Manage NAS audit configurations

REST API reference

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Manage NAS audit configurations

Protocols audit endpoint overview

Overview

Auditing for NAS events is a security measure that enables you to track and log certain CIFS and NFS events on storage virtual machines (SVMs). This helps you track potential security problems and provides evidence of any security breaches.

Examples

Creating an audit entry with log rotation size and log retention count

To create an audit entry with log rotation size and log retention count, use the following API. Note the *return_records=true* query parameter is used to obtain the newly created entry in the response.

```
# The API:
POST /api/protocols/audit/

# The call:
curl -X POST "https://<mgmt-ip>/api/protocols/audit" -H "accept:
application/json" -H "Content-Type: application/json" -d "{ \"enabled\":
true, \"events\": { \"authorization_policy\": false, \"cap_staging\":
false, \"cifs_logon_logoff\": true, \"file_operations\": true,
\"file_share\": false, \"security_group\": false, \"user_account\": false
}, \"guarantee\": true, \"log\": { \"format\": \"evtx\", \"retention\": {
\"count\": 10 }, \"rotation\": { \"size\": 2048000 }}, \"log_path\":
\"/\", \"svm\": { \"name\": \"vs1\", \"uuid\": \"ec650e97-156e-11e9-abcb-
005056bbd0bf\" }}"

# The response:
{
"records": [
  {
    "svm": {
      "uuid": "ec650e97-156e-11e9-abcb-005056bbd0bf",
      "name": "vs1"
    },
    "enabled": true,
    "events": {
      "authorization_policy": false,
      "cap_staging": false,
```

```
      "cifs_logon_logoff": true,
      "file_operations": true,
      "file_share": false,
      "security_group": false,
      "user_account": false
    },
    "log": {
      "format": "evtx",
      "rotation": {
        "size": 2048000
      },
      "retention": {
        "count": 10,
        "duration": "0s"
      }
    },
    "log_path": "/",
    "guarantee": true
  }
],
"num_records": 1
}
```

Creating an audit entry with log rotation schedule and log retention duration

To create an audit entry with log rotation schedule and log retention duration, use the following API. Note that the *return_records=true* query parameter is used to obtain the newly created entry in the response.

```
# The API:
POST /api/protocols/audit/

# The call:
curl -X POST "https://<mgmt-ip>/api/protocols/audit" -H "accept:
application/json" -H "Content-Type: application/json" -d "{ \"enabled\":
false, \"events\": { \"authorization_policy\": false, \"cap_staging\":
false, \"cifs_logon_logoff\": true, \"file_operations\": true,
\"file_share\": false, \"security_group\": false, \"user_account\": false
}, \"guarantee\": true, \"log\": { \"format\": \"xml\", \"retention\": {
\"duration\": \"P4DT12H30M5S\" }, \"rotation\": { \"schedule\": {
\"days\": [1, 5, 10, 15], \"hours\": [0, 1, 6, 12, 18, 23], \"minutes\":
[10, 15, 30, 45, 59], \"months\": [0], \"weekdays\": [0, 2, 5] } } },
\"log_path\": \"/\", \"svm\": { \"name\": \"vs3\", \"uuid\": \"a8d64674-
13fc-11e9-87b1-005056a7ae7e\" }}"
```

```
# The response:
{
"records": [
  {
    "svm": {
      "uuid": "a8d64674-13fc-11e9-87b1-005056a7ae7e",
      "name": "vs3"
    },
    "enabled": true,
    "events": {
      "authorization_policy": false,
      "cap_staging": false,
      "cifs_logon_logoff": true,
      "file_operations": true,
      "file_share": false,
      "security_group": false,
      "user_account": false
    },
    "log": {
      "format": "xml",
      "rotation": {
        "schedule": {
          "minutes": [
            10,
            15,
            30,
            45,
            59
          ],
          "hours": [
            0,
            1,
            6,
            12,
            18,
            23
          ],
          "weekdays": [
            0,
            2,
            5
          ],
          "days": [
            1,
            5,
```

```
            10,
            15
          ],
          "months": [
            0
          ]
        }
      },
      "retention": {
        "count": 0,
        "duration": "P4DT12H30M5S"
      }
    },
    "log_path": "/",
    "guarantee": true
  }
],
"num_records": 1
}
```

Retrieving an audit configuration for all SVMs in the cluster

```
# The API:
GET /api/protocols/audit/

# The call:
curl -X GET "https://<mgmt-
ip>/api/protocols/audit?fields=*&return_records=true&return_timeout=15" -H
"accept: application/json"

# The response:
{
"records": [
  {
    "svm": {
      "uuid": "ec650e97-156e-11e9-abcb-005056bbd0bf",
      "name": "vs1"
    },
    "enabled": true,
    "events": {
      "authorization_policy": false,
      "cap_staging": false,
```

```
      "cifs_logon_logoff": true,
      "file_operations": true,
      "file_share": false,
      "security_group": false,
      "user_account": false
    },
    "log": {
      "format": "evtx",
      "rotation": {
        "size": 2048000
      },
      "retention": {
        "count": 10,
        "duration": "0s"
      }
    },
    "log_path": "/",
    "guarantee": true
  },
  {
    "svm": {
      "uuid": "a8d64674-13fc-11e9-87b1-005056a7ae7e",
      "name": "vs3"
    },
    "enabled": true,
    "events": {
      "authorization_policy": false,
      "cap_staging": false,
      "cifs_logon_logoff": true,
      "file_operations": true,
      "file_share": false,
      "security_group": false,
      "user_account": false
    },
    "log": {
      "format": "xml",
      "rotation": {
        "schedule": {
          "minutes": [
            10,
            15,
            30,
            45,
            59
          ],
          "hours": [
```

```
            0,
            1,
            6,
            12,
            18,
            23
          ],
          "weekdays": [
            0,
            2,
            5
          ],
          "days": [
            1,
            5,
            10,
            15
          ],
          "months": [
            0
          ]
        }
      },
      "retention": {
        "count": 0,
        "duration": "P4DT12H30M5S"
      }
    },
    "log_path": "/",
    "guarantee": true
  }
],
"num_records": 2
}
```


Retrieving specific entries with event list as cifs-logon-logoff, file-ops = true for an SVM

The configuration returned is identified by the events in the list of audit configurations for an SVM.

```
# The API:
GET /api/protocols/audit/

# The call:
curl -X GET "https://<mgmt-
ip>/api/protocols/audit?events.file_operations=true&events.cifs_logon_logo
ff=true&return_records=true&return_timeout=15" -H "accept:
application/json"

# The response:
{
"records": [
  {
    "svm": {
      "uuid": "ec650e97-156e-11e9-abcb-005056bbd0bf",
      "name": "vs1"
    },
    "events": {
      "cifs_logon_logoff": true,
      "file_operations": true
    }
  },
  {
    "svm": {
      "uuid": "a8d64674-13fc-11e9-87b1-005056a7ae7e",
      "name": "vs3"
    },
    "events": {
      "cifs_logon_logoff": true,
      "file_operations": true
    }
  }
],
"num_records": 2
}
```

Retrieving a specific audit configuration for an SVM

The configuration returned is identified by the UUID of its SVM.

```
# The API:
GET /api/protocols/audit/{svm.uuid}

# The call:
curl -X GET "https://<mgmt-ip>/api/protocols/audit/ec650e97-156e-11e9-
abcb-005056bbd0bf" -H "accept: application/json"

# The response:
{
"svm": {
  "uuid": "ec650e97-156e-11e9-abcb-005056bbd0bf",
  "name": "vs1"
},
"enabled": true,
"events": {
  "authorization_policy": false,
  "cap_staging": false,
  "cifs_logon_logoff": true,
  "file_operations": true,
  "file_share" : false,
  "security_group": false,
  "user_account": false
},
"log": {
  "format": "evtx",
  "rotation": {
    "size": 2048000
  },
  "retention": {
    "count": 10,
    "duration": "0s"
  }
},
"log_path": "/",
"guarantee": true
}
```

Updating a specific audit configuration of an SVM

The configuration is identified by the UUID of its SVM and the provided information is updated.

```
# The API:
PATCH /api/protocols/audit/{svm.uuid}

# The call:
curl -X PATCH "https://<mgmt-ip>/api/protocols/audit/ec650e97-156e-11e9-
abcb-005056bbd0bf" -H "accept: application/json" -H "Content-Type:
application/json" -d "{ \"enabled\": false}"
```

Deleting a specific audit configuration for an SVM

The entry to be deleted is identified by the UUID of its SVM.

```
# The API:
DELETE /api/protocols/audit/{svm.uuid}

# The call:
curl -X DELETE "https://<mgmt-ip>/api/protocols/audit/ec650e97-156e-11e9-
abcb-005056bbd0bf?force=true" -H "accept: application/json"
```

Retrieve audit configurations

GET `/protocols/audit`

Introduced In: 9.6

Retrieves audit configurations.

Related ONTAP commands

- `vserver audit show`

Learn more

- DOC /protocols/audit

Parameters

Name	Type	In	Required	Description
log_path	string	query	False	Filter by log_path
enabled	boolean	query	False	Filter by enabled

Name	Type	In	Required	Description
log.rotation.size	integer	query	False	Filter by log.rotation.size
log.rotation.schedule.months	integer	query	False	Filter by log.rotation.schedule.months • Max value: 12 • Min value: 1
log.rotation.schedule.minutes	integer	query	False	Filter by log.rotation.schedule.minutes • Max value: 59 • Min value: 0
log.rotation.schedule.days	integer	query	False	Filter by log.rotation.schedule.days • Max value: 31 • Min value: 1
log.rotation.schedule.weekdays	integer	query	False	Filter by log.rotation.schedule.weekdays • Max value: 6 • Min value: 0
log.rotation.schedule.hours	integer	query	False	Filter by log.rotation.schedule.hours • Max value: 23 • Min value: 0
log.retention.count	integer	query	False	Filter by log.retention.count
log.retention.duration	string	query	False	Filter by log.retention.duration
log.format	string	query	False	Filter by log.format

Name	Type	In	Required	Description
svm.uuid	string	query	False	Filter by svm.uuid
svm.name	string	query	False	Filter by svm.name
guarantee	boolean	query	False	Filter by guarantee • Introduced in: 9.10
events.user_account	boolean	query	False	Filter by events.user_account
events.cifs_logon_logoff	boolean	query	False	Filter by events.cifs_logon_logoff
events.security_group	boolean	query	False	Filter by events.security_group
events.authorization_policy	boolean	query	False	Filter by events.authorization_policy
events.file_share	boolean	query	False	Filter by events.file_share
events.cap_staging	boolean	query	False	Filter by events.cap_staging
events.file_operations	boolean	query	False	Filter by events.file_operations
fields	array[string]	query	False	Specify the fields to return.
max_records	integer	query	False	Limit the number of records returned.
return_records	boolean	query	False	The default is true for GET calls. When set to false, only the number of records is returned. • Default value: 1

Name	Type	In	Required	Description
return_timeout	integer	query	False	The number of seconds to allow the call to execute before returning. When iterating over a collection, the default is 15 seconds. ONTAP returns earlier if either max records or the end of the collection is reached. • Max value: 120 • Min value: 0 • Default value: 1
order_by	array[string]	query	False	Order results by specified fields and optional [asc

Response

```
Status: 200, Ok
```

Name	Type	Description
_links	_links	
num_records	integer	Number of records
records	array[audit]	

Example response


```
{
  "_links": {
    "next": {
      "href": "/api/resourcelink"
    },
    "self": {
      "href": "/api/resourcelink"
    }
  },
  "num_records": 1,
  "records": [
    {
      "guarantee": "",
      "log": {
        "_links": {
          "self": {
            "href": "/api/resourcelink"
          }
        },
        "format": "string",
        "retention": {
          "duration": "P4DT12H30M5S"
        },
        "rotation": {
          "schedule": {
            "days": [
              "integer"
            ],
            "hours": [
              "integer"
            ],
            "minutes": [
              "integer"
            ],
            "months": [
              "integer"
            ],
            "weekdays": [
              "integer"
            ]
          }
        }
      },
      "log_path": "string",
```

```
      "svm": {
        "_links": {
          "self": {
            "href": "/api/resourcelink"
          }
        },
        "name": "svm1",
        "uuid": "02c9e252-41be-11e9-81d5-00a0986138f7"
      }
    }
  ]
}
```


Error

```
Status: Default, Error
```

Name	Type	Description
error	error	

Example error


```
{
  "error": {
    "arguments": [
      {
        "code": "string",
        "message": "string"
      }
    ],
    "code": "4",
    "message": "entry doesn't exist",
    "target": "uuid"
  }
}
```


Definitions

See Definitions

href

Name	Type	Description
href	string	

_links

Name	Type	Description
next	href	
self	href	

events

Name	Type	Description
authorization_policy	boolean	Authorization policy change events
cap_staging	boolean	Central access policy staging events
cifs_logon_logoff	boolean	CIFS logon and logoff events
file_operations	boolean	File operation events
file_share	boolean	File share category events
security_group	boolean	Local security group management events
user_account	boolean	Local user account management events

_links

Name	Type	Description
self	href	

retention

Name	Type	Description
count	integer	Determines how many audit log files to retain before rotating the oldest log file out. This is mutually exclusive with duration.
duration	string	Specifies an ISO-8601 format date and time to retain the audit log file. The audit log files are deleted once they reach the specified date/time. This is mutually exclusive with count.

audit_schedule

Rotates the audit logs based on a schedule by using the time-based rotation parameters in any combination. The rotation schedule is calculated by using all the time-related values.

Name	Type	Description
days	array[integer]	Specifies the day of the month schedule to rotate audit log. Leave empty for all.
hours	array[integer]	Specifies the hourly schedule to rotate audit log. Leave empty for all.
minutes	array[integer]	Specifies the minutes schedule to rotate the audit log.
months	array[integer]	Specifies the months schedule to rotate audit log. Leave empty for all.
weekdays	array[integer]	Specifies the weekdays schedule to rotate audit log. Leave empty for all.

rotation

Audit event log files are rotated when they reach a configured threshold log size or are on a configured schedule. When an event log file is rotated, the scheduled consolidation task first renames the active converted file to a time-stamped archive file, and then creates a new active converted event log file.

Name	Type	Description
now	boolean	Manually rotates the audit logs. Optional in PATCH only. Not available in POST.
schedule	audit_schedule	Rotates the audit logs based on a schedule by using the time-based rotation parameters in any combination. The rotation schedule is calculated by using all the time-related values.
size	integer	Rotates logs based on log size in bytes.

log

Name	Type	Description
_links	_links	
format	string	The format in which the logs are generated by consolidation process. Possible values are: • xml - Data ONTAP-specific XML log format • evtx - Microsoft Windows EVTX log format ◦ Default value: 1 ◦ enum: ["xml", "evtx"] ◦ Introduced in: 9.6 ◦ x-nullable: true
retention	retention	
rotation	rotation	Audit event log files are rotated when they reach a configured threshold log size or are on a configured schedule. When an event log file is rotated, the scheduled consolidation task first renames the active converted file to a time-stamped archive file, and then creates a new active converted event log file.

svm

Name	Type	Description
_links	_links	
name	string	The name of the SVM.
uuid	string	The unique identifier of the SVM.

audit

Auditing for NAS events is a security measure that enables you to track and log certain CIFS and NFS events on SVMs.

Name	Type	Description
enabled	boolean	Specifies whether or not auditing is enabled on the SVM.
events	events	
guarantee	boolean	Indicates whether there is a strict Guarantee of Auditing
log	log	
log_path	string	The audit log destination path where consolidated audit logs are stored.
svm	svm	

error_arguments

Name	Type	Description
code	string	Argument code
message	string	Message argument

error

Name	Type	Description
arguments	array[error_arguments]	Message arguments
code	string	Error code
message	string	Error message

Name	Type	Description
target	string	The target parameter that caused the error.

Create an audit configuration

POST `/protocols/audit`

Introduced In: 9.6

Creates an audit configuration.

Required properties

- `svm.uuid` or `svm.name` - Existing SVM to which audit configuration is to be created.
- `log_path` - Path in the owning SVM namespace that is used to store audit logs.

Default property values

If not specified in POST, the following default property values are assigned:

- `enabled` - *true*
- `events.authorization_policy` - *false*
- `events.cap_staging` - *false*
- `events.file_share` - *false*
- `events.security_group` - *false*
- `events.user_account` - *false*
- `events.cifs_logon_logoff` - *true*
- `events.file_operations` - *true*
- `log.format` - *evtx*
- `log.retention.count` - *0*
- `log.retention.duration` - *PT0S*
- `log.rotation.size` - *100MB*
- `log.rotation.now` - *false*
- `guarantee` - *true*

Related ONTAP commands

- `vserver audit create`
- `vserver audit enable`

Learn more

- DOC /protocols/audit

Parameters

Name	Type	In	Required	Description
return_timeout	integer	query	False	The number of seconds to allow the call to execute before returning. When doing a POST, PATCH, or DELETE operation on a single record, the default is 0 seconds. This means that if an asynchronous operation is started, the server immediately returns HTTP code 202 (Accepted) along with a link to the job. If a non-zero value is specified for POST, PATCH, or DELETE operations, ONTAP waits that length of time to see if the job completes so it can return something other than 202. • Default value: 1 • Max value: 120 • Min value: 0
return_records	boolean	query	False	The default is false. If set to true, the records are returned. • Default value:

Request Body

Name	Type	Description
enabled	boolean	Specifies whether or not auditing is enabled on the SVM.
events	events	
guarantee	boolean	Indicates whether there is a strict Guarantee of Auditing
log	log	
log_path	string	The audit log destination path where consolidated audit logs are stored.
svm	svm	

Example request

```
{
  "guarantee": "",
  "log": {
    "format": "string",
    "retention": {
      "duration": "P4DT12H30M5S"
    },
    "rotation": {
      "schedule": {
        "days": [
          "integer"
        ],
        "hours": [
          "integer"
        ],
        "minutes": [
          "integer"
        ],
        "months": [
          "integer"
        ],
        "weekdays": [
          "integer"
        ]
      }
    }
  },
  "log_path": "string",
  "svm": {
    "name": "svm1",
    "uuid": "02c9e252-41be-11e9-81d5-00a0986138f7"
  }
}
```

Response

```
Status: 202, Accepted
```

Name	Type	Description
num_records	integer	Number of records

Name	Type	Description
records	array[audit]	

Example response


```
{
  "num_records": 1,
  "records": [
    {
      "guarantee": "",
      "log": {
        "format": "string",
        "retention": {
          "duration": "P4DT12H30M5S"
        },
        "rotation": {
          "schedule": {
            "days": [
              "integer"
            ],
            "hours": [
              "integer"
            ],
            "minutes": [
              "integer"
            ],
            "months": [
              "integer"
            ],
            "weekdays": [
              "integer"
            ]
          }
        }
      },
      "log_path": "string",
      "svm": {
        "name": "svm1",
        "uuid": "02c9e252-41be-11e9-81d5-00a0986138f7"
      }
    }
  ]
}
```

Headers

Name	Description	Type
Location	Useful for tracking the resource location	string

Error

```
Status: Default
```

ONTAP Error Response Codes

Error Code	Description
262196	Log_rotation_now is not an allowed operation
2621462	The specified SVM does not exist
9699330	An audit configuration already exists
9699337	Audit system internal update is in progress, audit configuration create failed
9699340	SVM UUID lookup failed
9699358	Audit configuration is absent for enabling
9699359	Audit configuration is already enabled
9699360	Final consolidation is in progress, audit enable failed
9699365	Enabling of audit configuration failed
9699370	Auditing was successfully configured, however audit configuration could not be enabled
9699384	The specified log_path does not exist
9699385	The log_path must be a directory
9699386	The log_path must be a canonical path in the SVMs namespace
9699387	The log_path cannot be empty
9699388	Rotate size must be greater than or equal to 1024 KB
9699389	The log_path must not contain a symbolic link
9699398	The log_path exceeds a maximum supported length of characters
9699399	The log_path contains an unsupported read-only (DP/LS) volume
9699400	The specified log_path is not a valid destination for SVM

Error Code	Description
9699402	The log_path contains an unsupported snaplock volume
9699403	The log_path cannot be accessed for validation
9699406	The log_path validation failed
9699409	Failed to enable multiproto.audit.evtxlog.support support capability
9699428	All nodes need to run ONTAP 8.3.0 release to audit CIFS logon-logoff events
9699429	Failed to enable multiproto.audit.cifslogonlogoff.support support capability
9699431	All nodes need to run ONTAP 8.3.0 release to audit CAP staging events
9699432	Failed to enable multiproto.audit.capstaging.support support capability

Definitions

See Definitions

events

Name	Type	Description
authorization_policy	boolean	Authorization policy change events
cap_staging	boolean	Central access policy staging events
cifs_logon_logoff	boolean	CIFS logon and logoff events
file_operations	boolean	File operation events
file_share	boolean	File share category events
security_group	boolean	Local security group management events
user_account	boolean	Local user account management events

href

Name	Type	Description
href	string	

_links

retention

Name	Type	Description
count	integer	Determines how many audit log files to retain before rotating the oldest log file out. This is mutually exclusive with duration.
duration	string	Specifies an ISO-8601 format date and time to retain the audit log file. The audit log files are deleted once they reach the specified date/time. This is mutually exclusive with count.

audit_schedule

Rotates the audit logs based on a schedule by using the time-based rotation parameters in any combination. The rotation schedule is calculated by using all the time-related values.

Name	Type	Description
days	array[integer]	Specifies the day of the month schedule to rotate audit log. Leave empty for all.
hours	array[integer]	Specifies the hourly schedule to rotate audit log. Leave empty for all.
minutes	array[integer]	Specifies the minutes schedule to rotate the audit log.
months	array[integer]	Specifies the months schedule to rotate audit log. Leave empty for all.
weekdays	array[integer]	Specifies the weekdays schedule to rotate audit log. Leave empty for all.

rotation

Audit event log files are rotated when they reach a configured threshold log size or are on a configured schedule. When an event log file is rotated, the scheduled consolidation task first renames the active converted file to a time-stamped archive file, and then creates a new active converted event log file.

Name	Type	Description
now	boolean	Manually rotates the audit logs. Optional in PATCH only. Not available in POST.
schedule	audit_schedule	Rotates the audit logs based on a schedule by using the time-based rotation parameters in any combination. The rotation schedule is calculated by using all the time-related values.
size	integer	Rotates logs based on log size in bytes.

log

Name	Type	Description
format	string	The format in which the logs are generated by consolidation process. Possible values are: • xml - Data ONTAP-specific XML log format • evtx - Microsoft Windows EVTX log format ◦ Default value: 1 ◦ enum: ["xml", "evtx"] ◦ Introduced in: 9.6 ◦ x-nullable: true
retention	retention	
rotation	rotation	Audit event log files are rotated when they reach a configured threshold log size or are on a configured schedule. When an event log file is rotated, the scheduled consolidation task first renames the active converted file to a time-stamped archive file, and then creates a new active converted event log file.

svm

Name	Type	Description
_links	_links	
name	string	The name of the SVM.
uuid	string	The unique identifier of the SVM.

audit

Auditing for NAS events is a security measure that enables you to track and log certain CIFS and NFS events on SVMs.

Name	Type	Description
enabled	boolean	Specifies whether or not auditing is enabled on the SVM.
events	events	

Name	Type	Description
guarantee	boolean	Indicates whether there is a strict Guarantee of Auditing
log	log	
log_path	string	The audit log destination path where consolidated audit logs are stored.
svm	svm	

error_arguments

Name	Type	Description
code	string	Argument code
message	string	Message argument

error

Name	Type	Description
arguments	array[error_arguments]	Message arguments
code	string	Error code
message	string	Error message
target	string	The target parameter that caused the error.

Delete an audit configuration

DELETE `/protocols/audit/{svm.uuid}`

Introduced In: 9.6

Deletes an audit configuration.

Related ONTAP commands

- `vserver audit disable`
- `vserver audit delete`

Learn more

- DOC /protocols/audit

Parameters

Name	Type	In	Required	Description
force	boolean	query	False	Indicates to force delete audit configuration. • Introduced in: 9.10
return_timeout	integer	query	False	The number of seconds to allow the call to execute before returning. When doing a POST, PATCH, or DELETE operation on a single record, the default is 0 seconds. This means that if an asynchronous operation is started, the server immediately returns HTTP code 202 (Accepted) along with a link to the job. If a non-zero value is specified for POST, PATCH, or DELETE operations, ONTAP waits that length of time to see if the job completes so it can return something other than 202. • Default value: 1 • Max value: 120 • Min value: 0
svm.uuid	string	path	True	UUID of the SVM to which this object belongs.

Response

```
Status: 202, Accepted
```

Error

```
Status: Default
```

ONTAP Error Response Codes

Error Code	Description
9699349	Auditing should be disabled before deleting the audit configuration
9699350	Audit configuration cannot be deleted, final consolidation is in progress
9699410	Failed to disable multiproto.audit.evtxlog.support support capability
9699430	Failed to disable multiproto.audit.cifslogonlogoff.support support capability
9699433	Failed to disable multiproto.audit.capstaging.support support capability

Name	Type	Description
error	error	

Example error


```
{
  "error": {
    "arguments": [
      {
        "code": "string",
        "message": "string"
      }
    ],
    "code": "4",
    "message": "entry doesn't exist",
    "target": "uuid"
  }
}
```

Definitions

See Definitions

error_arguments

Name	Type	Description
code	string	Argument code
message	string	Message argument

error

Name	Type	Description
arguments	array[error_arguments]	Message arguments
code	string	Error code
message	string	Error message
target	string	The target parameter that caused the error.

Retrieve the audit configuration for an SVM

GET `/protocols/audit/{svm.uuid}`

Introduced In: 9.6

Retrieves an audit configuration for an SVM.

Related ONTAP commands

- `vserver audit show`

Learn more

- DOC /protocols/audit

Parameters

Name	Type	In	Required	Description
svm.uuid	string	path	True	UUID of the SVM to which this object belongs.
fields	array[string]	query	False	Specify the fields to return.

Response

```
Status: 200, Ok
```

Name	Type	Description
enabled	boolean	Specifies whether or not auditing is enabled on the SVM.
events	events	
guarantee	boolean	Indicates whether there is a strict Guarantee of Auditing
log	log	
log_path	string	The audit log destination path where consolidated audit logs are stored.
svm	svm	

Example response


```
{
  "guarantee": "",
  "log": {
    "_links": {
      "self": {
        "href": "/api/resourcelink"
      }
    },
    "format": "string",
    "retention": {
      "duration": "P4DT12H30M5S"
    },
    "rotation": {
      "schedule": {
        "days": [
          "integer"
        ],
        "hours": [
          "integer"
        ],
        "minutes": [
          "integer"
        ],
        "months": [
          "integer"
        ],
        "weekdays": [
          "integer"
        ]
      }
    }
  },
  "log_path": "string",
  "svm": {
    "_links": {
      "self": {
        "href": "/api/resourcelink"
      }
    },
    "name": "svm1",
    "uuid": "02c9e252-41be-11e9-81d5-00a0986138f7"
  }
}
```

Error

```
Status: Default, Error
```

Name	Type	Description
error	error	

Example error


```
{
  "error": {
    "arguments": [
      {
        "code": "string",
        "message": "string"
      }
    ],
    "code": "4",
    "message": "entry doesn't exist",
    "target": "uuid"
  }
}
```


Definitions

See Definitions

events

Name	Type	Description
authorization_policy	boolean	Authorization policy change events
cap_staging	boolean	Central access policy staging events
cifs_logon_logoff	boolean	CIFS logon and logoff events
file_operations	boolean	File operation events
file_share	boolean	File share category events
security_group	boolean	Local security group management events
user_account	boolean	Local user account management events

href

Name	Type	Description
href	string	

_links

Name	Type	Description
self	href	

retention

Name	Type	Description
count	integer	Determines how many audit log files to retain before rotating the oldest log file out. This is mutually exclusive with duration.

Name	Type	Description
duration	string	Specifies an ISO-8601 format date and time to retain the audit log file. The audit log files are deleted once they reach the specified date/time. This is mutually exclusive with count.

audit_schedule

Rotates the audit logs based on a schedule by using the time-based rotation parameters in any combination. The rotation schedule is calculated by using all the time-related values.

Name	Type	Description
days	array[integer]	Specifies the day of the month schedule to rotate audit log. Leave empty for all.
hours	array[integer]	Specifies the hourly schedule to rotate audit log. Leave empty for all.
minutes	array[integer]	Specifies the minutes schedule to rotate the audit log.
months	array[integer]	Specifies the months schedule to rotate audit log. Leave empty for all.
weekdays	array[integer]	Specifies the weekdays schedule to rotate audit log. Leave empty for all.

rotation

Audit event log files are rotated when they reach a configured threshold log size or are on a configured schedule. When an event log file is rotated, the scheduled consolidation task first renames the active converted file to a time-stamped archive file, and then creates a new active converted event log file.

Name	Type	Description
now	boolean	Manually rotates the audit logs. Optional in PATCH only. Not available in POST.

Name	Type	Description
schedule	audit_schedule	Rotates the audit logs based on a schedule by using the time-based rotation parameters in any combination. The rotation schedule is calculated by using all the time-related values.
size	integer	Rotates logs based on log size in bytes.

log

Name	Type	Description
_links	_links	
format	string	The format in which the logs are generated by consolidation process. Possible values are: • xml - Data ONTAP-specific XML log format • evtx - Microsoft Windows EVTX log format ◦ Default value: 1 ◦ enum: ["xml", "evtx"] ◦ Introduced in: 9.6 ◦ x-nullable: true
retention	retention	
rotation	rotation	Audit event log files are rotated when they reach a configured threshold log size or are on a configured schedule. When an event log file is rotated, the scheduled consolidation task first renames the active converted file to a time-stamped archive file, and then creates a new active converted event log file.

svm

Name	Type	Description
_links	_links	

Name	Type	Description
name	string	The name of the SVM.
uuid	string	The unique identifier of the SVM.

error_arguments

Name	Type	Description
code	string	Argument code
message	string	Message argument

error

Name	Type	Description
arguments	array[error_arguments]	Message arguments
code	string	Error code
message	string	Error message
target	string	The target parameter that caused the error.

Update the audit configuration for an SVM

PATCH `/protocols/audit/{svm.uuid}`

Introduced In: 9.6

Updates an audit configuration for an SVM.

Related ONTAP commands

- `vserver audit modify`

Learn more

- DOC /protocols/audit

Parameters

Name	Type	In	Required	Description
return_timeout	integer	query	False	The number of seconds to allow the call to execute before returning. When doing a POST, PATCH, or DELETE operation on a single record, the default is 0 seconds. This means that if an asynchronous operation is started, the server immediately returns HTTP code 202 (Accepted) along with a link to the job. If a non-zero value is specified for POST, PATCH, or DELETE operations, ONTAP waits that length of time to see if the job completes so it can return something other than 202. • Default value: 1 • Max value: 120 • Min value: 0
svm.uuid	string	path	True	UUID of the SVM to which this object belongs.

Request Body

Name	Type	Description
enabled	boolean	Specifies whether or not auditing is enabled on the SVM.
events	events	
guarantee	boolean	Indicates whether there is a strict Guarantee of Auditing

Name	Type	Description
log	log	
log_path	string	The audit log destination path where consolidated audit logs are stored.
svm	svm	

Example request

```
{
  "guarantee": "",
  "log": {
    "format": "string",
    "retention": {
      "duration": "P4DT12H30M5S"
    },
    "rotation": {
      "schedule": {
        "days": [
          "integer"
        ],
        "hours": [
          "integer"
        ],
        "minutes": [
          "integer"
        ],
        "months": [
          "integer"
        ],
        "weekdays": [
          "integer"
        ]
      }
    }
  },
  "log_path": "string",
  "svm": {
    "name": "svm1",
    "uuid": "02c9e252-41be-11e9-81d5-00a0986138f7"
  }
}
```

Response

```
Status: 202, Accepted
```

Error

```
Status: Default
```

ONTAP Error Response Codes

Error Code	Description
9699340	SVM UUID lookup failed
9699343	Audit configuration is absent for modification
9699358	Audit configuration is absent for enabling
9699359	Audit configuration is already enabled
9699360	Final consolidation is in progress, audit enable failed
9699365	Enabling of audit configuration failed
9699373	Audit configuration is absent for disabling
9699374	Audit configuration is already disabled
9699375	Disabling of audit configuration failed
9699384	The specified log_path does not exist
9699385	The log_path must be a directory
9699386	The log_path must be a canonical path in the SVMs namespace
9699387	The log_path cannot be empty
9699388	Rotate size must be greater than or equal to 1024 KB
9699389	The log_path must not contain a symbolic link
9699398	The log_path exceeds a maximum supported length of characters
9699399	The log_path contains an unsupported read-only (DP/LS) volume
9699400	The specified log_path is not a valid destination for SVM
9699402	The log_path contains an unsupported snaplock volume
9699403	The log_path cannot be accessed for validation
9699406	The log_path validation failed

Error Code	Description
9699407	Additional fields are provided
9699409	Failed to enable multiproto.audit.evtxlog.support support capability
9699410	Failed to disable multiproto.audit.evtxlog.support support capability
9699418	Audit configuration is absent for rotate
9699419	Failed to rotate audit log
9699420	Cannot rotate audit log, auditing is not enabled for this SVM
9699428	All nodes need to run ONTAP 8.3.0 release to audit CIFS logon-logoff events
9699429	Failed to enable multiproto.audit.cifslogonlogoff.support support capability
9699430	Failed to disable multiproto.audit.cifslogonlogoff.support support capability
9699431	All nodes need to run ONTAP 8.3.0 release to audit CAP staging events
9699432	Failed to enable multiproto.audit.capstaging.support support capability
9699433	Failed to disable multiproto.audit.capstaging.support support capability

Definitions

See Definitions

events

Name	Type	Description
authorization_policy	boolean	Authorization policy change events
cap_staging	boolean	Central access policy staging events
cifs_logon_logoff	boolean	CIFS logon and logoff events
file_operations	boolean	File operation events
file_share	boolean	File share category events
security_group	boolean	Local security group management events
user_account	boolean	Local user account management events

href

Name	Type	Description
href	string	

_links

retention

Name	Type	Description
count	integer	Determines how many audit log files to retain before rotating the oldest log file out. This is mutually exclusive with duration.
duration	string	Specifies an ISO-8601 format date and time to retain the audit log file. The audit log files are deleted once they reach the specified date/time. This is mutually exclusive with count.

audit_schedule

Rotates the audit logs based on a schedule by using the time-based rotation parameters in any combination. The rotation schedule is calculated by using all the time-related values.

Name	Type	Description
days	array[integer]	Specifies the day of the month schedule to rotate audit log. Leave empty for all.
hours	array[integer]	Specifies the hourly schedule to rotate audit log. Leave empty for all.
minutes	array[integer]	Specifies the minutes schedule to rotate the audit log.
months	array[integer]	Specifies the months schedule to rotate audit log. Leave empty for all.
weekdays	array[integer]	Specifies the weekdays schedule to rotate audit log. Leave empty for all.

rotation

Audit event log files are rotated when they reach a configured threshold log size or are on a configured schedule. When an event log file is rotated, the scheduled consolidation task first renames the active converted file to a time-stamped archive file, and then creates a new active converted event log file.

Name	Type	Description
now	boolean	Manually rotates the audit logs. Optional in PATCH only. Not available in POST.
schedule	audit_schedule	Rotates the audit logs based on a schedule by using the time-based rotation parameters in any combination. The rotation schedule is calculated by using all the time-related values.
size	integer	Rotates logs based on log size in bytes.

log

Name	Type	Description
format	string	The format in which the logs are generated by consolidation process. Possible values are: • xml - Data ONTAP-specific XML log format • evtx - Microsoft Windows EVTX log format ◦ Default value: 1 ◦ enum: ["xml", "evtx"] ◦ Introduced in: 9.6 ◦ x-nullable: true
retention	retention	
rotation	rotation	Audit event log files are rotated when they reach a configured threshold log size or are on a configured schedule. When an event log file is rotated, the scheduled consolidation task first renames the active converted file to a time-stamped archive file, and then creates a new active converted event log file.

svm

Name	Type	Description
_links	_links	
name	string	The name of the SVM.
uuid	string	The unique identifier of the SVM.

audit

Auditing for NAS events is a security measure that enables you to track and log certain CIFS and NFS events on SVMs.

Name	Type	Description
enabled	boolean	Specifies whether or not auditing is enabled on the SVM.
events	events	

Name	Type	Description
guarantee	boolean	Indicates whether there is a strict Guarantee of Auditing
log	log	
log_path	string	The audit log destination path where consolidated audit logs are stored.
svm	svm	

error_arguments

Name	Type	Description
code	string	Argument code
message	string	Message argument

error

Name	Type	Description
arguments	array[error_arguments]	Message arguments
code	string	Error code
message	string	Error message
target	string	The target parameter that caused the error.

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