for further assistance.

---

**Problem:** Message: "Failed to determine ONTAP type for [hostname: <IP Address>. Reason: Connection error to Storage System <IP Address>: Host is unreachable (Host unreachable)"

**Try this:**

1. Verify that the correct SVM IP Management address or Cluster Management IP has been provided.
2. SSH to the SVM or the Cluster to which you are intending to connect. Once you are connected ensure that the SVM or the Cluster name is correct.

---

**Problem:** Error Message: "Connector is in error state. Service.name: audit. Reason for failure: External fpolicy server terminated."

**Try this:**

1. It is most likely that a firewall is blocking the necessary ports in the agent machine. Verify the port range 35000-55000/tcp is opened for the agent machine to connect from the SVM. Also ensure that there are no firewalls enabled from the ONTAP side blocking communication to the agent machine.
2. Type the following command in the Agent box and ensure that the port range is open.

```
sudo iptables-save | grep 3500*
```

Sample output should look like:

```
-A IN_public_allow -p tcp -m tcp --dport 35000 -m conntrack -ctstate NEW
-j ACCEPT
```

3. Login to SVM, enter the following commands and check that no firewall is set to block the communication with ONTAP.

```
system services firewall show
system services firewall policy show
```

Check [firewall commands](#) on the ONTAP side.

4. SSH to the SVM/Cluster which you want to monitor. Ping the Agent box from the SVM data lif (with CIFS, NFS protocols support) and ensure that ping is working:

```
network ping -vserver <vserver name> -destination <Agent IP> -lif <Lif Name> -show-detail
```

If not pingable, make sure the network settings in ONTAP are correct, so that the Agent machine is pingable.

5. If a single SVM is added twice added to a tenant via 2 data collectors, then this error will be shown. Delete one of the data collectors thru the UI. Then restart the other data collector thru the UI. Then the data collector will show "RUNNING" status and will start receiving events from SVM.

Basically, in a tenant, 1 SVM should be added only once, via 1 data collector. 1 SVM should not added twice via 2 data collectors.

6. In instances where the same SVM was added in two different Workload Security environments (tenants), the last one will always succeed. The second collector will configure fpolicy with its own IP address and kick out the first one. So the collector in the first one will stop receiving events and its "audit" service will enter into error state.  
To prevent this, configure each SVM on a single environment.
7. This error may also occur if service policies are not configured correctly. With ONTAP 9.8 or later, in order to connect to the Data Source Collector, the data-fpolicy-client service is required along with the data service data-nfs, and/or data-cifs. Additionally, the data-fpolicy-client service must be associated with the data lif(s) for the monitored SVM.

**Problem:** No events seen in activity page.

**Try this:**

1. Check if ONTAP collector is in "RUNNING" state. If yes, then ensure that some cifs events are being generated on the cifs client VMs by opening some files.
2. If no activities are seen, please login to the SVM and enter the following command.

```
<SVM>event log show -source fpolicy
```

Please ensure that there are no errors related to fpolicy.

3. If no activities are seen, please login to the SVM. Enter the following command:

```
<SVM>fpolicy show
```

Check if the fpolicy policy named with prefix "cloudsecure\_" has been set and status is "on". If not set, then most likely the Agent is unable to execute the commands in the SVM. Please ensure all the prerequisites as described in the beginning of the page have been followed.

**Problem:** SVM Data Collector is in error state and Error message is "Agent failed to connect to the collector"  
**Try this:**

1. Most likely the Agent is overloaded and is unable to connect to the Data Source collectors.
2. Check how many Data Source collectors are connected to the Agent.
3. Also check the data flow rate in the "All Activity" page in the UI.
4. If the number of activities per second is significantly high, install another Agent and move some of the Data Source Collectors to the new Agent.

**Problem:** SVM Data Collector shows error message as "fpolicy.server.connectError: Node failed to establish a connection with the FPolicy server "12.195.15.146" ( reason: "Select Timed out")"

**Try this:** Firewall is enabled in SVM/Cluster. So fpolicy engine is unable to connect to fpolicy server. CLIs in ONTAP which can be used to get more information are:

```
event log show -source fpolicy which shows the error
event log show -source fpolicy -fields event,action,description which
shows more details.
```

[Check firewall commands on the ONTAP side.](#)

**Problem:** Error Message: "Connector is in error state. Service name:audit. Reason for failure: No valid data interface (role: data,data protocols: NFS or CIFS or both, status: up) found on the SVM."

**Try this:** Ensure there is an operational interface (having role as data and data protocol as CIFS/NFS).

**Problem:** The data collector goes into Error state and then goes into RUNNING state after some time, then back to Error again. This cycle repeats.

**Try this:** This typically happens in the following scenario:

1. There are multiple data collectors added.
2. The data collectors which show this kind of behavior will have 1 SVM added to these data collectors.

Meaning 2 or more data collectors are connected to 1 SVM.

3. Ensure 1 data collector connects to only 1 SVM.
4. Delete the other data collectors which are connected to the same SVM.

---

**Problem:** Connector is in error state. Service name: audit. Reason for failure: Failed to configure (policy on SVM svmname. Reason: Invalid value specified for 'shares-to-include' element within 'fpolicy.policy.scope-modify: "Federal"

**Try this:** \*The share names need to be given without any quotes. Edit the ONTAP SVM DSC configuration to correct the share names.

*Include and exclude shares* is not intended for a long list of share names. Use filtering by volume instead if you have a large number of shares to include or exclude.

---

**Problem:** There are existing fpolicies in the Cluster which are unused. What should be done with those prior to installation of Workload Security?

**Try this:** It is recommended to delete all existing unused fpolicy settings even if they are in disconnected state. Workload Security will create fpolicy with the prefix "cloudsecure\_". All other unused fpolicy configurations can be deleted.

CLI command to show fpolicy list:

```
fpolicy show
```

Steps to delete fpolicy configurations:

```
fpolicy disable -vserver <svmname> -policy-name <policy_name>
fpolicy policy scope delete -vserver <svmname> -policy-name <policy_name>
fpolicy policy delete -vserver <svmname> -policy-name <policy_name>
fpolicy policy event delete -vserver <svmname> -event-name <event_list>
fpolicy policy external-engine delete -vserver <svmname> -engine-name
<engine_name>
```

---

**Problem:** After enabling Workload Security, ONTAP performance is impacted: Latency becomes sporadically high, IOPs become sporadically low.

**Try this:** While using ONTAP with Workload Security sometimes latency issues can be seen in ONTAP. There are a number of possible reasons for this as noted in the following: [1372994](#), [1415152](#), [1438207](#), [1479704](#), [1354659](#). All of these issues are fixed in ONTAP 9.13.1 and later; it is strongly recommended to use one of these later versions.

---

**Problem:** Data Collector shows the error message:

"Error: Failed to determine the health of the collector within 2 retries, try restarting the collector again (Error Code: AGENT008)".

**Try this:**

1. On the Data Collectors page, scroll to the right of the data collector giving the error and click on the 3 dots menu. Select *Edit*.

Enter the password of the data collector again.

Save the data collector by pressing on the **Save** button.

Data Collector will restart and the error should be resolved.

2. The Agent machine may not have enough CPU or RAM headroom, that is why the DSCs are failing.

Please check the number of Data Collectors which are added to the Agent in the machine.

If it is more than 20, please increase the CPU and RAM capacity of the Agent machine.

Once the CPU and RAM is increased, the DSCs will get into Initializing and then to Running state automatically.

Look into the sizing guide on [this page](#).

---

**Problem:** The Data Collector is erroring out when SVM mode is selected.

**Try this:** While connecting in SVM mode, If cluster management IP is used to connect instead of SVM management IP, then the connection will error out. Make sure that the correct SVM IP is used.

---

**Problem:** Data collector shows an error message when Access Denied feature is enabled:

"Connector is in error state. Service name: audit. Reason for failure: Failed to configure fpolicy on SVM test\_svm. Reason: User is not authorized."

**Try this:** The user might be missing the REST permissions needed for the Access Denied feature. Please follow the instructions on [this page](#) to set the permissions.

Restart the collector once the permissions are set.

---

**Problem:** Collector is in Error state with the message:

Connector is in error state. Service name: audit. Reason for failure: Failed to configure persistent store on SVM <SVM Name>. Reason: Unable to find a suitable aggregate for volume "<volumeName>" in SVM "<SVM Name>". Reason: Performance information for aggregate "<aggregateName>" is currently not available. Wait a few minutes and try the command again.

**Try this:** Wait a few minutes and then restart the Collector.

---

If you are still experiencing problems, reach out to the support links mentioned in the **Help > Support** page.

# Configuring the Cloud Volumes ONTAP and Amazon FSx for NetApp ONTAP collector

Monitor file and user access across your cloud storage infrastructure by configuring Workload Security data collectors for Cloud Volumes ONTAP and Amazon FSx for NetApp ONTAP. This guide provides step-by-step instructions for deploying Agents in AWS and connecting them to your cloud storage instances.

## Cloud Volumes ONTAP Storage Configuration

See the OnCommand Cloud Volumes ONTAP Documentation to configure a single-node / HA AWS instance to host the Workload Security Agent:

<https://docs.netapp.com/us-en/cloud-manager-cloud-volumes-ontap/index.html>

After the configuration is complete, follow the steps to setup your SVM:

[https://docs.netapp.com/us-en/cloudinsights/task\\_add\\_collector\\_svm.html](https://docs.netapp.com/us-en/cloudinsights/task_add_collector_svm.html)

## Supported Platforms

- Cloud Volumes ONTAP, supported in all the available cloud service providers wherever available. For example: Amazon, Azure, Google Cloud.
- ONTAP Amazon FSx

## Agent Machine Configuration

The agent machine must be configured in the respective subnets of the cloud Service providers. Read more about network access in the [Agent Requirements].

Below are the steps for Agent installation in AWS. Equivalent steps, as applicable to the cloud service provider, can be followed in Azure or Google Cloud for the installation.

In AWS, use the following steps to configure the machine to be used as a Workload Security Agent:

Use the following steps to configure the machine to be used as a Workload Security Agent:

### Steps

1. Log in to the AWS console and navigate to EC2-Instances page and select *Launch instance*.
2. Select a RHEL or CentOS AMI with the appropriate version as mentioned in this page:  
[https://docs.netapp.com/us-en/cloudinsights/concept\\_cs\\_agent\\_requirements.html](https://docs.netapp.com/us-en/cloudinsights/concept_cs_agent_requirements.html)
3. Select the VPC and Subnet that the Cloud ONTAP instance resides in.
4. Select *t2.xlarge* (4 vcpus and 16 GB RAM) as allocated resources.
  - a. Create the EC2 instance.
5. Install the required Linux packages using the YUM package manager:
  - a. Install *wget* and *unzip* native Linux packages.

## Install the Workload Security Agent

1. Log in as Administrator or Account Owner to your Data Infrastructure Insights environment.

2. Navigate to Workload Security **Collectors** and click the **Agents** tab.
3. Click **+Agent** and specify RHEL as the target platform.
4. Copy the Agent Installation command.
5. Paste the Agent Installation command into the RHEL EC2 instance you are logged in to. This installs the Workload Security agent, providing all of the [Agent Prerequisites](#) are met.

For detailed steps please refer to this xref:./

[https://docs.netapp.com/us-en/cloudinsights/task\\_cs\\_add\\_agent.html#steps-to-install-agent](https://docs.netapp.com/us-en/cloudinsights/task_cs_add_agent.html#steps-to-install-agent)

## Troubleshooting

Known problems and their resolutions are described in the following table.

Problem	Resolution
<p>“Workload Security: Failed to determine ONTAP type for Amazon FxSN data collector” error is shown by the Data Collector.</p> <p>Customer is unable to add new Amazon FSxN data collector into Workload Security. Connection to FSxN cluster on port 443 from the agent is timing out. Firewall and AWS security groups have the required rules enabled to allow communication. An agent is already deployed and is in the same AWS account as well. This same agent is used to connect and monitor the remaining NetApp devices (and all of them are working).</p>	<p>Solve this issue by adding fsxadmin LIF network segment to agent’s security rule. Allowed all ports if you are not sure about the ports.</p>

## User Management

Workload Security user accounts are managed through Data Infrastructure Insights.

Data Infrastructure Insights provides four user account levels: Account Owner, Administrator, User, and Guest. Each account is assigned specific permission levels. A User account that has Administrator privileges can create or modify users, and assign each user one of the following Workload Security roles:

Role	Workload Security Access
Administrator	Can perform all Workload Security functions, including those for Alerts, Forensics, data collectors, automated response policies, and APIs for Workload Security. An Administrator can also invite other users but can only assign Workload Security roles.
User	Can view and manage Alerts and view Forensics. User role can change alert status, add a note, take snapshots manually, and restrict user access.
Guest	Can view Alerts and Forensics. Guest role cannot change alert status, add a note, take snapshots manually, or restrict user access.

## Steps

1. Log into Workload Security
2. In the menu, click **Admin > User Management**

You will be forwarded to Data Infrastructure Insights's User Management page.

3. Select the desired role for each user.

While adding a new user, simply select the desired role (usually User or Guest).

More information on User accounts and roles can be found in the Data Infrastructure Insights [User Role](#) documentation.

## Event Rate Checker: Agent Sizing Guide

Determine optimal Agent machine sizing by measuring NFS and SMB event rates generated by your SVMs before deploying data collectors. The Event Rate Checker script helps you understand capacity limits (maximum 50 data collectors per Agent) and ensures your Agent infrastructure can handle your expected event volume for reliable threat detection.

### Requirements:

- Cluster IP
- Cluster admin username and password



When running this script no ONTAP SVM Data Collector should be running for the SVM for which event rate is being determined.

Steps:

1. Install the Agent by following the instructions in CloudSecure.
2. Once the agent is installed, run the `server_data_rate_checker.sh` script as a sudo user:

```
/opt/netapp/cloudsecure/agent/install/svm_event_rate_checker.sh
```

3. This script requires `sshpass` to be installed in the linux machine. There are two ways to install it:

- a. Run the following command:

```
linux_prompt> yum install sshpass
```

- b. If that does not work, then download `sshpass` to the linux machine from the web and run the following command:

```
linux_prompt> rpm -i sshpass
```

4. Provide the correct values when prompted. See below for an example.
5. The script will take approximately 5 minutes to run.
6. After the run is complete, the script will print the event rate from the SVM. You can check Event rate per SVM in the console output:

```
"Svm svm_rate is generating 100 events/sec".
```

Each Ontap SVM Data Collector can be associated with a single SVM, which means each data collector will be able to receive the number of events which a single SVM generates.

Keep the following in mind:

A) Use this table as a general sizing guide. You can increase the number of cores and/or memory to increase the number of data collectors supported, up to a maximum of 50 data collectors:

Agent Machine Configuration	Number of SVM Data Collectors	Max event Rate which the Agent Machine can handle
4 core, 16GB	10 data collectors	20K events/sec
4 core, 32GB	20 data collectors	20K events/sec

B) To calculate your total events, add the Events generated for all SVMs for that agent.

C) If the script is not run during peak hours or if peak traffic is difficult to predict, then keep an event rate buffer of 30%.

B + C Should be less than A, otherwise the Agent machine will fail to monitor.

In other words, the number of data collectors which can be added to a single agent machine should comply to the formula below:

```
Sum of all Event rate of all Data Source Collectors + Buffer Event rate of  
30% < 20000 events/second
```

See the [Agent Requirements](#) page for additional pre-requisites and requirements.

## Example

Let us say we have three SVMS generating event rates of 100, 200, and 300 events per second, respectively.

We apply the formula:

```
(100+200+300) + [(100+200+300)*30%] = 600+180 = 780events/sec  
780 events/second is < 20000 events/second, so the 3 SVMs can be monitored  
via one agent box.
```

Console output is available in the Agent machine in the file name *fpolicy\_stat\_<SVM Name>.log* in the present

working directory.

The script may give erroneous results in the following cases:

- Incorrect credentials, IP, or SVM name are provided.
- An already-existing fpolicy with same name, sequence number, etc. will give error.
- The script is stopped abruptly while running.

An example script run is shown below:

```
[root@ci-cs-data agent]#  
/opt/netapp/cloudsecure/agent/install/svm_event_rate_checker.sh
```

```
Enter the cluster ip: 10.192.139.166  
Enter the username to SSH: admin  
Enter the password:  
Getting event rate for NFS and SMB events.  
Available SVMs in the Cluster  
-----  
QA_SVM  
Stage_SVM  
Qa-fas8020  
Qa-fas8020-01  
Qa-fas8020-02  
audit_svm  
svm_rate  
vs_new  
vs_new2
```

```
-----
Enter [1/5] SVM name to check (press enter to skip): svm_rate
Enter [2/5] SVM name to check (press enter to skip): audit_svm
Enter [3/5] SVM name to check (press enter to skip):
Enter [4/5] SVM name to check (press enter to skip):
Enter [5/5] SVM name to check (press enter to skip):
Running check for svm svm_rate...
Running check for svm audit_svm...
Waiting 5 minutes for stat collection
Stopping sample svm_rate_sample
Stopping sample audit_svm_sample
fpolicy stats of svm svm_rate is saved in fpolicy_stat_svm_rate.log
Svm svm_rate is generating 100 SMB events/sec and 100 NFS events/sec
Overall svm svm_rate is generating 200 events/sec
fpolicy stats of svm audit_svm is saved in fpolicy_stat_audit_svm.log
Svm audit_svm is generating 200 SMB events/sec and 100 NFS events/sec
Overall svm audit_svm is generating 300 events/sec
```

```
[root@ci-cs-data agent]#
```

## Troubleshooting

Question	Answer
If I run this script on an SVM that is already configured for Workload Security, does it just use the existing fpolicy config on the SVM or does it setup a temporary one and run the process?	The Event Rate Checker can run fine even for an SVM already configured for Workload Security. There should be no impact.
Can I increase the number of SVMs on which the script can be run?	Yes. Simply edit the script and change the max number of SVMs from 5 to any desirable number.
If I increase the number of SVMs, will it increase the time of running of the script?	No. The script will run for a max of 5 minutes, even if the number of SVMs is increased.
Can I increase the number of SVMs on which the script can be run?	Yes. You need to edit the script and change the max number of SVMs from 5 to any desirable number.
If I increase the number of SVMs, will it increase the time of running of the script?	No. The script will run for a max of 5mins, even if the number of SVMs are increased.
What happens if I run the Event Rate Checker with an existing agent?	Running the Event Rate Checker against an already-existing agent may cause an increase in latency on the SVM. This increase will be temporary in nature while the Event rate Checker is running.

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