



# Maintain

Install and maintain

NetApp

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# Table of Contents

Maintain . . . . .	1
Maintain FAS500f hardware . . . . .	1
System components . . . . .	1
Boot media - automated recovery . . . . .	2
Boot media automated recovery workflow - FAS500f . . . . .	2
Requirements for automated boot media recovery - FAS500f . . . . .	2
Shut down the controller for automated boot media recovery - FAS500f . . . . .	3
Replace the boot media for automated boot recovery - FAS500f . . . . .	4
Automated boot media recovery from the partner node - FAS500f . . . . .	10
Return the failed boot media to NetApp - FAS500f . . . . .	16
Boot media - manual recovery . . . . .	17
Boot media manual recovery workflow - FAS500f . . . . .	17
Requirements for manual boot media recovery - FAS500f . . . . .	17
Check encryption key support and status - FAS500f . . . . .	18
Shut down the controller - FAS500f . . . . .	21
Replace the boot media and prepare for manual boot recovery - FAS500f . . . . .	23
Manual boot media recovery from a USB drive - FAS500f . . . . .	29
Restore encryption - FAS500f . . . . .	32
Return the failed part to NetApp - FAS500f . . . . .	42
Chassis . . . . .	42
Chassis replacement workflow - FAS500f . . . . .	42
Requirements to replace the chassis - FAS500f . . . . .	43
Prepare to replace the chassis - FAS500f . . . . .	44
Shut down the controllers - FAS500f . . . . .	44
Replace the chassis - FAS500f . . . . .	46
Complete the chassis replacement - FAS500f . . . . .	48
Controller . . . . .	49
Controller replacement workflow - FAS500f . . . . .	49
Requirements to replace the controller - FAS500f . . . . .	50
Shut down the impaired controller - FAS500f . . . . .	51
Replace the controller - FAS500f . . . . .	52
Restore and verify the system configuration - FAS500f . . . . .	63
Recable the system and reassign disks - FAS500f . . . . .	64
Complete controller replacement - FAS500f . . . . .	67
Replace a DIMM - FAS500f . . . . .	69
Step 1: Shut down the impaired controller . . . . .	69
Step 2: Remove the controller module . . . . .	70
Step 3: Replace a DIMM . . . . .	72
Step 4: Install the controller module . . . . .	73
Step 5: Return the failed part to NetApp . . . . .	75
Replace SSD Drive or HDD Drive - AFF C190 . . . . .	75
Replace a fan — FAS500f . . . . .	80
Step 1: Shut down the impaired controller . . . . .	80

Step 2: Remove the controller module .....	81
Step 3: Replace a fan .....	82
Step 4: Reinstall the controller module .....	83
Step 5: Return the failed part to NetApp .....	85
Replace or install a mezzanine card - FAS500f .....	85
Step 1: Shut down the impaired controller .....	85
Step 2: Remove the controller module .....	86
Step 3: Replace or install a mezzanine card .....	87
Step 4: Reinstall the controller module .....	89
Step 5: Return the failed part to NetApp .....	91
Replace the NVMEM battery - FAS500f .....	91
Step 1: Shut down the impaired controller .....	91
Step 2: Remove the controller module .....	92
Step 3: Replace the NVMEM battery .....	93
Step 4: Install the controller module .....	95
Step 5: Return the failed part to NetApp .....	96
Hot-swap a power supply - FAS500f .....	96
Replace the real-time clock battery .....	97
Step 1: Shut down the impaired controller .....	98
Step 2: Remove the controller module .....	99
Step 3: Replace the RTC battery .....	101
Step 4: Reinstall the controller module and set time/date after RTC battery replacement .....	103
Step 5: Complete the replacement process .....	104

# Maintain

## Maintain FAS500f hardware

Maintain the hardware of your FAS500f storage system to ensure long-term reliability and optimal performance. Perform regular maintenance tasks such as replacing faulty components, as this helps prevent downtime and data loss.

The maintenance procedures assume that the FAS500f storage system has already been deployed as a storage node in the ONTAP environment.

### System components

For the FAS500f storage system, you can perform maintenance procedures on the following components.

Boot media - automated recovery	The boot media stores a primary and secondary set of ONTAP image files that the storage system uses to boot. During automated recovery, the system retrieves the boot image from the partner node and automatically runs the appropriate boot menu option to install the image on your replacement boot media. The automated boot media recovery process is supported only in ONTAP 9.18.1 and later. If your storage system is running an earlier version of ONTAP, use the <a href="#">manual boot recovery procedure</a> .
Boot media - manual recovery	The boot media stores a primary and secondary set of ONTAP image files that the storage system uses to boot. During manual recovery, you boot the storage system from a USB drive and manually restore the file system image and configuration. If your storage system is running ONTAP 9.18.1 and later, use the <a href="#">automated boot recovery procedure</a> .
Chassis	The chassis is the physical enclosure housing all the controller components such as the controller/CPU unit, power supply, and I/O.
Controller	A controller consists of a board, firmware, and software. It controls the drives and implements the ONTAP functions.
DIMM	You must replace a DIMM (dual in-line memory module) when a memory mismatch is present, or you have a failed DIMM.
Drive	A drive is a device that provides the physical storage media for data.
Fan	The fan cools the controller.
Mezzanine card	The Mezzanine card is a printed circuit board that plugs directly into another plug-in card.
NVMMEM battery	The NVMMEM battery is responsible for preserving cached data if the AC power fails.

Power supply	A power supply provides a redundant power source in a controller shelf.
Real-time clock battery	A real time clock battery preserves system date and time information if the power is off.

## Boot media - automated recovery

### Boot media automated recovery workflow - FAS500f

The automated recovery of the boot image involves the system automatically identifying and selecting the appropriate boot menu option. It uses the boot image on partner node to reinstall ONTAP on the replacement boot media in your FAS500f storage system.

The automated boot media recovery process is supported only in ONTAP 9.18.1 and later. If your storage system is running an earlier version of ONTAP, use the [manual boot recovery procedure](#).

To get started, review the replacement requirements, shut down the controller, replace the boot media, allow the system to restore the image, and verify system functionality.

1

#### [Review the boot media requirements](#)

Review the requirements for boot media replacement.

2

#### [Shut down the controller](#)

Shut down the controller in your storage system when you need to replace the boot media.

3

#### [Replace the boot media](#)

Remove the failed boot media from the controller module and install the replacement boot media.

4

#### [Restore the image on the boot media](#)

Restore the ONTAP image from the partner controller.

5

#### [Return the failed part to NetApp](#)

Return the failed part to NetApp, as described in the RMA instructions shipped with the kit.

### Requirements for automated boot media recovery - FAS500f

Before replacing the boot media in your FAS500f, ensure you meet the necessary requirements for a successful replacement. This includes verifying that you have the correct replacement boot media, confirming that the e0S (e0M wrench) port on the impaired controller is not faulty, and determining whether Onboard Key Manager (OKM)

or External Key Manager (EKM) is enabled.

The automated boot media recovery process is supported only in ONTAP 9.18.1 and later. If your storage system is running an earlier version of ONTAP, use the [manual boot recovery procedure](#).

- You must replace the failed component with a replacement FRU component of the same capacity that you received from NetApp.
- Verify that the e0M (wrench) port on the impaired controller is connected and not faulty.

The e0M port is used to communicate between the two controllers during the automated boot recovery process.

- For OKM, you need the cluster-wide passphrase and also the backup data.
- For EKM, you need copies of the following files from the partner node:
  - /cfcard/kmip/servers.cfg file.
  - /cfcard/kmip/certs/client.crt file.
  - /cfcard/kmip/certs/client.key file.
  - /cfcard/kmip/certs/CA.pem file.
- It is critical to apply the commands to the correct controller when you are replacing the impaired boot media:
  - The *impaired controller* is the controller on which you are performing maintenance.
  - The *healthy controller* is the HA partner of the impaired controller.

#### What's next

After you've reviewed the boot media requirements, you [shut down the controller](#).

## Shut down the controller for automated boot media recovery - FAS500f

Shut down the impaired controller in your FAS500f storage system to prevent data loss and maintain system stability during the automated boot media recovery process.

The automated boot media recovery process is supported only in ONTAP 9.18.1 and later. If your storage system is running an earlier version of ONTAP, use the [manual boot recovery procedure](#).

To shut down the impaired controller, you must determine the status of the controller and, if necessary, take over the controller so that the healthy controller continues to serve data from the impaired controller storage.

#### About this task

- If you have a SAN system, you must have checked event messages (`cluster kernel-service show`) for the impaired controller SCSI blade. The `cluster kernel-service show` command (from priv advanced mode) displays the node name, [quorum status](#) of that node, availability status of that node, and operational status of that node.

Each SCSI-blade process should be in quorum with the other nodes in the cluster. Any issues must be resolved before you proceed with the replacement.

- If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see [Synchronize a node with the cluster](#).

## Steps

1. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message MAINT=<# of hours>h
```

The following AutoSupport message suppresses automatic case creation for two hours:

```
cluster1:> system node autosupport invoke -node * -type all -message MAINT=2h
```

2. Disable automatic giveback:

- a. Enter the following command from the console of the healthy controller:

```
storage failover modify -node impaired_node_name -auto-giveback false
```

- b. Enter *y* when you see the prompt *Do you want to disable auto-giveback?*

3. Take the impaired controller to the LOADER prompt:

If the impaired controller is displaying...	Then...
The LOADER prompt	Go to the next step.
Waiting for giveback...	Press Ctrl-C, and then respond <i>y</i> when prompted.
System prompt or password prompt	Take over or halt the impaired controller from the healthy controller:  <code>storage failover takeover -ofnode <i>impaired_node_name</i> -halt true</code>  The <i>-halt true</i> parameter brings you to the LOADER prompt.

## What's next

After you shut down the impaired controller, you [replace the boot media](#).

## Replace the boot media for automated boot recovery - FAS500f

The boot media in your FAS500f system stores essential firmware and configuration data. The replacement process involves removing and opening the controller module, removing the impaired boot media, installing the replacement boot media in the controller module, and then reinstalling the controller module.

The automated boot media recovery process is supported only in ONTAP 9.18.1 and later. If your storage system is running an earlier version of ONTAP, use the [manual boot recovery procedure](#).

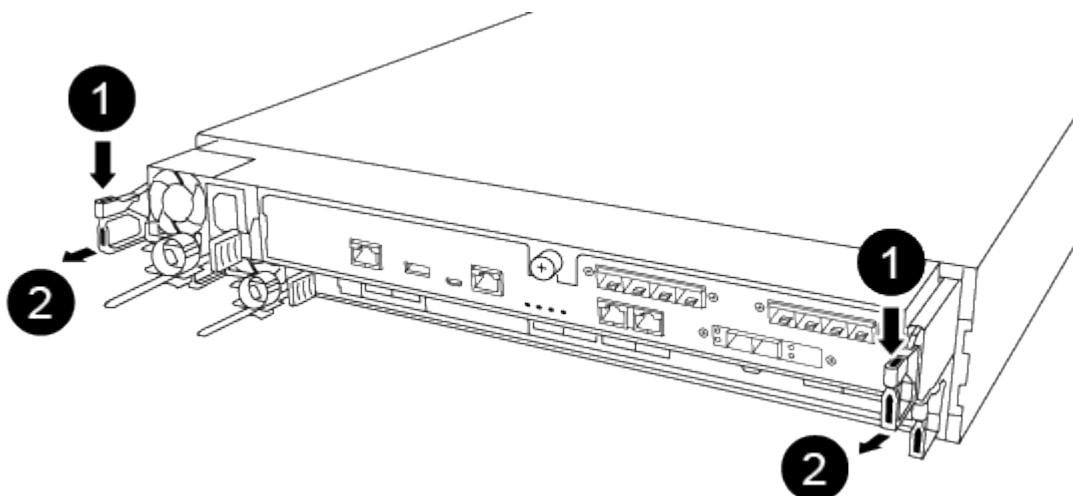
The boot media is located inside the controller module under the air duct, and is accessed by removing the controller module from the system.

## Step 1: Remove the controller module

1. If you are not already grounded, properly ground yourself.
2. Unplug the controller module power supplies from the source.
3. Release the power cable retainers, and then unplug the cables from the power supplies.
4. Unplug the I/O cables from the controller module.
5. Insert your forefinger into the latching mechanism on either side of the controller module, press the lever with your thumb, and gently pull the controller a few inches out of the chassis.

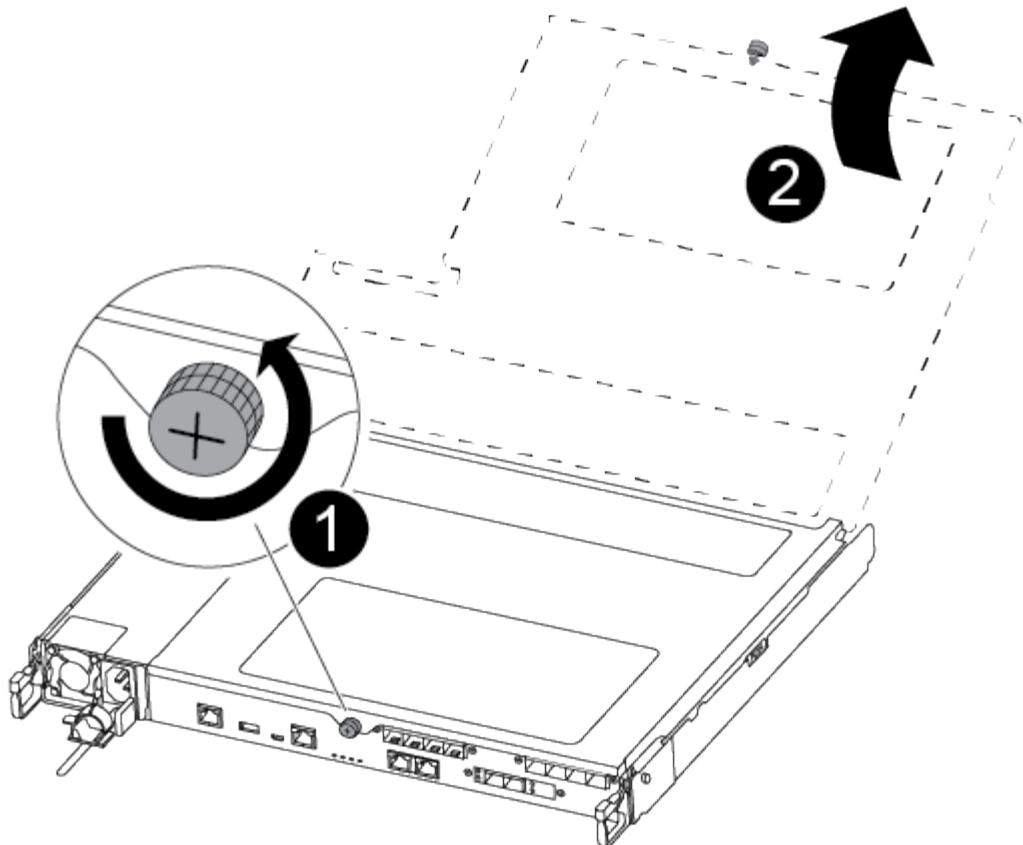


If you have difficulty removing the controller module, place your index fingers through the finger holes from the inside (by crossing your arms).



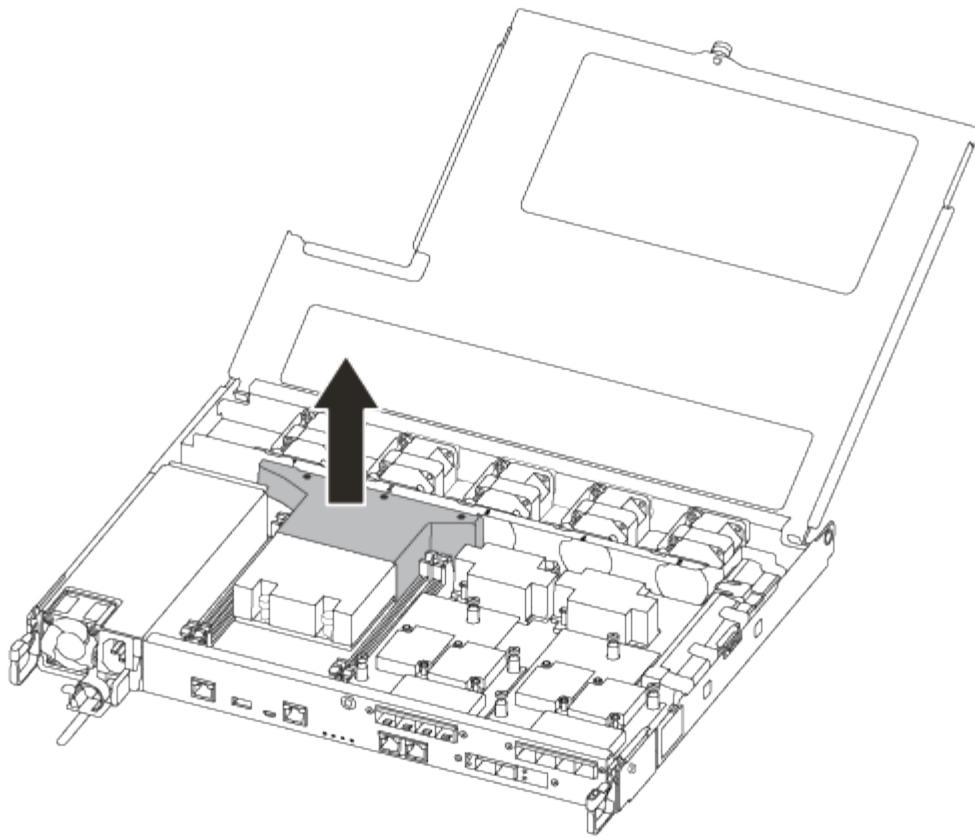
1	Lever
2	Latching mechanism

6. Using both hands, grasp the controller module sides and gently pull it out of the chassis and set it on a flat, stable surface.
7. Turn the thumbscrew on the front of the controller module anti-clockwise and open the controller module cover.



1	Thumbscrew
2	Controller module cover.

8. Lift out the air duct cover.



## Step 2: Replace the boot media

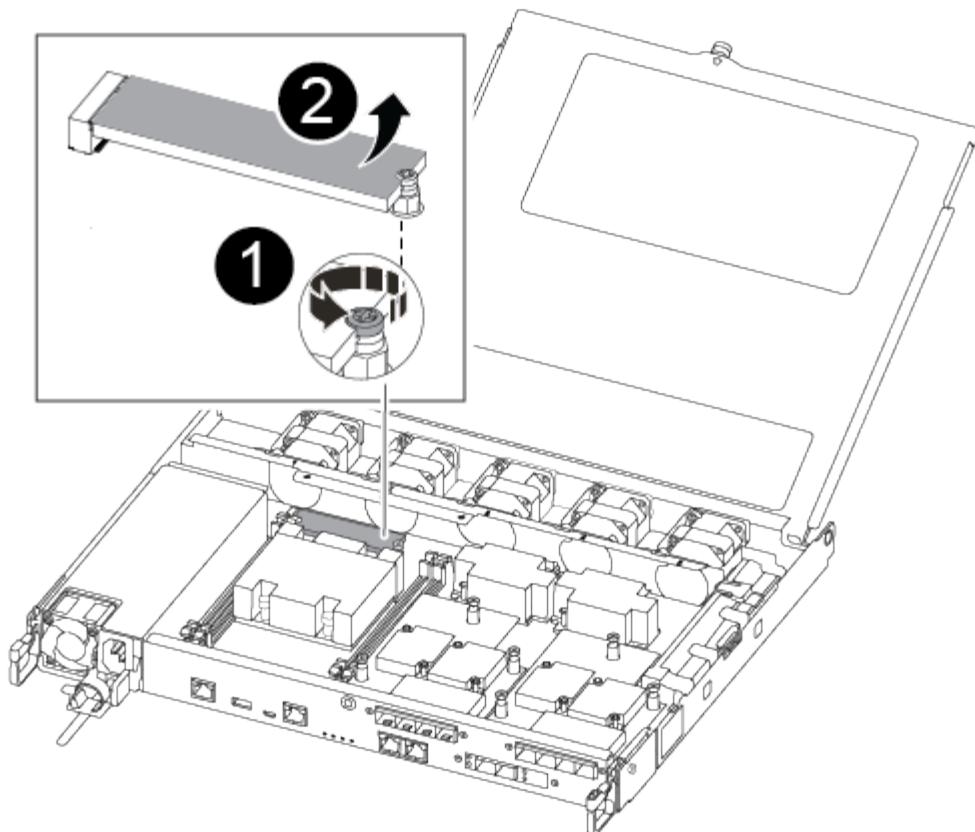
You can use the following video or the tabulated steps to replace the boot media:

[Animation - Replace the boot media](#)

1. Locate and replace the impaired boot media from the controller module and replace it:



You need a #1 magnetic Phillips head screwdriver to remove the screw that holds the boot media in place. Due to the space constraints within the controller module, you should also have a magnet to transfer the screw on to so that you do not lose it.

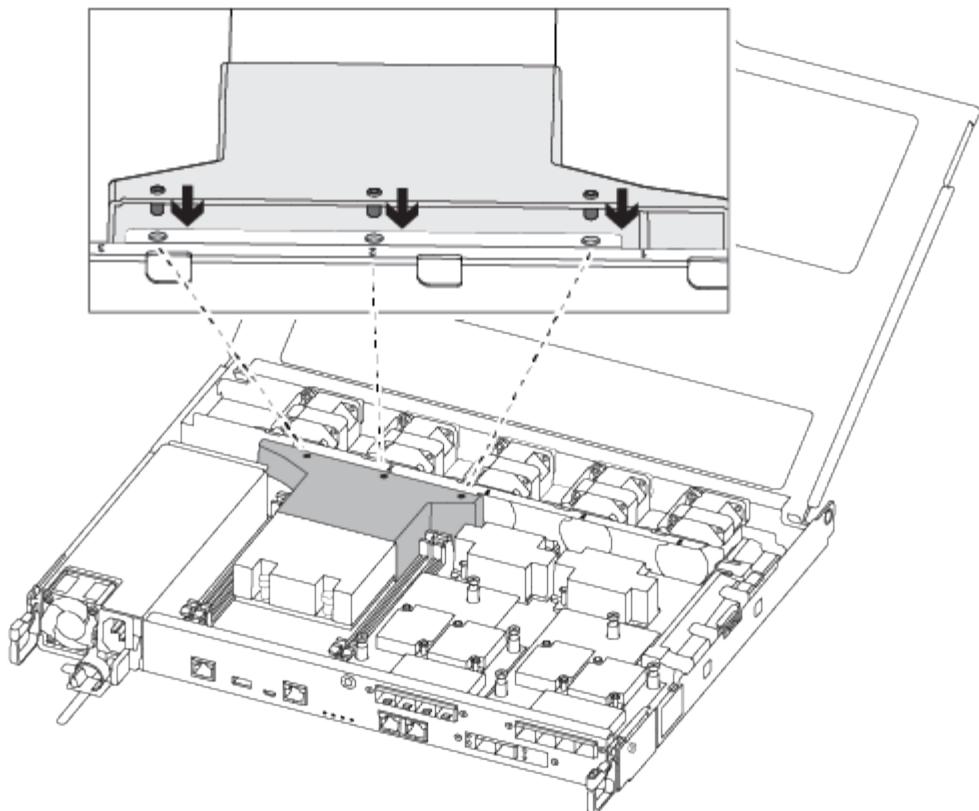


1	Remove the screw securing the boot media to the motherboard in the controller module.
2	Lift the boot media out of the controller module.

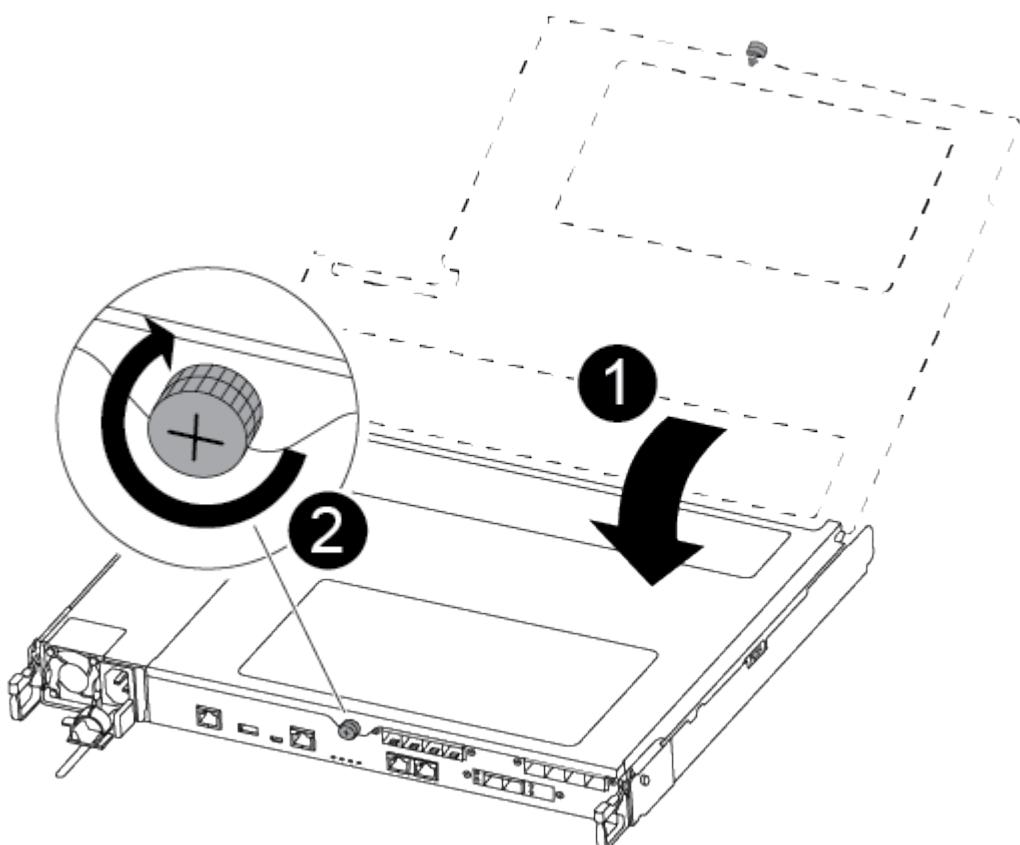
- a. Using the #1 magnetic screwdriver, remove the screw from the impaired boot media, and set it aside safely on the magnet.
- b. Gently lift the impaired boot media directly out of the socket and set it aside.
- c. Remove the replacement boot media from the antistatic shipping bag and align it into place on the controller module.
- d. Using the #1 magnetic screwdriver, insert and tighten the screw on the boot media.

Do not over-tighten the screw or you might damage the boot media.

- e. Install the air duct.



f. Close the controller module cover and tighten the thumbscrew.



1	Controller module cover
2	Thumbscrew

2. Install the controller module:

- Align the end of the controller module with the opening in the chassis, and then gently push the controller module halfway into the system.
- Push the controller module all the way into the chassis.
- Place your index fingers through the finger holes from the inside of the latching mechanism.
- Press your thumbs down on the orange tabs on top of the latching mechanism and gently push the controller module over the stop.
- Release your thumbs from the top of the latching mechanisms and continue pushing until the latching mechanisms snap into place.

The controller module should be fully inserted and flush with the edges of the chassis.

3. Reconnect the controller module I/O cables.

4. Plug the power cords into the power supplies, reinstall the power cable locking collar, and then connect the power supplies to the power source.

The controller module begins to boot and stops at the LOADER prompt.

#### What's next

After physically replacing the impaired boot media, [restore the ONTAP image from the partner node](#).

## Automated boot media recovery from the partner node - FAS500f

After installing the new boot media device in your FAS500f system, you can start the automated boot media recovery process to restore the configuration from the partner node. During the recovery process, the system checks whether encryption is enabled and determines the type of key encryption in use. If key encryption is enabled, the system guides you through the appropriate steps to restore it.

The automated boot media recovery process is supported only in ONTAP 9.18.1 and later. If your storage system is running an earlier version of ONTAP, use the [manual boot recovery procedure](#).

#### Before you begin

- Determine your key manager type:
  - Onboard Key Manager (OKM): Requires cluster-wide passphrase and backup data
  - External Key Manager (EKM): Requires the following files from the partner node:
    - /cfcard/kmip/servers.cfg
    - /cfcard/kmip/certs/client.crt
    - /cfcard/kmip/certs/client.key

- /cfcard/kmip/certs/CA.pem

## Steps

1. From the LOADER prompt, start the boot media recovery process:

```
boot_recovery -partner
```

The screen displays the following message:

Starting boot media recovery (BMR) process. Press Ctrl-C to abort...

2. Monitor the boot media install recovery process.

The process completes and displays the Installation complete message.

3. The system checks for encryption and displays one of the following messages:

If you see this message...	Do this...
key manager is not configured. Exiting.	<p>Encryption is not installed on the system.</p> <ol style="list-style-type: none"> <li>Wait for the login prompt to display.</li> <li>Log into the node and give back the storage:</li> </ol> <pre>storage failover giveback -ofnode impaired_node_name</pre> <ol style="list-style-type: none"> <li>Go to <a href="#">re-enabling automatic giveback</a> if it was disabled.</li> </ol>
key manager is configured.	Encryption is installed. Go to <a href="#">restoring the key manager</a> .



If the system cannot identify the key manager configuration, it displays an error message and prompts you to confirm whether key manager is configured and which type (onboard or external). Answer the prompts to proceed.

4. Restore the key manager using the appropriate procedure for your configuration:

## Onboard Key Manager (OKM)

The system displays the following message and begins running BootMenu Option 10:

```
key manager is configured.  
Entering Bootmenu Option 10...
```

```
This option must be used only in disaster recovery procedures. Are  
you sure? (y or n):
```

- a. Enter **y** at the prompt to confirm you want to start the OKM recovery process.
- b. Enter the passphrase for onboard key management when prompted.
- c. Enter the passphrase again when prompted to confirm.
- d. Enter the backup data for onboard key manager when prompted.

### Show example of passphrase and backup data prompts

```
Enter the passphrase for onboard key management:  
-----BEGIN PASSPHRASE-----  
<passphrase_value>  
-----END PASSPHRASE-----  
Enter the passphrase again to confirm:  
-----BEGIN PASSPHRASE-----  
<passphrase_value>  
-----END PASSPHRASE-----  
Enter the backup data:  
-----BEGIN BACKUP-----  
<passphrase_value>  
-----END BACKUP-----
```

- e. Monitor the recovery process as it restores the appropriate files from the partner node.

When the recovery process is complete, the node reboots. The following messages indicate a successful recovery:

```
Trying to recover keymanager secrets....  
Setting recovery material for the onboard key manager  
Recovery secrets set successfully  
Trying to delete any existing km_onboard.keydb file.  
  
Successfully recovered keymanager secrets.
```

- f. After the node reboots, verify that the system is back online and operational.

g. Return the impaired controller to normal operation by giving back its storage:

```
storage failover giveback -ofnode impaired_node_name
```

h. After the partner node is fully up and serving data, synchronize the OKM keys across the cluster:

```
security key-manager onboard sync
```

Go to [re-enabling automatic giveback](#) if it was disabled.

### External Key Manager (EKM)

The system displays the following message and begins running BootMenu Option 11:

```
key manager is configured.  
Entering Bootmenu Option 11...
```

a. Enter the EKM configuration settings when prompted:

i. Enter the client certificate contents from the `/cfcard/kmip/certs/client.crt` file:

#### Show example of client certificate contents

```
-----BEGIN CERTIFICATE-----  
<certificate_value>  
-----END CERTIFICATE-----
```

ii. Enter the client key file contents from the `/cfcard/kmip/certs/client.key` file:

#### Show example of client key file contents

```
-----BEGIN RSA PRIVATE KEY-----  
<key_value>  
-----END RSA PRIVATE KEY-----
```

iii. Enter the KMIP server CA(s) file contents from the `/cfcard/kmip/certs/CA.pem` file:

#### Show example of KMIP server file contents

```
-----BEGIN CERTIFICATE-----  
<KMIP_certificate_CA_value>  
-----END CERTIFICATE-----
```

iv. Enter the server configuration file contents from the `/cfcard/kmip/servers.cfg` file:

**Show example of server configuration file contents**

```
xxx.xxx.xxx.xxx:5696.host=xxx.xxx.xxx.xxx
xxx.xxx.xxx.xxx:5696.port=5696
xxx.xxx.xxx.xxx:5696.trusted_file=/cfcard/kmip/certs/CA.pem
xxx.xxx.xxx.xxx:5696.protocol=KMIP1_4
1xxx.xxx.xxx.xxx:5696.timeout=25
xxx.xxx.xxx.xxx:5696.nbio=1
xxx.xxx.xxx.xxx:5696.cert_file=/cfcard/kmip/certs/client.crt
xxx.xxx.xxx.xxx:5696.key_file=/cfcard/kmip/certs/client.key
xxx.xxx.xxx.xxx:5696.ciphers="TLSv1.2:kRSA:!CAMELLIA:!IDEA:
!RC2:!RC4:!SEED:!eNULL:!aNULL"
xxx.xxx.xxx.xxx:5696.verify=true
xxx.xxx.xxx.xxx:5696.netapp_keystore_uuid=<id_value>
```

v. If prompted, enter the ONTAP Cluster UUID from the partner node. You can check the cluster UUID from the partner node using the `cluster identify show` command.

**Show example of ONTAP Cluster UUID prompt**

```
Notice: bootarg.mgwd.cluster_uuid is not set or is empty.
Do you know the ONTAP Cluster UUID? {y/n} y
Enter the ONTAP Cluster UUID: <cluster_uuid_value>
```

```
System is ready to utilize external key manager(s).
```

vi. If prompted, enter the temporary network interface and settings for the node:

- The IP address for the port
- The netmask for the port
- The IP address of the default gateway

### Show example of temporary network setting prompts

In order to recover key information, a temporary network interface needs to be configured.

```
Select the network port you want to use (for example,  
'e0a')  
e0M
```

```
Enter the IP address for port : xxx.xxx.xxx.xxx  
Enter the netmask for port : xxx.xxx.xxx.xxx  
Enter IP address of default gateway: xxx.xxx.xxx.xxx  
Trying to recover keys from key servers....  
[discover_versions]  
[status=SUCCESS reason= message=]
```

#### b. Verify the key restoration status:

- If you see `kmip2_client: Successfully imported the keys from external key server: xxx.xxx.xxx.xxx:5696` in the output, the EKM configuration has been successfully restored. The process restores the appropriate files from the partner node and reboots the node. Proceed to the next step.
- If the key is not successfully restored, the system halts and displays error and warning messages. Rerun the recovery process from the **LOADER** prompt: `boot_recovery -partner`

### Show example of key recovery error and warning messages

```
ERROR: kmip_init: halting this system with encrypted
mroot...
WARNING: kmip_init: authentication keys might not be
available.
*****
*          A T T E N T I O N
*
*      System cannot connect to key managers.
*
*****
ERROR: kmip_init: halting this system with encrypted
mroot...
.
Terminated

Uptime: 11m32s
System halting...

LOADER-B>
```

- c. After the node reboots, verify that the system is back online and operational.
- d. Return the controller to normal operation by giving back its storage:

```
storage failover giveback -ofnode impaired_node_name
```

Go to [re-enabling automatic giveback](#) if it was disabled.

5. If automatic giveback was disabled, reenable it:

```
storage failover modify -node local -auto-giveback true
```

6. If AutoSupport is enabled, restore automatic case creation:

```
system node autosupport invoke -node * -type all -message MAINT=END
```

#### What's next

After you've restored the ONTAP image and the node is up and serving data, you [return the failed part to NetApp](#).

## Return the failed boot media to NetApp - FAS500f

If a component in your FAS500f system fails, return the failed part to NetApp. See the [Part Return and Replacements](#) page for further information.

# Boot media - manual recovery

## Boot media manual recovery workflow - FAS500f

Get started with replacing the boot media in your FAS500f storage system by reviewing the replacement requirements, checking encryption status, shutting down the controller, replacing the boot media, booting the recovery image, restoring encryption, and verifying the system functionality.

If your storage system is running ONTAP 9.18.1 or later, use the [automated boot recovery procedure](#). If your system is running an earlier version of ONTAP, you must use the manual boot recovery procedure.

1

### Review the boot media requirements

Review the requirements for replacing the boot media.

2

### Check encryption key support and status

Determine whether the system has security key manager enabled or encrypted disks.

3

### Shut down the controller

Shut down the controller when you need to replace the boot media.

4

### Replace the boot media

Remove the failed boot media from the System Management module and install the replacement boot media, and then transfer an ONTAP image using a USB flash drive.

5

### Boot the recovery image

Boot the ONTAP image from the USB drive, restore the file system, and verify the environmental variables.

6

### Restore encryption

Restore the onboard key manager configuration or the external key manager from the ONTAP boot menu.

7

### Return the failed part to NetApp

Return the failed part to NetApp, as described in the RMA instructions shipped with the kit.

## Requirements for manual boot media recovery - FAS500f

Before replacing the boot media in your FAS500f system, ensure you meet the necessary requirements for a successful replacement. This includes making sure you have a USB flash drive with the appropriate amount of storage and verifying that you have the correct

replacement boot device.

If your storage system is running ONTAP 9.18.1 or later, use the [automated boot recovery procedure](#). If your system is running an earlier version of ONTAP, you must use the manual boot recovery procedure.

### USB flash drive

- Ensure you have a USB flash drive formatted to FAT32.
- The USB must have sufficient storage capacity to hold the `image_xxx.tgz` file.

### File preparation

Copy the `image_xxx.tgz` file to the USB flash drive. This file will be used when you transfer the ONTAP image using the [USB flash drive](#).

### Component replacement

Replace the failed component with the replacement component provided by NetApp.

### Controller identification

It is critical to apply the commands to the correct controller when you are replacing the impaired boot media:

- The *impaired controller* is the controller on which you are performing maintenance.
- The *healthy controller* is the HA partner of the impaired controller.

### What's next?

After you've reviewed the requirements to replace the boot media, you need to [check encryption key support and status on the boot media](#).

## Check encryption key support and status - FAS500f

To ensure data security on your storage system, you need to verify the encryption key support and status on your boot media. Check if your ONTAP version supports NetApp Volume Encryption (NVE), and before you shut down the controller check if the key manager is active.

### Step 1: Check NVE support and download the correct ONTAP image

Determine whether your ONTAP version supports NetApp Volume Encryption (NVE) so you can download the correct ONTAP image for the boot media replacement.

#### Steps

1. Check if your ONTAP version supports encryption:

```
version -v
```

If the output includes `10no-DARE`, NVE is not supported on your cluster version.

2. Download the appropriate ONTAP image based on NVE support:

- If NVE is supported: Download the ONTAP image with NetApp Volume Encryption
- If NVE is not supported: Download the ONTAP image without NetApp Volume Encryption



Download the ONTAP image from the NetApp Support Site to your HTTP or FTP server or a local folder. You will need this image file during the boot media replacement procedure.

## Step 2: Verify key manager status and back up configuration

Before shutting down the impaired controller, verify the key manager configuration and back up the necessary information.

### Steps

1. Determine which key manager is enabled on your system:

ONTAP version	Run this command
ONTAP 9.14.1 or later	<pre>security key-manager keystore show</pre> <ul style="list-style-type: none"><li>• If EKM is enabled, EKM is listed in the command output.</li><li>• If OKM is enabled, OKM is listed in the command output.</li><li>• If no key manager is enabled, No key manager keystores configured is listed in the command output.</li></ul>
ONTAP 9.13.1 or earlier	<pre>security key-manager show-key-store</pre> <ul style="list-style-type: none"><li>• If EKM is enabled, external is listed in the command output.</li><li>• If OKM is enabled, onboard is listed in the command output.</li><li>• If no key manager is enabled, No key managers configured is listed in the command output.</li></ul>

2. Depending on whether a key manager is configured on your system, do one of the following:

#### If no key manager is configured:

You can safely shut down the impaired controller and proceed to the shutdown procedure.

#### If a key manager is configured (EKM or OKM):

- a. Enter the following query command to display the status of the authentication keys in your key manager:

```
security key-manager key query
```

- b. Review the output and check the value in the Restored column. This column indicates whether the authentication keys for your key manager (either EKM or OKM) have been successfully restored.

3. Complete the appropriate procedure based on your key manager type:

## **External Key Manager (EKM)**

Complete these steps based on the value in the Restored column.

### **If all keys show true in the Restored column:**

You can safely shut down the impaired controller and proceed to the shutdown procedure.

### **If any keys show a value other than true in the Restored column:**

- Restore the external key management authentication keys to all nodes in the cluster:

```
security key-manager external restore
```

If the command fails, contact NetApp Support.

- Verify that all authentication keys are restored:

```
security key-manager key query
```

Confirm that the Restored column displays true for all authentication keys.

- If all keys are restored, you can safely shut down the impaired controller and proceed to the shutdown procedure.

## **Onboard Key Manager (OKM)**

Complete these steps based on the value in the Restored column.

### **If all keys show true in the Restored column:**

- Back up the OKM information:

- Switch to advanced privilege mode:

```
set -priv advanced
```

Enter y when prompted to continue.

- Display the key management backup information:

```
security key-manager onboard show-backup
```

- Copy the backup information to a separate file or your log file.

You will need this backup information if you need to manually recover OKM during the replacement procedure.

- Return to admin mode:

```
set -priv admin
```

- You can safely shut down the impaired controller and proceed to the shutdown procedure.

### **If any keys show a value other than true in the Restored column:**

a. Synchronize the onboard key manager:

```
security key-manager onboard sync
```

Enter the 32-character alphanumeric onboard key management passphrase when prompted.



This is the cluster-wide passphrase you created when you initially configured the Onboard Key Manager. If you do not have this passphrase, contact NetApp Support.

b. Verify all authentication keys are restored:

```
security key-manager key query
```

Confirm that the Restored column displays `true` for all authentication keys and the Key Manager type shows `onboard`.

c. Back up the OKM information:

i. Switch to advanced privilege mode:

```
set -priv advanced
```

Enter `y` when prompted to continue.

ii. Display the key management backup information:

```
security key-manager onboard show-backup
```

iii. Copy the backup information to a separate file or your log file.

You will need this backup information if you need to manually recover OKM during the replacement procedure.

iv. Return to admin mode:

```
set -priv admin
```

d. You can safely shut down the impaired controller and proceed to the shutdown procedure.

### What's next?

After checking the encryption key support and status on the boot media, you need to [shut down the controller](#).

## Shut down the controller - FAS500f

After completing the NVE or NSE tasks, you need to complete the shutdown of the impaired controller. Shut down or take over the impaired controller using the appropriate procedure for your configuration.

## Option 1: Most configurations

After completing the NVE or NSE tasks, you need to complete the shutdown of the impaired controller.

### Steps

- a. Take the impaired controller to the LOADER prompt:

If the impaired controller displays...	Then...
The LOADER prompt	Go to Remove controller module.
Waiting for giveback...	Press Ctrl-C, and then respond <b>y</b> when prompted.
System prompt or password prompt (enter system password)	Take over or halt the impaired controller from the healthy controller: <code>storage failover takeover -ofnode impaired_node_name</code>  When the impaired controller shows Waiting for giveback..., press Ctrl-C, and then respond <b>y</b> .

- b. From the LOADER prompt, enter: `printenv` to capture all boot environmental variables. Save the output to your log file.



This command may not work if the boot device is corrupted or non-functional.

## Option 2: Controller is in a MetroCluster



Do not use this procedure if your system is in a two-node MetroCluster configuration.

To shut down the impaired controller, you must determine the status of the controller and, if necessary, take over the controller so that the healthy controller continues to serve data from the impaired controller storage.

- If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see [Synchronize a node with the cluster](#).
- If you have a MetroCluster configuration, you must have confirmed that the MetroCluster Configuration State is configured and that the nodes are in an enabled and normal state (`metrocluster node show`).

### Steps

1. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message  
MAINT=number_of_hours_downh
```

The following AutoSupport message suppresses automatic case creation for two hours: `cluster1:> system node autosupport invoke -node * -type all -message MAINT=2h`

2. Disable automatic giveback from the console of the healthy controller: `storage failover modify -node local -auto-giveback false`

3. Take the impaired controller to the LOADER prompt:

If the impaired controller is displaying...	Then...
The LOADER prompt	Go to the next step.
Waiting for giveback...	Press Ctrl-C, and then respond <i>y</i> when prompted.
System prompt or password prompt (enter system password)	<p>Take over or halt the impaired controller from the healthy controller:</p> <pre>storage failover takeover -ofnode <i>impaired_node_name</i></pre> <p>When the impaired controller shows Waiting for giveback..., press Ctrl-C, and then respond <i>y</i>.</p>

#### What's next?

After shutting down the controller, you need to [replace the boot media](#).

### Replace the boot media and prepare for manual boot recovery - FAS500f

The boot media in your FAS500f system stores essential firmware and configuration data. The replacement process involves removing the System Management module, removing the impaired boot media, installing the replacement boot media, and then manually transferring the ONTAP image to the replacement boot media using a USB flash drive.

If your storage system is running ONTAP 9.18.1 or later, use the [automated boot recovery procedure](#). If your system is running an earlier version of ONTAP, you must use the manual boot recovery procedure.

To replace the boot media, you must remove the impaired controller module, install the replacement boot media, and transfer the boot image to a USB flash drive.

#### Step 1: Remove the controller module

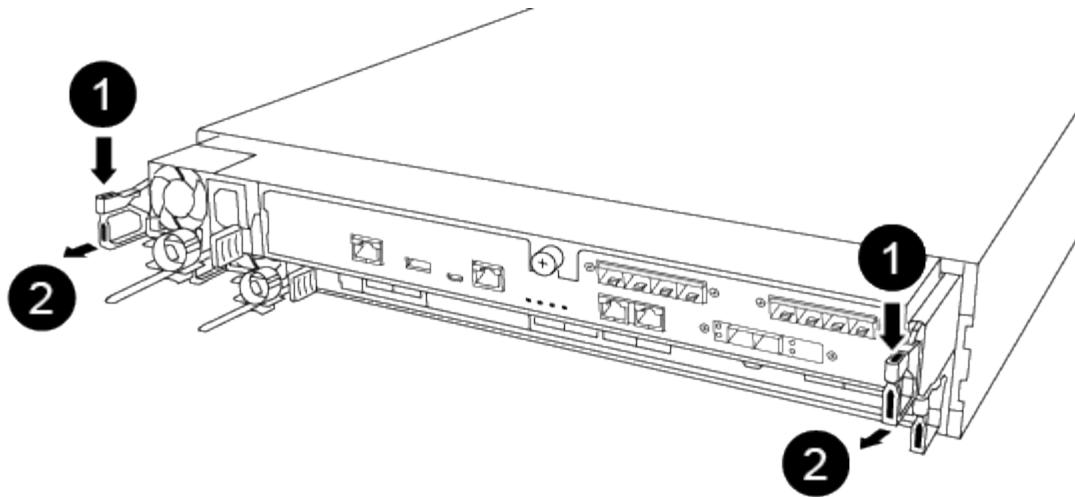
To access components inside the controller module, you must first remove the controller module from the system, and then remove the cover on the controller module.

##### Steps

1. If you are not already grounded, properly ground yourself.
2. Unplug the controller module power supplies from the source.
3. Release the power cable retainers, and then unplug the cables from the power supplies.
4. Unplug the I/O cables from the controller module.
5. Insert your forefinger into the latching mechanism on either side of the controller module, press the lever with your thumb, and gently pull the controller a few inches out of the chassis.

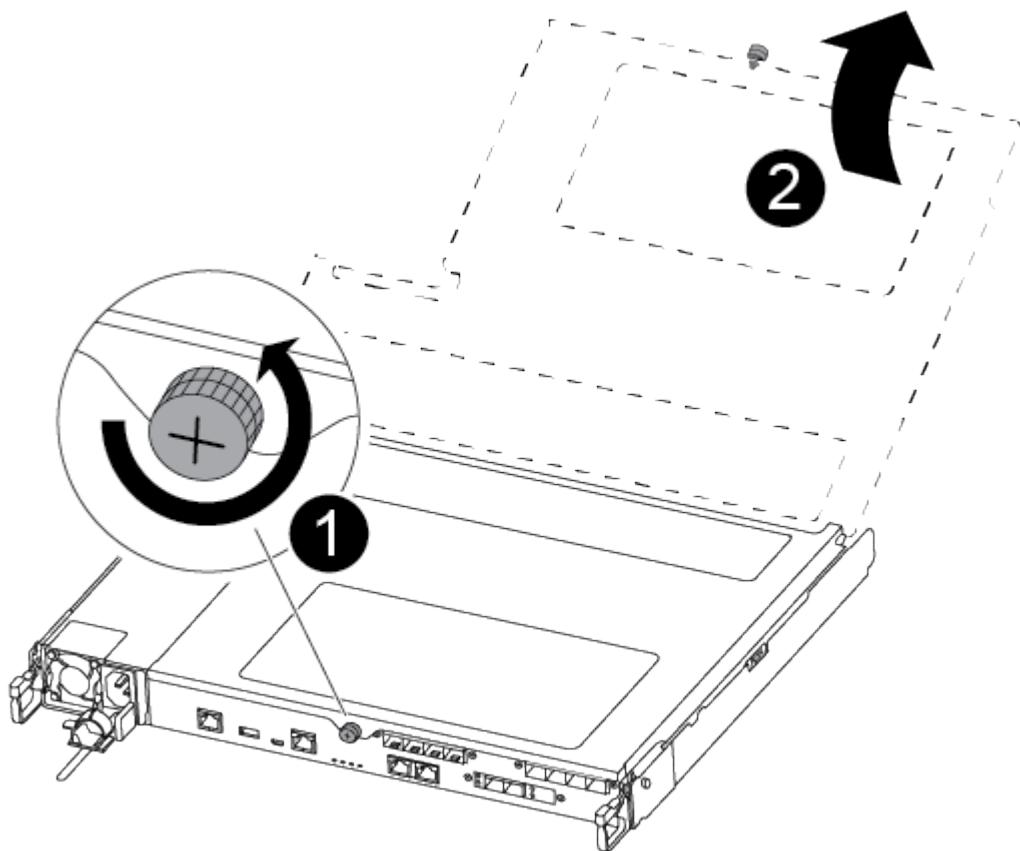


If you have difficulty removing the controller module, place your index fingers through the finger holes from the inside (by crossing your arms).



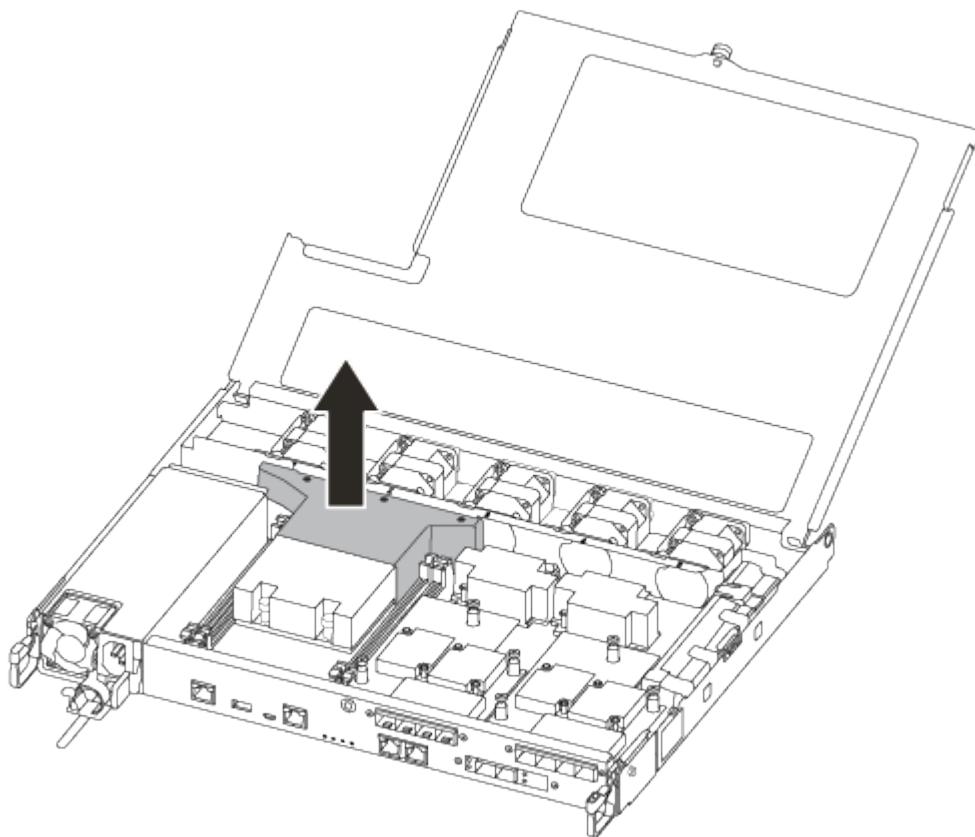
1	Lever
2	Latching mechanism

6. Using both hands, grasp the controller module sides and gently pull it out of the chassis and set it on a flat, stable surface.
7. Turn the thumbscrew on the front of the controller module anti-clockwise and open the controller module cover.



1	Thumbscrew
2	Controller module cover.

8. Lift out the air duct cover.



## Step 2: Replace the boot media

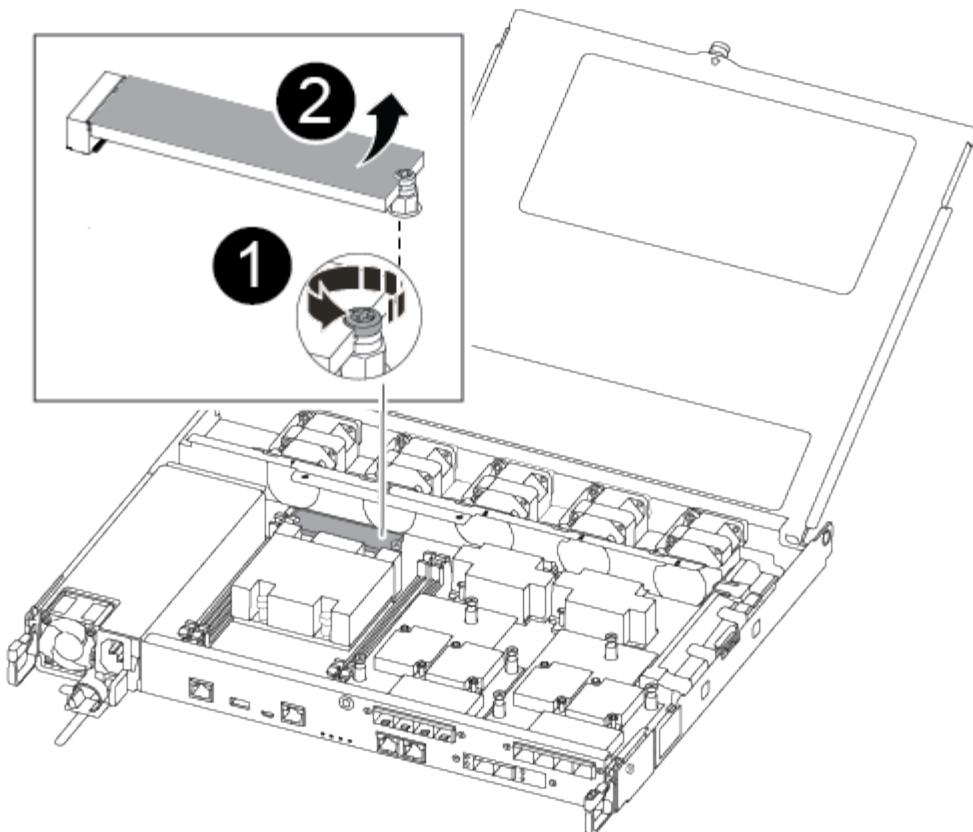
You locate the failed boot media in the controller module by removing the air duct on the controller module before you can replace the boot media.

You need a #1 magnetic Phillips head screwdriver to remove the screw that holds the boot media in place. Due to the space constraints within the controller module, you should also have a magnet to transfer the screw on to so that you do not lose it.

You can use the following video or the tabulated steps to replace the boot media:

### [Animation - Replace the boot media](#)

1. Locate and replace the impaired boot media from the controller module.



1	Remove the screw securing the boot media to the motherboard in the controller module.
2	Lift the boot media out of the controller module.

2. Using the #1 magnetic screwdriver, remove the screw from the impaired boot media, and set it aside safely on the magnet.
3. Gently lift the impaired boot media directly out of the socket and set it aside.
4. Remove the replacement boot media from the antistatic shipping bag and align it into place on the controller module.
5. Using the #1 magnetic screwdriver, insert and tighten the screw on the boot media.



Do not apply force when tightening the screw on the boot media; you might crack it.

### Step 3: Transfer the boot image to the boot media

The replacement boot media that you installed is without a boot image so you need to transfer a boot image using a USB flash drive.

- You must have a USB flash drive, formatted to MBR/FAT32, with at least 4GB capacity
- A copy of the same image version of ONTAP as what the impaired controller was running. You can download the appropriate image from the Downloads section on the NetApp Support Site
  - If NVE is enabled, download the image with NetApp Volume Encryption, as indicated in the download

button.

- If NVE is not enabled, download the image without NetApp Volume Encryption, as indicated in the download button.
- If your system is an HA pair, you must have a network connection.
- If your system is a stand-alone system you do not need a network connection, but you must perform an additional reboot when restoring the var file system.

1. Download and copy the appropriate service image from the NetApp Support Site to the USB flash drive.
2. Download the service image to your work space on your laptop.
3. Unzip the service image.



If you are extracting the contents using Windows, do not use winzip to extract the netboot image. Use another extraction tool, such as 7-Zip or WinRAR.

There are two folders in the unzipped service image file:

- boot
- efi

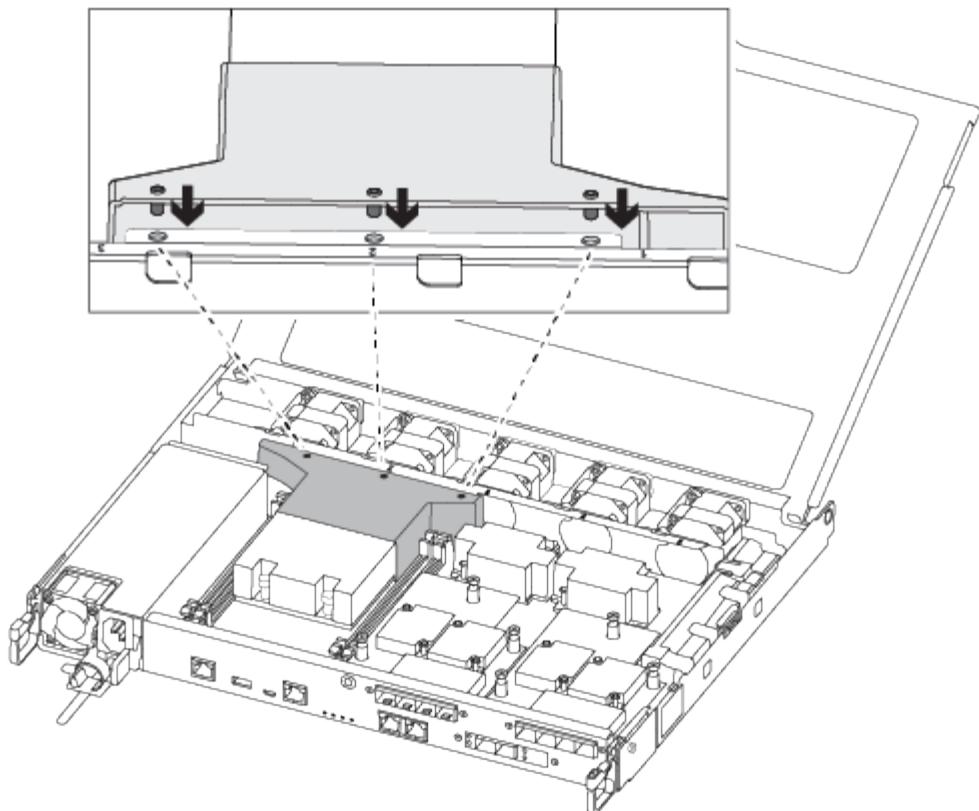
4. Copy the efi folder to the top directory on the USB flash drive.



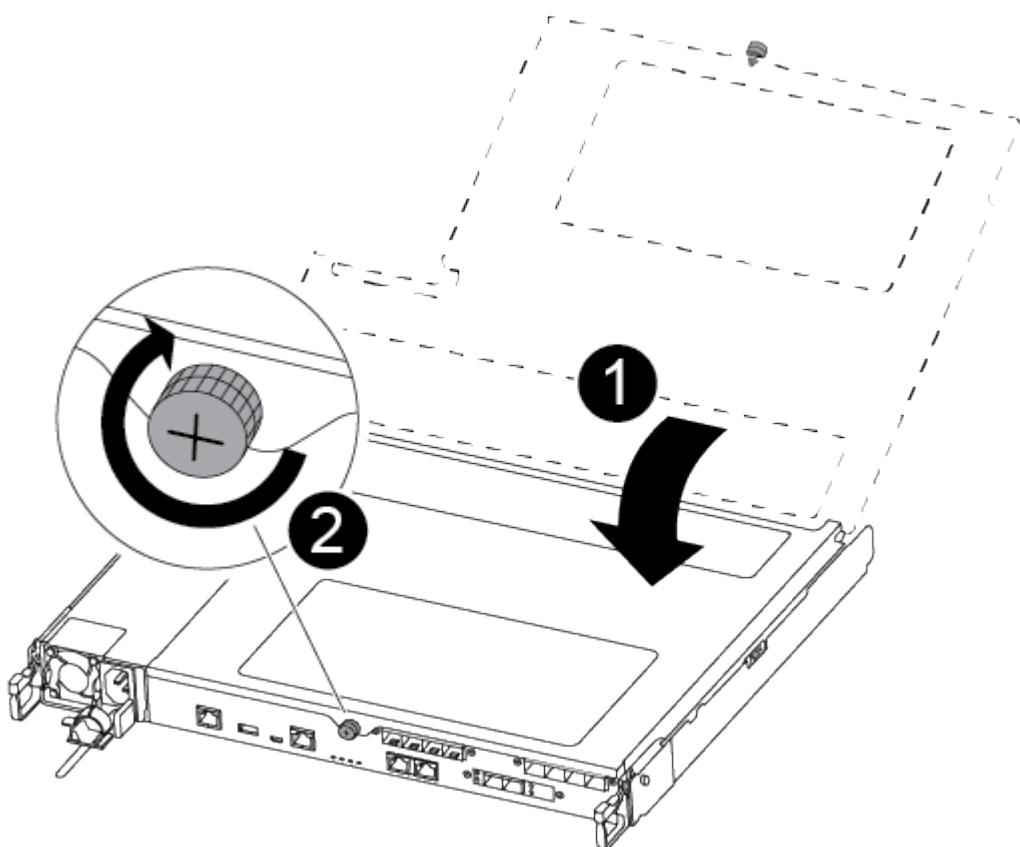
If the service image has no efi folder, see [EFI folder missing from Service Image download file used for boot device recovery for FAS and AFF models<sup>^</sup>](#).

The USB flash drive should have the efi folder and the same Service Image (BIOS) version of what the impaired controller is running.

5. Remove the USB flash drive from your laptop.
6. If you have not already done so, install the air duct.



7. Close the controller module cover and tighten the thumbscrew.



1	Controller module cover
2	Thumbscrew

8. Align the end of the controller module with the opening in the chassis, and then gently push the controller module halfway into the system.
9. Insert the USB flash drive into the USB slot on the controller module.

Make sure that you install the USB flash drive in the slot labeled for USB devices, and not in the USB console port.

10. Push the controller module all the way into the chassis:
11. Place your index fingers through the finger holes from the inside of the latching mechanism.
12. Press your thumbs down on the orange tabs on top of the latching mechanism and gently push the controller module over the stop.
13. Release your thumbs from the top of the latching mechanisms and continue pushing until the latching mechanisms snap into place.

The controller module should be fully inserted and flush with the edges of the chassis.

14. Reconnect the controller module I/O cables.
15. Plug the power cords into the power supplies, reinstall the power cable locking collar, and then connect the power supplies to the power source.

The controller module begins to boot as soon as power is restored. Be prepared to interrupt the boot process.

16. Interrupt the boot process to stop at the LOADER prompt by pressing Ctrl-C when you see Starting AUTOBOOT press Ctrl-C to abort....

If you miss this message, press Ctrl-C, select the option to boot to Maintenance mode, and then halt the controller to boot to LOADER.

17. For systems with one controller in the chassis, reconnect the power and turn on the power supplies.

The system begins to boot and stops at the LOADER prompt.

#### What's next?

After replacing the boot media, you need to [boot the recovery image](#).

### Manual boot media recovery from a USB drive - FAS500f

After installing the new boot media device in your FAS500f system, you can boot the recovery image from a USB drive and restore the configuration from the partner node.

If your storage system is running ONTAP 9.18.1 or later, use the [automated boot recovery procedure](#). If your system is running an earlier version of ONTAP, you must use the manual boot recovery procedure.

#### Before you begin

- Ensure your console is connected to the impaired controller.
- Verify you have a USB flash drive with the recovery image.
- Determine if your system uses encryption. You will need to select the appropriate option in step 3 based on whether encryption is enabled.

## Steps

1. From the LOADER prompt on the impaired controller, boot the recovery image from the USB flash drive:

```
boot_recovery
```

The recovery image is downloaded from the USB flash drive.

2. When prompted, enter the name of the image or press **Enter** to accept the default image displayed in brackets.
3. Restore the var file system using the procedure for your ONTAP version:

## ONTAP 9.16.0 or earlier

Complete the following steps on the impaired controller and partner controller:

- a. **On the impaired controller:** Press Y when you see Do you want to restore the backup configuration now?
- b. **On the impaired controller:** If prompted, press Y to overwrite `/etc/ssh/ssh_host_ecdsa_key`.
- c. **On the partner controller:** Set the impaired controller to advanced privilege level:

```
set -privilege advanced
```

- d. **On the partner controller:** Run the restore backup command:

```
system node restore-backup -node local -target-address  
impaired_node_IP_address
```



If you see any message other than a successful restore, contact NetApp Support.

- e. **On the partner controller:** Return to admin level:

```
set -privilege admin
```

- f. **On the impaired controller:** Press Y when you see Was the restore backup procedure successful?
- g. **On the impaired controller:** Press Y when you see ...would you like to use this restored copy now?
- h. **On the impaired controller:** Press Y when prompted to reboot, then press `Ctrl-C` when you see the Boot Menu.
- i. **On the impaired controller:** Do one of the following:
  - If the system does not use encryption, select *Option 1 Normal Boot* from the Boot Menu.
  - If the system uses encryption, go to [Restore encryption](#).

## ONTAP 9.16.1 or later

Complete the following steps on the impaired controller:

- a. Press Y when prompted to restore the backup configuration.

After the restore procedure is successful, this message displays: `syncflash_partner:`  
`Restore from partner complete`

- b. Press Y when prompted to confirm that the restore backup was successful.
- c. Press Y when prompted to use the restored configuration.
- d. Press Y when prompted to reboot the node.
- e. Press Y when prompted to reboot again, then press `Ctrl-C` when you see the Boot Menu.
- f. Do one of the following:
  - If the system does not use encryption, select *Option 1 Normal Boot* from the Boot Menu.

- If the system uses encryption, go to [Restore encryption](#).

4. Connect the console cable to the partner controller.
5. Return the controller to normal operation by giving back its storage:

```
storage failover giveback -fromnode local
```

6. If you disabled automatic giveback, reenable it:

```
storage failover modify -node local -auto-giveback true
```

7. If AutoSupport is enabled, restore automatic case creation:

```
system node autosupport invoke -node * -type all -message MAINT=END
```

#### What's next?

After booting the recovery image, you need to [restore encryption on the boot media](#).

## Restore encryption - FAS500f

Restore encryption on the replacement boot media.

Complete the appropriate steps to restore encryption on your system based on your key manager type. If you are unsure which key manager your system uses, check the settings you captured at the beginning of the boot media replacement procedure.

## Onboard Key Manager (OKM)

Restore the Onboard Key Manager (OKM) configuration from the ONTAP boot menu.

### Before you begin

Ensure you have the following information available:

- Cluster-wide passphrase entered while [enabling onboard key management](#)
- [Backup information for the Onboard Key Manager](#)
- Verification that you have the correct passphrase and backup data using the [How to verify onboard key management backup and cluster-wide passphrase](#) procedure

### Steps

#### On the impaired controller:

1. Connect the console cable to the impaired controller.
2. From the ONTAP boot menu, select the appropriate option:

ONTAP version	Select this option
ONTAP 9.8 or later	<p>Select option 10.</p> <p><b>Show example boot menu</b></p> <div style="border: 1px solid #ccc; padding: 10px; width: fit-content; margin: 10px auto; border-radius: 10px;"><p>Please choose one of the following:</p><p>(1) Normal Boot. (2) Boot without /etc/rc. (3) Change password. (4) Clean configuration and initialize all disks. (5) Maintenance mode boot. (6) Update flash from backup config. (7) Install new software first. (8) Reboot node. (9) Configure Advanced Drive Partitioning. (10) Set Onboard Key Manager recovery secrets. (11) Configure node for external key management.</p><p>Selection (1-11)? 10</p></div>

ONTAP version	Select this option
ONTAP 9.7 and earlier	<p>Select the hidden option <code>recover_onboard_keymanager</code></p> <p><b>Show example boot menu</b></p> <div style="border: 1px solid #ccc; padding: 10px; border-radius: 10px; background-color: #f9f9f9;"> <p>Please choose one of the following:</p> <ul style="list-style-type: none"> <li>(1) Normal Boot.</li> <li>(2) Boot without /etc/rc.</li> <li>(3) Change password.</li> <li>(4) Clean configuration and initialize all disks.</li> <li>(5) Maintenance mode boot.</li> <li>(6) Update flash from backup config.</li> <li>(7) Install new software first.</li> <li>(8) Reboot node.</li> <li>(9) Configure Advanced Drive Partitioning.</li> </ul> <p>Selection (1-19)?</p> <p><code>recover_onboard_keymanager</code></p> </div>

3. Confirm that you want to continue the recovery process when prompted:

**Show example prompt**

This option must be used only in disaster recovery procedures. Are you sure? (y or n) :

4. Enter the cluster-wide passphrase twice.

While entering the passphrase, the console does not show any input.

**Show example prompt**

Enter the passphrase for onboard key management:

Enter the passphrase again to confirm:

5. Enter the backup information:

- a. Paste the entire content from the BEGIN BACKUP line through the END BACKUP line, including the dashes.

## Show example prompt

Enter the backup data:

```
AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
AA
AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
AA
AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
AA
0123456789012345678901234567890123456789012345678901
23
1234567890123456789012345678901234567890123456789012
34
2345678901234567890123456789012345678901234567890123
45
AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
AA
AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
AA
-----END
BACKUP-----
```

- b. Press Enter twice at the end of the input.

The recovery process completes and displays the following message:

Successfully recovered keymanager secrets.

### Show example prompt

```
Trying to recover keymanager secrets....  
Setting recovery material for the onboard key manager  
Recovery secrets set successfully  
Trying to delete any existing km_onboard.wkeydb file.  
  
Successfully recovered keymanager secrets.  
  
*****  
*****  
* Select option "(1) Normal Boot." to complete recovery  
process.  
*  
* Run the "security key-manager onboard sync" command to  
synchronize the key database after the node reboots.  
*****  
*****
```



Do not proceed if the displayed output is anything other than Successfully recovered keymanager secrets. Perform troubleshooting to correct the error.

6. Select option 1 from the boot menu to continue booting into ONTAP.

### Show example prompt

```
*****
*****
* Select option "(1) Normal Boot." to complete the recovery
process.
*
*****
*****
(1) Normal Boot.
(2) Boot without /etc/rc.
(3) Change password.
(4) Clean configuration and initialize all disks.
(5) Maintenance mode boot.
(6) Update flash from backup config.
(7) Install new software first.
(8) Reboot node.
(9) Configure Advanced Drive Partitioning.
(10) Set Onboard Key Manager recovery secrets.
(11) Configure node for external key management.
Selection (1-11)? 1
```

7. Confirm that the controller's console displays the following message:

Waiting for giveback...(Press Ctrl-C to abort wait)

**On the partner controller:**

8. Giveback the impaired controller:

```
storage failover giveback -fromnode local -only-cfo-aggregates true
```

**On the impaired controller:**

9. After booting with only the CFO aggregate, synchronize the key manager:

```
security key-manager onboard sync
```

10. Enter the cluster-wide passphrase for the Onboard Key Manager when prompted.

## Show example prompt

Enter the cluster-wide passphrase for the Onboard Key Manager:

All offline encrypted volumes will be brought online and the corresponding volume encryption keys (VEKs) will be restored automatically within 10 minutes. If any offline encrypted volumes are not brought online automatically, they can be brought online manually using the "volume online -vserver <vserver> -volume <volume\_name>" command.



If the sync is successful, the cluster prompt is returned with no additional messages. If the sync fails, an error message appears before returning to the cluster prompt. Do not continue until the error is corrected and the sync runs successfully.

### 11. Verify that all keys are synced:

```
security key-manager key query -restored false
```

The command should return no results. If any results appear, repeat the sync command until no results are returned.

### On the partner controller:

### 12. Giveback the impaired controller:

```
storage failover giveback -fromnode local
```

### 13. Restore automatic giveback if you disabled it:

```
storage failover modify -node local -auto-giveback true
```

### 14. If AutoSupport is enabled, restore automatic case creation:

```
system node autosupport invoke -node * -type all -message MAINT=END
```

## External Key Manager (EKM)

Restore the External Key Manager configuration from the ONTAP boot menu.

### Before you begin

Gather the following files from another cluster node or from your backup:

- /cfcard/kmip/servers.cfg file or the KMIP server address and port
- /cfcard/kmip/certs/client.crt file (client certificate)
- /cfcard/kmip/certs/client.key file (client key)
- /cfcard/kmip/certs/CA.pem file (KMIP server CA certificates)

## Steps

### On the impaired controller:

1. Connect the console cable to the impaired controller.
2. Select option 11 from the ONTAP boot menu.

#### Show example boot menu

```
(1) Normal Boot.
(2) Boot without /etc/rc.
(3) Change password.
(4) Clean configuration and initialize all disks.
(5) Maintenance mode boot.
(6) Update flash from backup config.
(7) Install new software first.
(8) Reboot node.
(9) Configure Advanced Drive Partitioning.
(10) Set Onboard Key Manager recovery secrets.
(11) Configure node for external key management.
Selection (1-11)? 11
```

3. Confirm you have gathered the required information when prompted:

#### Show example prompt

```
Do you have a copy of the /cfcard/kmip/certs/client.crt file?
{y/n}
Do you have a copy of the /cfcard/kmip/certs/client.key file?
{y/n}
Do you have a copy of the /cfcard/kmip/certs/CA.pem file? {y/n}
Do you have a copy of the /cfcard/kmip/servers.cfg file? {y/n}
```

4. Enter the client and server information when prompted:

- a. Enter the client certificate (client.crt) file contents, including the BEGIN and END lines.
- b. Enter the client key (client.key) file contents, including the BEGIN and END lines.
- c. Enter the KMIP server CA(s) (CA.pem) file contents, including the BEGIN and END lines.
- d. Enter the KMIP server IP address.
- e. Enter the KMIP server port (press Enter to use the default port 5696).

**Show example**

```
Enter the client certificate (client.crt) file contents:  
-----BEGIN CERTIFICATE-----  
<certificate_value>  
-----END CERTIFICATE-----
```

```
Enter the client key (client.key) file contents:  
-----BEGIN RSA PRIVATE KEY-----  
<key_value>  
-----END RSA PRIVATE KEY-----
```

```
Enter the KMIP server CA(s) (CA.pem) file contents:  
-----BEGIN CERTIFICATE-----  
<certificate_value>  
-----END CERTIFICATE-----
```

```
Enter the IP address for the KMIP server: 10.10.10.10  
Enter the port for the KMIP server [5696]:
```

```
System is ready to utilize external key manager(s).  
Trying to recover keys from key servers....  
kmip_init: configuring ports  
Running command '/sbin/ifconfig e0M'  
..  
..  
kmip_init: cmd: ReleaseExtraBSDPort e0M
```

The recovery process completes and displays the following message:

```
Successfully recovered keymanager secrets.
```

**Show example**

```
System is ready to utilize external key manager(s).  
Trying to recover keys from key servers....  
Performing initialization of OpenSSL  
Successfully recovered keymanager secrets.
```

5. Select option 1 from the boot menu to continue booting into ONTAP.

### Show example prompt

```
*****
*****
* Select option "(1) Normal Boot." to complete the recovery
process.
*
*****
*****
(1) Normal Boot.
(2) Boot without /etc/rc.
(3) Change password.
(4) Clean configuration and initialize all disks.
(5) Maintenance mode boot.
(6) Update flash from backup config.
(7) Install new software first.
(8) Reboot node.
(9) Configure Advanced Drive Partitioning.
(10) Set Onboard Key Manager recovery secrets.
(11) Configure node for external key management.
Selection (1-11)? 1
```

#### 6. Restore automatic giveback if you disabled it:

```
storage failover modify -node local -auto-giveback true
```

#### 7. If AutoSupport is enabled, restore automatic case creation:

```
system node autosupport invoke -node * -type all -message MAINT=END
```

### What's next?

After restoring encryption on the boot media, you need to [return the failed part to NetApp](#).

## Return the failed part to NetApp - FAS500f

Return the failed part to NetApp, as described in the RMA instructions shipped with the kit. See the [Part Return and Replacements](#) page for further information.

## Chassis

### Chassis replacement workflow - FAS500f

Get started with replacing the chassis of your FAS500f storage system by reviewing the

replacement requirements, shutting down the controllers, replacing the chassis, and verifying system operations.

1

### **Review the chassis replacement requirements**

Review the chassis replacement requirements, including system compatibility, required tools, ONTAP credentials, and component functionality verification.

2

### **Prepare for the chassis replacement**

Prepare for the chassis replacement by locating the system, gathering credentials and tools, verifying the replacement chassis, and labeling cables.

3

### **Shut down the controllers**

Shut down the controllers to perform chassis maintenance safely.

4

### **Replace the chassis**

Move the components from the impaired chassis to the replacement chassis.

5

### **Complete the chassis replacement**

Complete the replacement by booting the controllers, performing giveback, and returning the failed chassis to NetApp.

## **Requirements to replace the chassis - FAS500f**

Before replacing the chassis in your FAS500f system, ensure you meet the necessary requirements for a successful replacement. This includes verifying all other components in the system are functioning properly, verifying that you have local administrator credentials for ONTAP, the correct replacement chassis, and the necessary tools.

The chassis is the physical enclosure housing all the controller components such as the controller/CPU unit, power supply, and I/O.

Review the following requirements.

- Make sure all other components in the system are functioning properly; if not, contact [NetApp support](#) for assistance.
- Obtain local administrator credentials for ONTAP if you don't have them.
- Make sure that you have the necessary tools and equipment for the replacement.
- You can use the chassis replacement procedure with all versions of ONTAP supported by your system.
- The chassis replacement procedure is written with the assumption that you are moving the bezel, NVMe drives, and controller modules to the new chassis, and that the replacement chassis is a new component from NetApp.

- The chassis replacement procedure is disruptive. For a two-node cluster, you will have a complete service outage and a partial outage in a multi-node cluster.

#### What's next?

After reviewing the requirements, [prepare to replace the chassis](#).

## Prepare to replace the chassis - FAS500f

Prepare to replace the impaired chassis in your FAS500f system by identifying the impaired chassis, verifying the replacement components, and labeling the cables and controller modules.

#### Steps

1. Connect to the serial console port to interface with and monitor the system.
2. Turn on the controller's Location LED:
  - a. Use the `system controller location-led show` command to display the current state of the Location LED.
  - b. Turn on the Location LED:

```
system controller location-led modify -node node1 -state on
```

The Location LED remains lit for 30 minutes.

3. Before opening the packaging, examine the packaging label and verify the following:
  - Component part number
  - Part description
  - Quantity in the box
4. Remove the contents from the packaging and save the packaging for returning the failed component to NetApp.
5. Label all cables connected to the storage system. This ensures proper recabling later in this procedure.
6. Ground yourself if not already grounded.

#### What's next?

After you've prepared to replace your FAS500f chassis hardware, you need to [shut down the controllers](#).

## Shut down the controllers - FAS500f

Shut down the controllers in your FAS500f storage system to prevent data loss and ensure system stability when replacing the chassis.

This procedure is for systems with two node configurations. For more information about graceful shutdown when servicing a cluster, see [Gracefully shutdown and power up your storage system Resolution Guide - NetApp Knowledge Base](#).

#### Before you begin

- Make sure you have the necessary permissions and credentials:
  - Local administrator credentials for ONTAP.

- BMC accessibility for each controller.
- Make sure you have the necessary tools and equipment for the replacement.
- As a best practice before shutdown, you should:
  - Perform additional [system health checks](#).
  - Upgrade ONTAP to a recommended release for the system.
  - Resolve any [Active IQ Wellness Alerts and Risks](#). Make note of any faults presently on the system, such as LEDs on the system components.

## Steps

1. Log into the cluster through SSH or log in from any node in the cluster using a local console cable and a laptop/console.
2. Stop all clients/host from accessing data on the NetApp system.
3. Suspend external backup jobs.
4. If AutoSupport is enabled, suppress case creation and indicate how long you expect the system to be offline:

```
system node autosupport invoke -node * -type all -message "MAINT=2h Replace chassis"
```

5. Identify the SP/BMC address of all cluster nodes:

```
system service-processor show -node * -fields address
```

6. Exit the cluster shell:

```
exit
```

7. Log into SP/BMC over SSH using the IP address of any of the nodes listed in the output from the previous step to monitor progress.

If you are using a console/laptop, log into the controller using the same cluster administrator credentials.

8. Halt the two nodes located in the impaired chassis:

```
system node halt -node <node1>,<node2> -skip-lif-migration-before-shutdown true -ignore-quorum-warnings true -inhibit-takeover true
```



For clusters using SnapMirror synchronous operating in StrictSync mode: system node halt -node <node1>,<node2> -skip-lif-migration-before-shutdown true -ignore-quorum-warnings true -inhibit-takeover true -ignore-strict -sync-warnings true

9. Enter **y** for each controller in the cluster when you see:

Warning: Are you sure you want to halt node <node\_name>? {y|n}:

10. Wait for each controller to halt and display the LOADER prompt.

## What's next?

After shutting down the controllers, [replace the chassis](#).

## Replace the chassis - FAS500f

Replace the chassis in your FAS500f system when a hardware failure requires it. The replacement process involves removing the controllers and power supply units (PSUs), removing the drives, installing the replacement chassis, and reinstalling the chassis components.

To replace the chassis, you move the power supplies, hard drives, and controller module from the impaired chassis to the new chassis, and swap out the impaired chassis from with the new chassis of the same model as the impaired chassis.

### Step 1: Remove the controller modules

To replace the chassis, you must remove the controller modules from the old chassis.

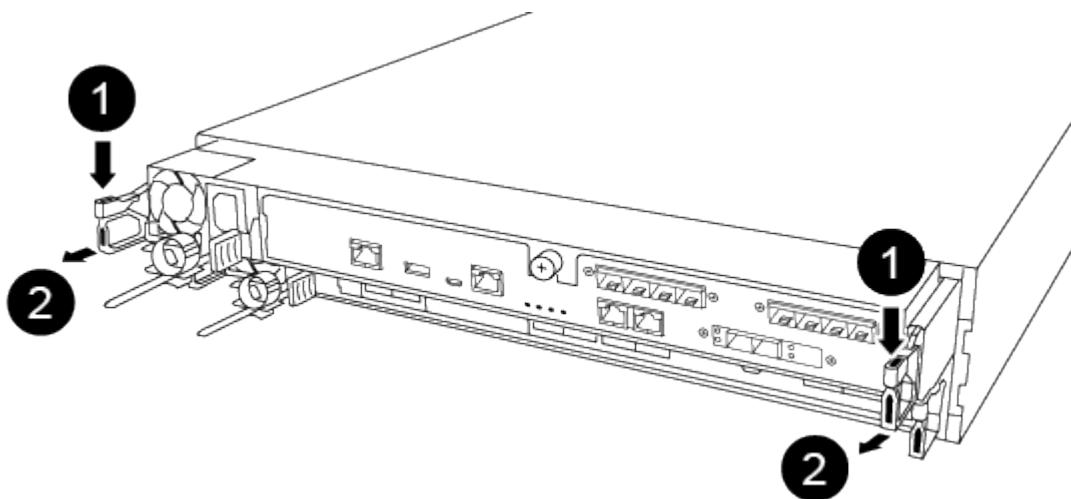
Use the following video or the tabulated steps to replace the chassis; it assumes the removal and replacement of the bezel:

#### [Animation - Replace the chassis](#)

1. If you are not already grounded, properly ground yourself.
2. Unplug the controller module power supplies from the source.
3. Release the power cable retainers, and then unplug the cables from the power supplies.
4. Insert your forefinger into the latching mechanism on either side of the controller module, press the lever with your thumb, and gently pull the controller a few inches out of the chassis.



If you have difficulty removing the controller module, place your index fingers through the finger holes from the inside (by crossing your arms).



1	Lever
---	-------

2

## Latching mechanism

5. Using both hands, grasp the controller module sides and gently pull it out of the chassis and set it on a flat, stable surface.
6. Set the controller module aside in a safe place, and repeat these steps for the other controller module in the chassis.

### Step 2: Move drives to the new chassis

You need to move the drives from each bay opening in the old chassis to the same bay opening in the new chassis.

1. Gently remove the bezel from the front of the system.
2. Remove the drives:
  - a. Press the release button at the top of the carrier face below the LEDs.
  - b. Pull the cam handle to its fully open position to unseat the drive from the midplane, and then gently slide the drive out of the chassis.

The drive should disengage from the chassis, allowing it to slide free of the chassis.



When removing a drive, always use two hands to support its weight.



Drives are fragile. Handle them as little as possible to prevent damage to them.

3. Align the drive from the old chassis with the same bay opening in the new chassis.
4. Gently push the drive into the chassis as far as it will go.

The cam handle engages and begins to rotate upward.

5. Firmly push the drive the rest of the way into the chassis, and then lock the cam handle by pushing it up and against the drive holder.

Be sure to close the cam handle slowly so that it aligns correctly with the front of the drive carrier. It clicks when it is secure.

6. Repeat the process for the remaining drives in the system.

### Step 3: Replace a chassis from within the equipment rack or system cabinet

You must remove the existing chassis from the equipment rack or system cabinet before you can install the replacement chassis.

1. Remove the screws from the chassis mount points.
2. With two people, slide the old chassis off the rack rails in a system cabinet or equipment rack, and then set it aside.
3. If you are not already grounded, properly ground yourself.
4. Using two people, install the replacement chassis into the equipment rack or system cabinet by guiding the chassis onto the rack rails in a system cabinet or equipment rack.

5. Slide the chassis all the way into the equipment rack or system cabinet.
6. Secure the front of the chassis to the equipment rack or system cabinet, using the screws you removed from the old chassis.
7. If you have not already done so, install the bezel.

#### Step 4: Install the controller modules

After you install the controller modules into the new chassis, you need to boot the system.

For HA pairs with two controller modules in the same chassis, the sequence in which you install the controller module is especially important because it attempts to reboot as soon as you completely seat it in the chassis.

1. Align the end of the controller module with the opening in the chassis, and then gently push the controller module halfway into the system.



Do not completely insert the controller module in the chassis until instructed to do so.

2. Recable the console to the controller module, and then reconnect the management port.
3. Insert the controller module into the chassis:
  - a. Ensure the latching mechanism arms are locked in the fully extended position.
  - b. Using both hands, align and gently slide the controller module into the latching mechanism arms until it stops.
  - c. Place your index fingers through the finger holes from the inside of the latching mechanism.
  - d. Press your thumbs down on the orange tabs on top of the latching mechanism and gently push the controller module over the stop.
  - e. Release your thumbs from the top of the latching mechanisms and continue pushing until the latching mechanisms snap into place.

The controller module should be fully inserted and flush with the edges of the chassis.

- a. Plug the power cords into the power supplies, reinstall the power cable locking collar, and then connect the power supplies to the power source.

The controller module begins to boot as soon as power is restored. Be prepared to interrupt the boot process.

4. Repeat the preceding steps to install the second controller into the new chassis.

#### What's next?

After you have replaced the impaired FAS500f chassis and reinstalled the components, you need to [complete the chassis replacement](#)

### Complete the chassis replacement - FAS500f

Reboot the controllers, verify system health, and return the failed part to NetApp to complete the final step in the FAS500f chassis replacement procedure.

You must verify the HA state of the chassis, and return the failed part to NetApp, as described in the RMA instructions shipped with the kit.

## Step 1: Verify and set the HA state of the chassis

You must verify the HA state of the chassis, and, if necessary, update the state to match your system configuration.

1. In Maintenance mode, from either controller module, display the HA state of the local controller module and chassis: `ha-config show`

The HA state should be the same for all components.

2. If the displayed system state for the chassis does not match your system configuration:

- a. Set the HA state for the chassis: `ha-config modify chassis HA-state`

The value for HA-state can be one of the following:

- ha
- mcc
- mccip
- non-ha

- b. Confirm that the setting has changed: `ha-config show`

3. If you have not already done so, recable the rest of your system.

4. Reinstall the bezel on the front of the system.

## Step 2: Return the failed part to NetApp

Return the failed part to NetApp, as described in the RMA instructions shipped with the kit. See the [Part Return and Replacements](#) page for further information.

# Controller

## Controller replacement workflow - FAS500f

Follow these workflow steps to replace your controller in your FAS500f storage system.

1

### [Review the controller replacement requirements](#)

To replace the controller, you must meet certain requirements.

2

### [Shut down the impaired controller](#)

Shut down or take over the impaired controller so that the healthy controller continues to serve data from the impaired controller storage.

3

### [Replace the controller](#)

Replacing the controller includes removing the impaired controller, moving FRU components to the replacement controller, installing the replacement controller in the chassis, setting the time and date, and then

recabling.

4

#### **Restore and verify the system configuration**

Verify the low-level system configuration of the replacement controller and reconfigure system settings as necessary.

5

#### **Give back the controller**

Transfer the ownership of storage resources back to the replacement controller.

6

#### **Complete controller replacement**

Verify the LIFs, check cluster health, and return the failed part to NetApp.

### **Requirements to replace the controller - FAS500f**

Before replacing the controller in your FAS500f storage system, ensure you meet the necessary requirements for a successful replacement. This includes verifying all other components in the system are functioning properly, verifying that you have the correct replacement controller, and saving the controller's console output to a text log file.

You must review the prerequisites for the replacement procedure and select the correct one for your version of the ONTAP operating system.

- All drive shelves must be working properly.
- If your system is in a MetroCluster configuration, you must review the section [Choosing the correct recovery procedure](#) to determine whether you should use this procedure.
- You must replace the failed component with a replacement FRU component you received from your provider.
- You must be replacing a controller module with a controller module of the same model type. You cannot upgrade your system by just replacing the controller module.
- You cannot change any drives or drive shelves as part of this procedure.
- In this procedure, the boot device is moved from the impaired controller to the *replacement* controller so that the *replacement* controller will boot up in the same version of ONTAP as the old controller module.
- It is important that you apply the commands in these steps on the correct systems:
  - The *impaired* controller is the controller that is being replaced.
  - The *replacement* controller is the new controller that is replacing the impaired controller.
  - The *healthy* controller is the surviving controller.
- You must always capture the controller's console output to a text file.

This provides you a record of the procedure so that you can troubleshoot any issues that you might encounter during the replacement process.

### **What's next?**

After you've reviewed the requirements to replace the impaired controller, you need to [shut down the impaired](#)

controller.

## Shut down the impaired controller - FAS500f

Shut down the controller in your FAS500f storage system to prevent data loss and ensure system stability when replacing the controller.

To shut down the impaired controller, you must determine the status of the controller and, if necessary, take over the controller so that the healthy controller continues to serve data from the impaired controller storage.

### About this task

- If you have a SAN system, you must have checked event messages (`cluster kernel-service show`) for the impaired controller SCSI blade. The `cluster kernel-service show` command (from priv advanced mode) displays the node name, [quorum status](#) of that node, availability status of that node, and operational status of that node.

Each SCSI-blade process should be in quorum with the other nodes in the cluster. Any issues must be resolved before you proceed with the replacement.

- If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see [Synchronize a node with the cluster](#).

### Steps

1. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message MAINT=<# of hours>h
```

The following AutoSupport message suppresses automatic case creation for two hours:

```
cluster1:> system node autosupport invoke -node * -type all -message MAINT=2h
```

2. Disable automatic giveback:

- a. Enter the following command from the console of the healthy controller:

```
storage failover modify -node impaired_node_name -auto-giveback false
```

- b. Enter *y* when you see the prompt *Do you want to disable auto-giveback?*

3. Take the impaired controller to the LOADER prompt:

If the impaired controller is displaying...	Then...
The LOADER prompt	Go to the next step.
Waiting for giveback...	Press Ctrl-C, and then respond <i>y</i> when prompted.

If the impaired controller is displaying...	Then...
System prompt or password prompt	<p>Take over or halt the impaired controller from the healthy controller:</p> <pre>storage failover takeover -ofnode <i>impaired_node_name</i> -halt true</pre> <p>The <i>-halt true</i> parameter brings you to the LOADER prompt.</p>

### What's next?

After you've shut down the controller, you need to [Replace the controller](#)

## Replace the controller - FAS500f

Replace the controller in your FAS500f system when a hardware failure requires it. The replacement process involves removing the impaired controller, moving the components to the replacement controller, installing the replacement controller, and rebooting it.

To replace the controller module hardware, you must remove the impaired controller, move FRU components to the replacement controller module, install the replacement controller module in the chassis, and then boot the system to Maintenance mode.

### Step 1: Remove the controller module

You must remove the controller module from the chassis when you replace a component inside the controller module.

Make sure that you label the cables so that you know where they came from.

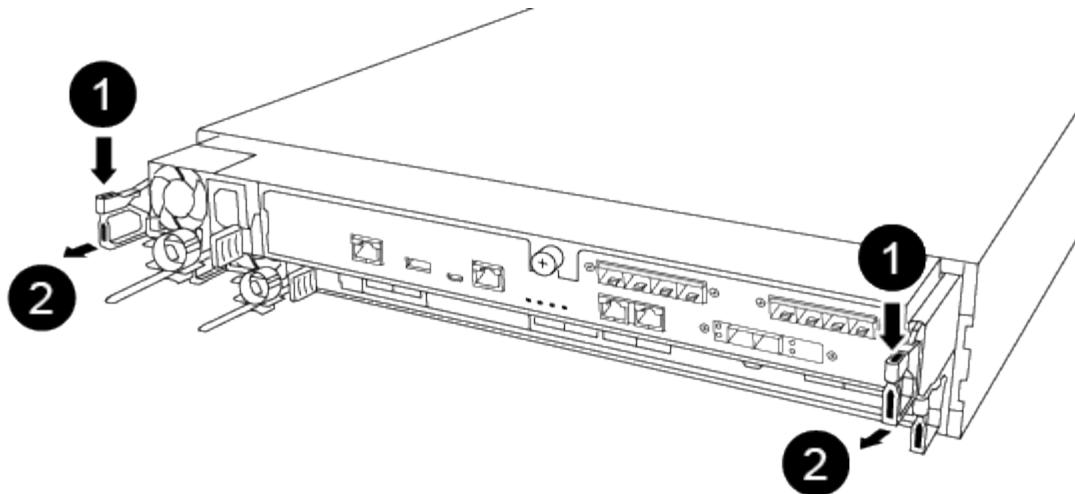
Use the following video or the tabulated steps to replace a controller module:

[Animation - Replace a controller module](#)

1. If you are not already grounded, properly ground yourself.
2. Unplug the controller module power supplies from the source.
3. Release the power cable retainers, and then unplug the cables from the power supplies.
4. Insert your forefinger into the latching mechanism on either side of the controller module, press the lever with your thumb, and gently pull the controller a few inches out of the chassis.

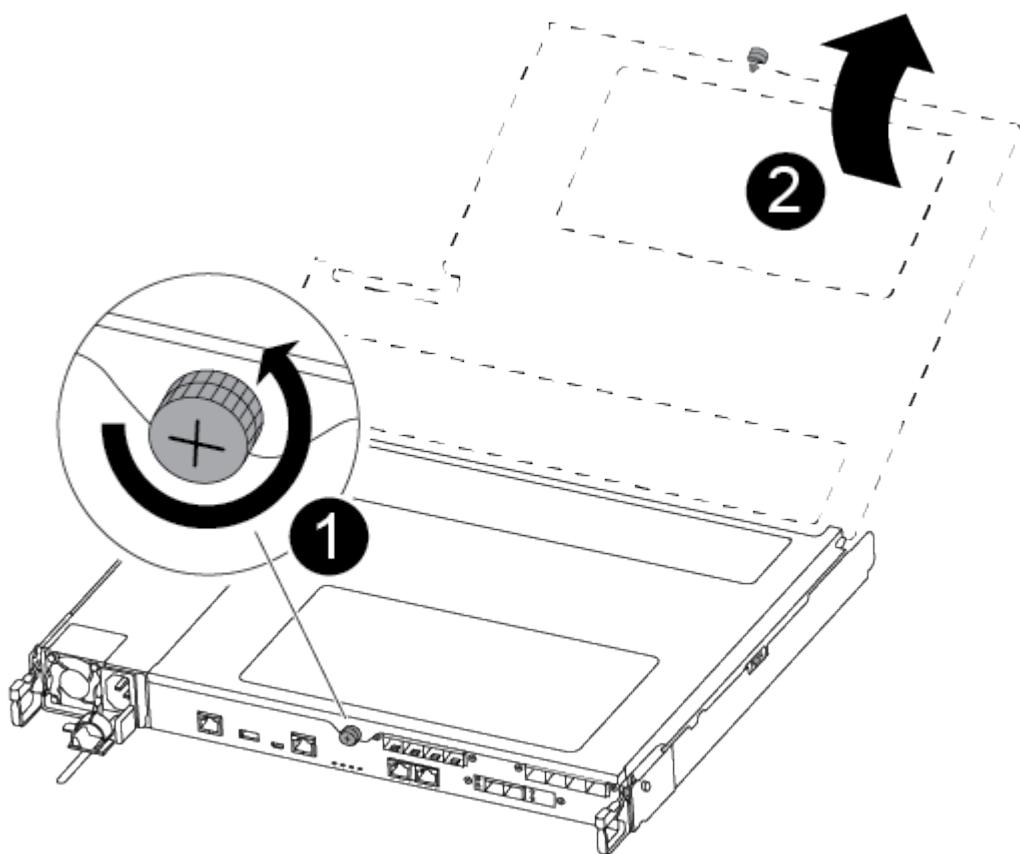


If you have difficulty removing the controller module, place your index fingers through the finger holes from the inside (by crossing your arms).



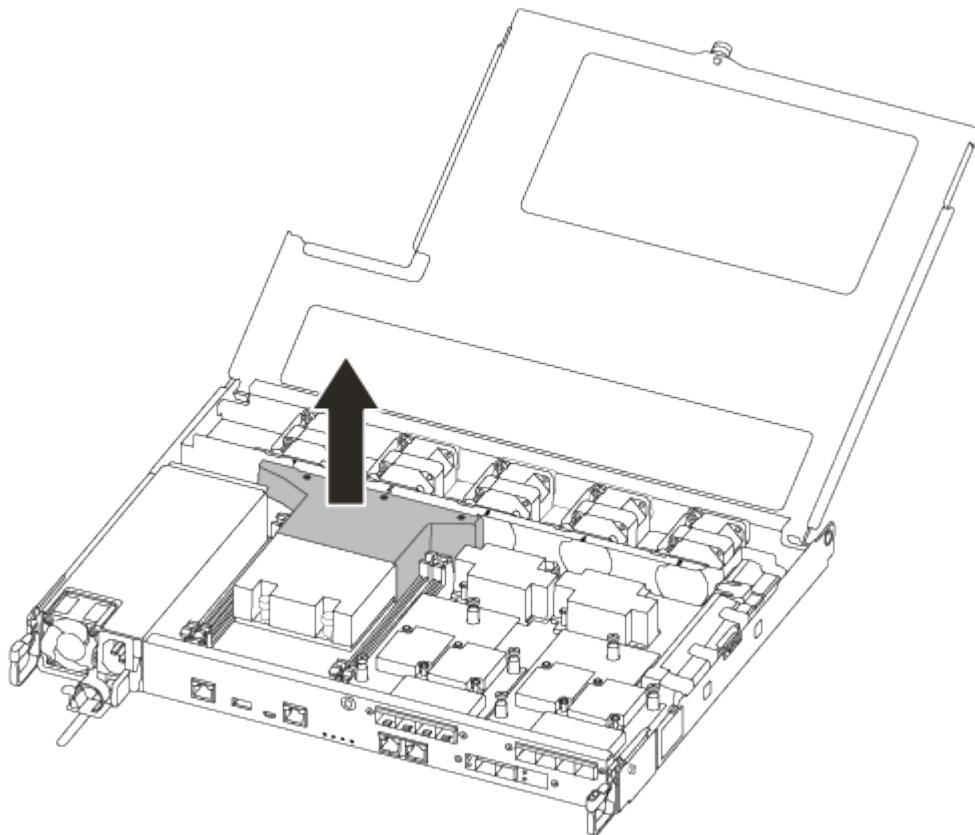
1	Lever
2	Latching mechanism

5. Using both hands, grasp the controller module sides and gently pull it out of the chassis and set it on a flat, stable surface.
6. Turn the thumbscrew on the front of the controller module anti-clockwise and open the controller module cover.



1	Thumbscrew
2	Controller module cover.

7. Lift out the air duct cover.



## Step 2: Move the power supply

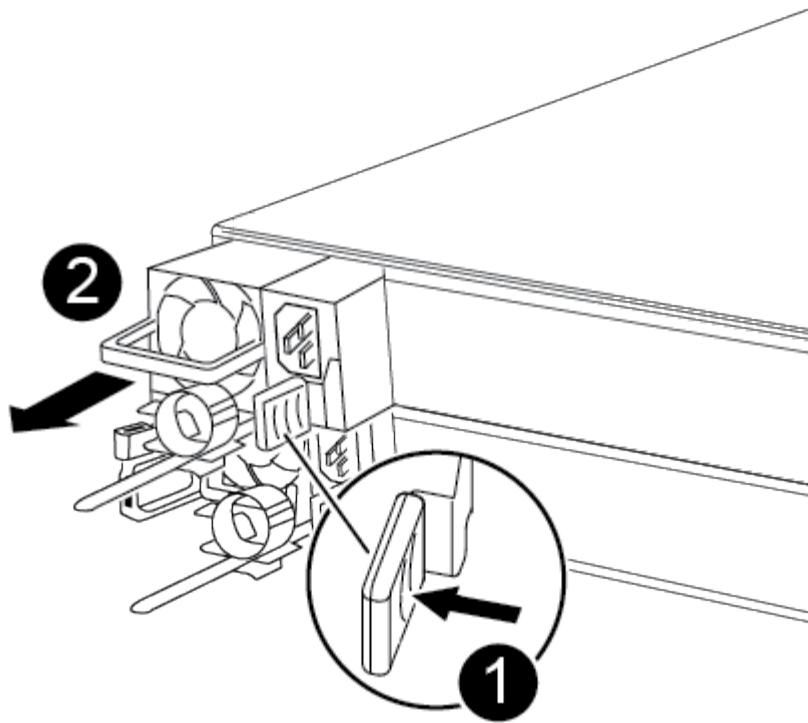
You must move the power supply from the impaired controller module to the replacement controller module when you replace a controller module.

1. Disconnect the power supply.
2. Open the power cable retainer, and then unplug the power cable from the power supply.
3. Unplug the power cable from the power source.
4. Rotate the cam handle such that it can be used to pull power supply out of the controller module while pressing the locking tab.



Do not use excessive force when sliding the controller module into the chassis to avoid damaging the connectors.

The power supply is short. Always use two hands to support it when removing it from the controller module so that it does not suddenly swing free from the controller module and injure you.



1	Blue power supply locking tab
2	Power supply

5. Move the power supply to the new controller module, and then install it.
6. Using both hands, support and align the edges of the power supply with the opening in the controller module, and then gently push the power supply into the controller module until the locking tab clicks into place.

The power supplies will only properly engage with the internal connector and lock in place one way.

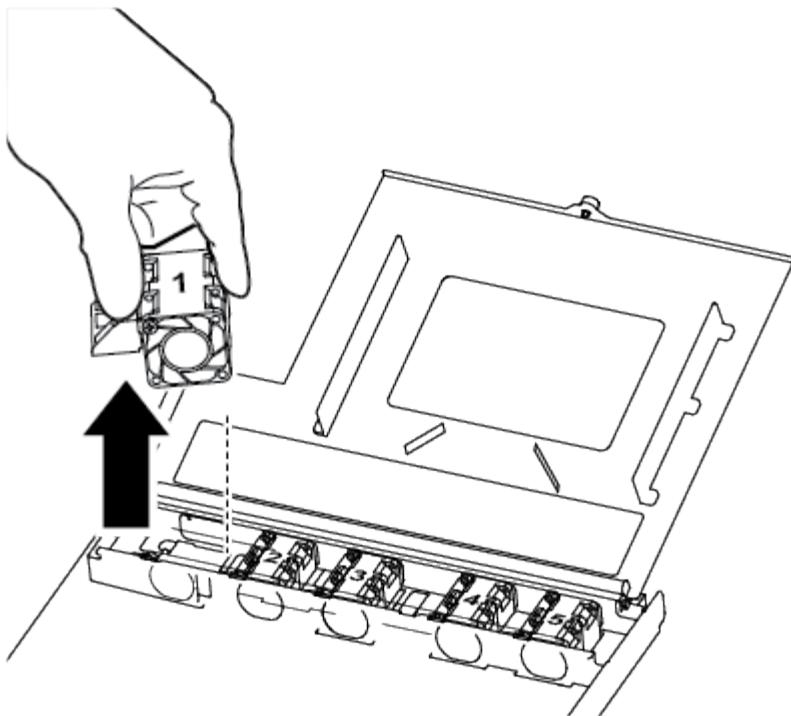


To avoid damaging the internal connector, do not use excessive force when sliding the power supply into the system.

### Step 3: Move the fans

You must move the fans from the impaired controller module to the replacement module when replacing a failed controller module.

1. Remove the fan module by pinching the side of the fan module, and then lifting the fan module straight out of the controller module.



1

Fan module

2. Move the fan module to the replacement controller module, and align the edges of the fan module with the opening in the controller module, and then slide the fan module in.
3. Repeat these steps for the remaining fan modules.

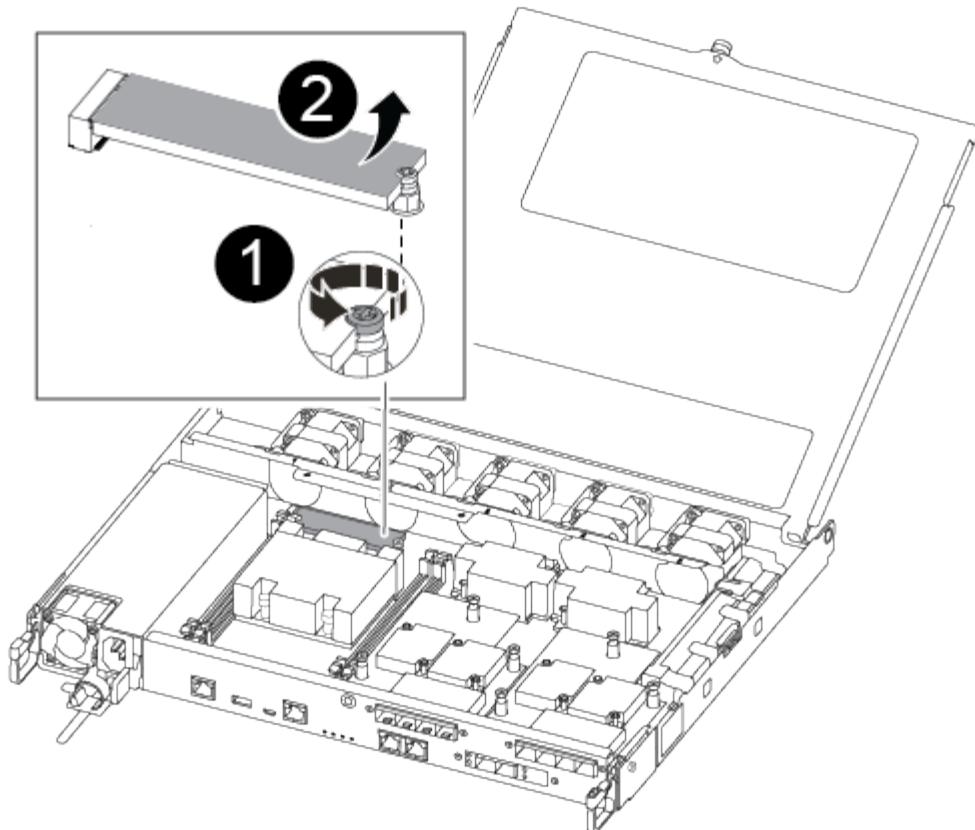
#### Step 4: Move the boot media

You must move the boot media device from the impaired controller module to the replacement controller module.

You need a #1 magnetic Phillips head screwdriver to remove the screw that holds the boot media in place. Due to the space constraints within the controller module, you should also have a magnet to transfer the screw on to so that you do not lose it.

1. Locate and move the boot media from the impaired controller module to the replacement controller module.

The boot media is located under the air duct cover you removed earlier in this procedure.



1	Remove the screw securing the boot media to the motherboard in the impaired controller module.
2	Lift the boot media out of the impaired controller module.

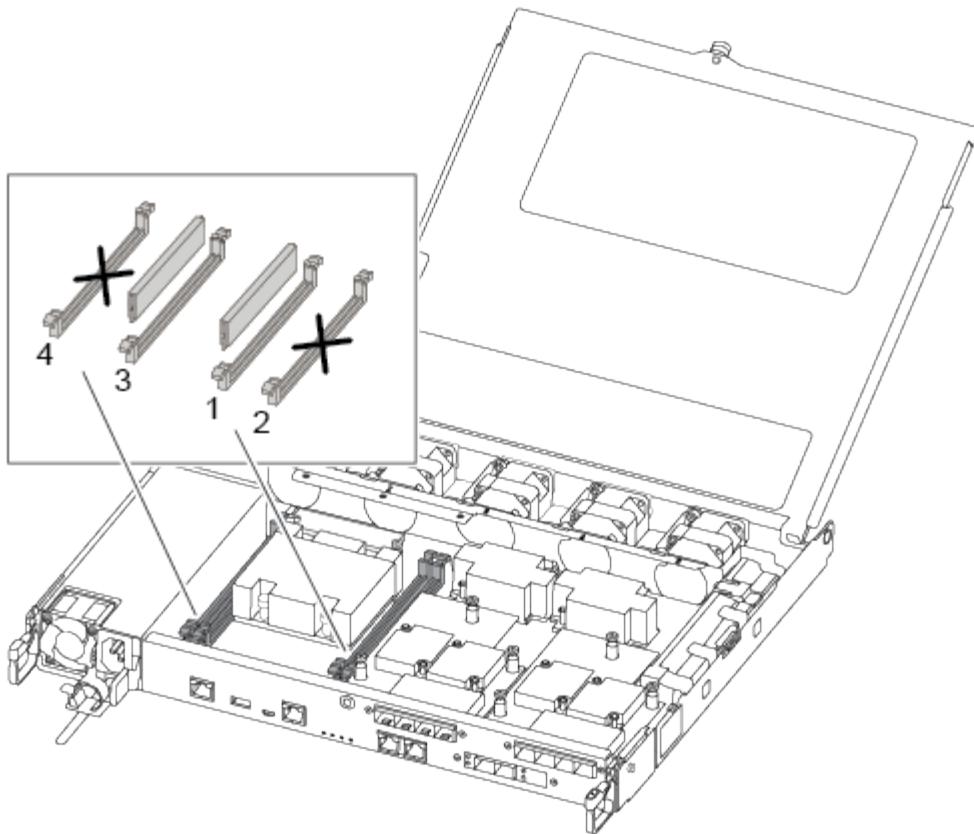
2. Using the #1 magnetic screwdriver, remove the screw from the boot media, and set it aside safely on the magnet.
3. Gently lift the boot media directly out of the socket and align it into place in the replacement controller module.
4. Using the #1 magnetic screwdriver, insert and tighten the screw on the boot media.



Do not apply force when tightening the screw on the boot media; you might crack it.

## Step 5: Move the DIMMs

To move the DIMMs, locate and move them from the impaired controller into the replacement controller and follow the specific sequence of steps.



Install each DIMM into the same slot it occupied in the impaired controller module.

1. Slowly push apart the DIMM ejector tabs on either side of the DIMM, and slide the DIMM out of the slot.



Hold the DIMM by the edges to avoid pressure on the components on the DIMM circuit board.

2. Locate the corresponding DIMM slot on the replacement controller module.

3. Make sure that the DIMM ejector tabs on the DIMM socket are in the open position, and then insert the DIMM squarely into the socket.

The DIMMs fit tightly in the socket. If not, reinsert the DIMM to realign it with the socket.

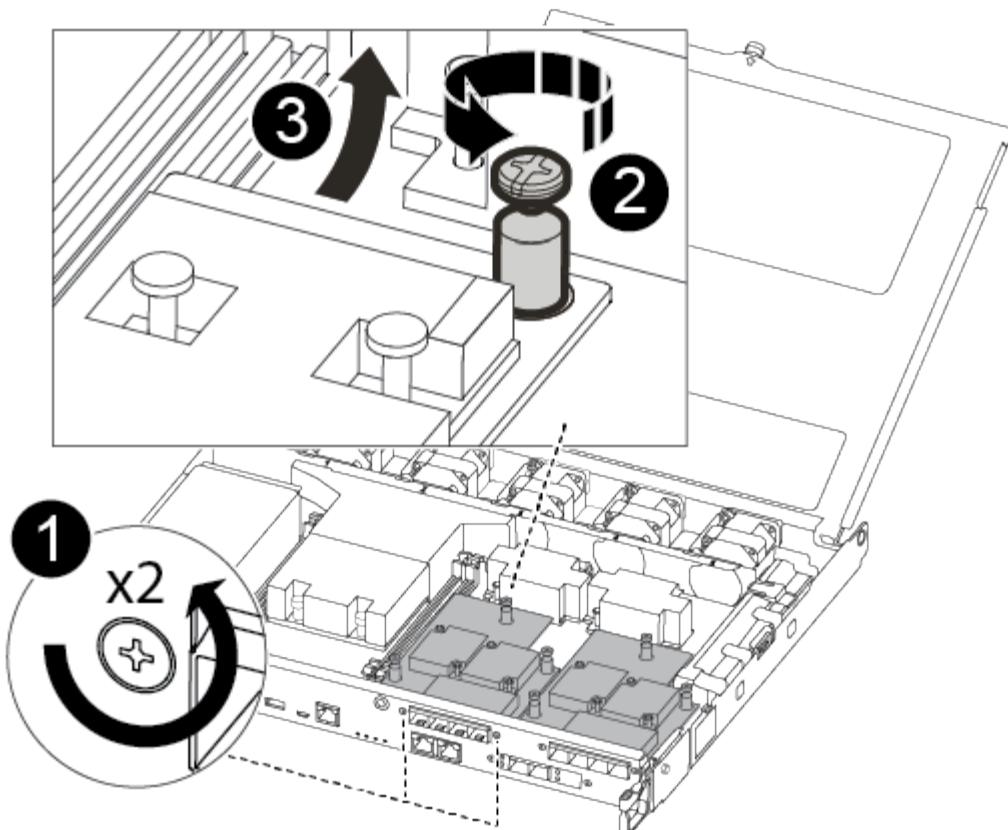
4. Visually inspect the DIMM to verify that it is evenly aligned and fully inserted into the socket.

5. Repeat these steps for the remaining DIMM.

#### Step 6: Move a mezzanine card

To move a mezzanine card, you must remove the cabling and any QSFPs and SFPs from the ports, move the mezzanine card to the replacement controller, reinstall any QSFPs and SFPs onto the ports, and cable the ports.

1. Locate and move the mezzanine cards from your impaired controller module.



1	Remove screws on the face of the controller module.
2	Loosen the screw in the controller module.
3	Move the mezzanine card.

2. Unplug any cabling associated with the mezzanine card.

Make sure that you label the cables so that you know where they came from.

- Remove any SFP or QSFP modules that might be in the mezzanine card and set it aside.
- Using the #1 magnetic screwdriver, remove the screws from the face of the impaired controller module and from the mezzanine card, and set them aside safely on the magnet.
- Gently lift the mezzanine card out of the socket and move it to the same position in the replacement controller.
- Gently align the mezzanine card into place in the replacement controller.
- Using the #1 magnetic screwdriver, insert and tighten the screws on the face of the replacement controller module and on the mezzanine card.



Do not apply force when tightening the screw on the mezzanine card; you might crack it.

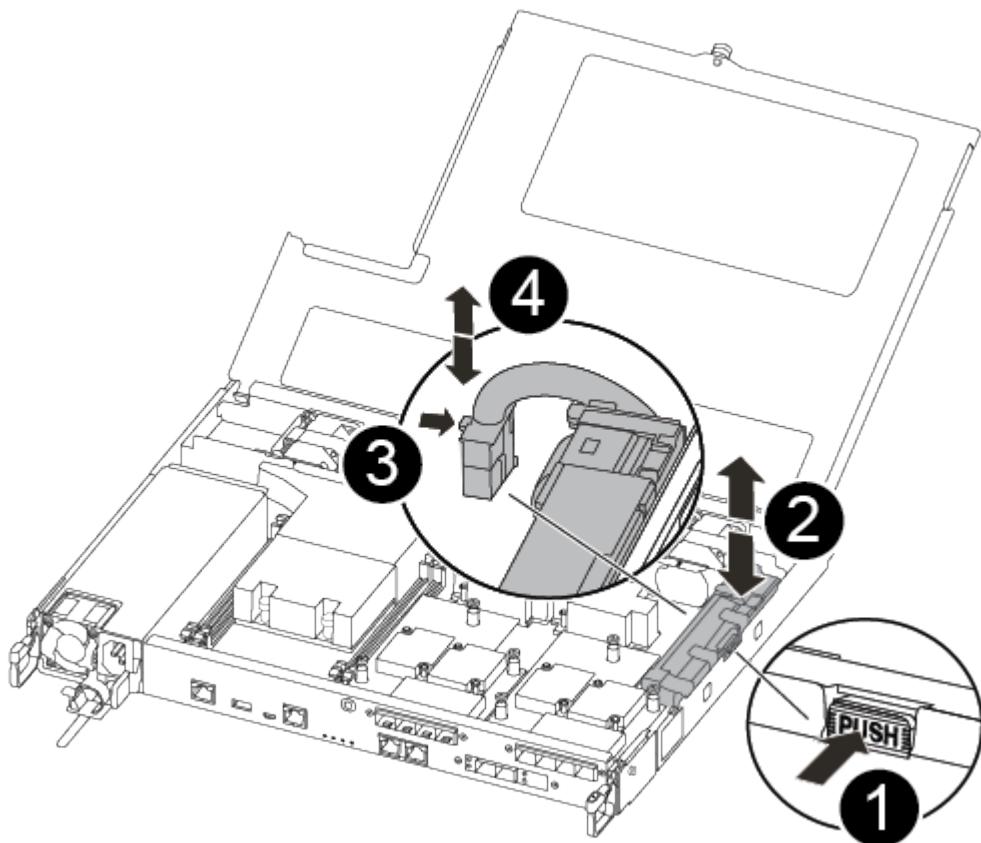
3. Repeat these steps if there is another mezzanine card in the impaired controller module.

4. Insert the SFP or QSFP modules that were removed onto the mezzanine card.

#### Step 7: Move the NV battery

When replacing the controller module, you must move the NV battery from the impaired controller module to the replacement controller module.

1. Locate and move the NVMEM battery from your impaired controller module to the replacement controller module.



1	Squeeze the clip on the face of the battery plug.
2	Unplug the battery cable from the socket.
3	Grasp the battery and press the blue locking tab marked PUSH.
4	Lift the battery out of the holder and controller module.

2. Locate the battery plug and squeeze the clip on the face of the battery plug to release the plug from the socket.
3. Grasp the battery and press the blue locking tab marked PUSH, and then lift the battery out of the holder and controller module.

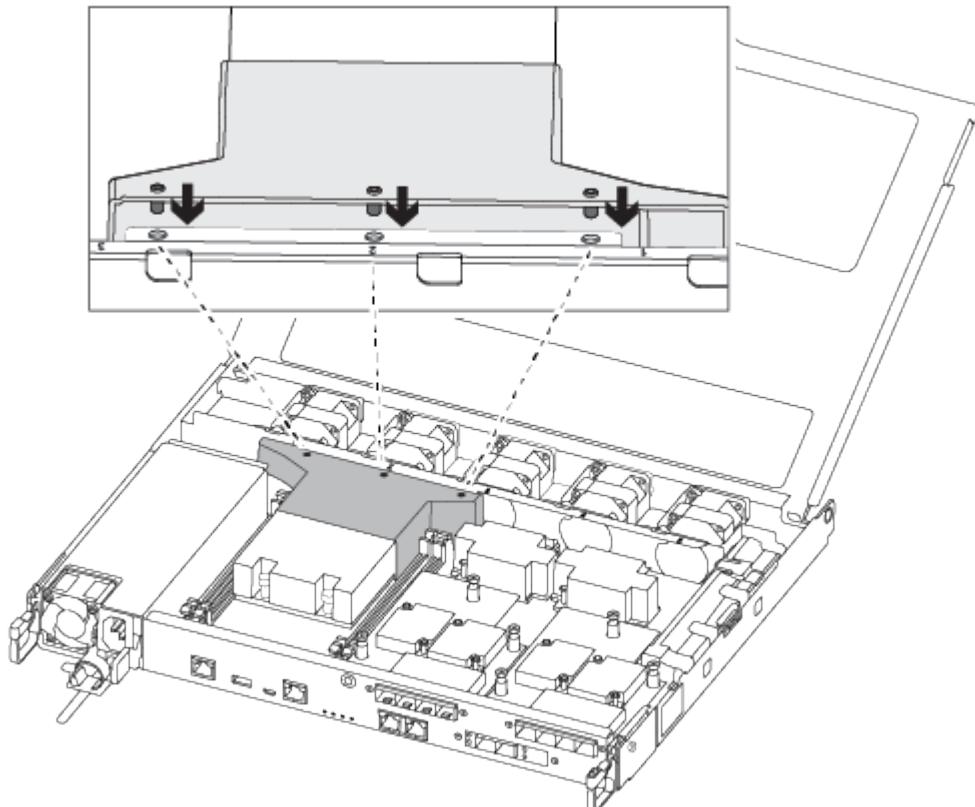
4. Locate the corresponding NV battery holder on the replacement controller module and align the NV battery to the battery holder.
5. Insert the NV battery plug into the socket.
6. Slide the battery pack down along the sheet metal side wall until the support tabs on the side wall hook into the slots on the battery pack, and the battery pack latch engages and clicks into the opening on the side wall.
7. Press firmly down on the battery pack to make sure that it is locked into place.

### **Step 8: Install the controller module**

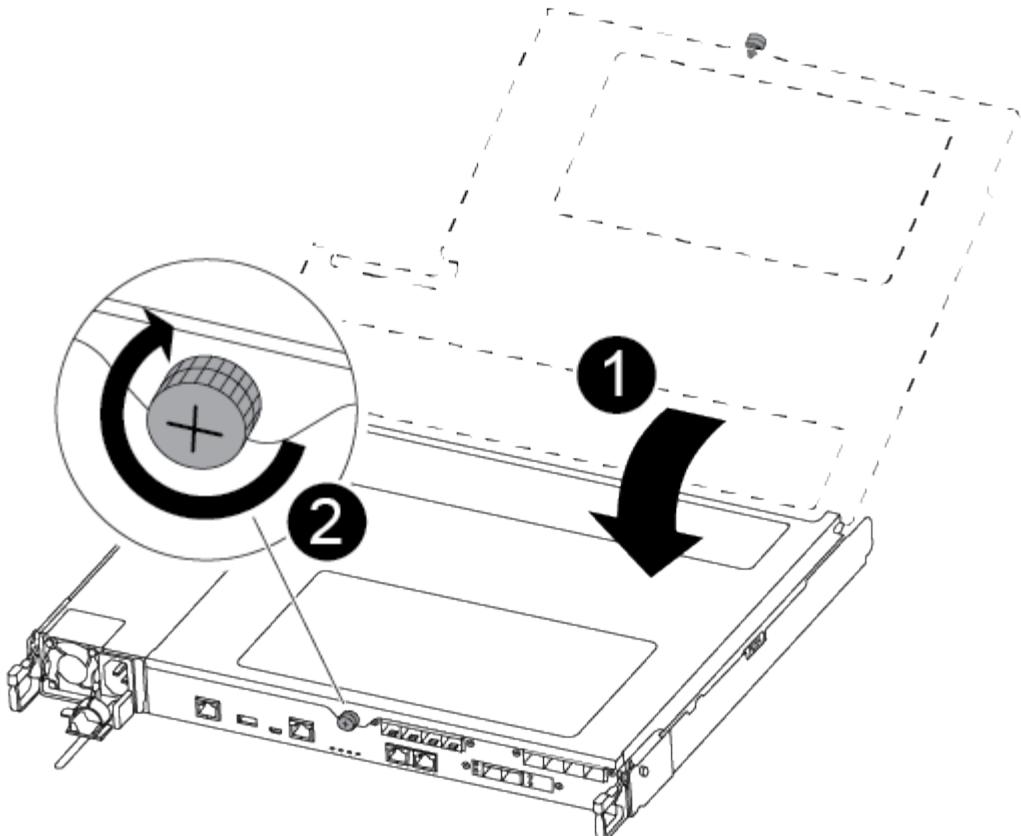
After all of the components have been moved from the impaired controller module to the replacement controller module, you must install the replacement controller module into the chassis, and then boot it to Maintenance mode.

You can use the following illustrations or the written steps to install the replacement controller module in the chassis.

1. If you have not already done so, install the air duct.



2. Close the controller module cover and tighten the thumbscrew.



1	Controller module cover
2	Thumbscrew

3. Align the end of the controller module with the opening in the chassis, and then gently push the controller module halfway into the system.



Do not completely insert the controller module in the chassis until instructed to do so.

4. Cable the management and console ports only, so that you can access the system to perform the tasks in the following sections.



You will connect the rest of the cables to the controller module later in this procedure.

5. Insert the controller module into the chassis:

- a. Ensure the latching mechanism arms are locked in the fully extended position.
- b. Using both hands, align and gently slide the controller module into the latching mechanism arms until it stops.
- c. Place your index fingers through the finger holes from the inside of the latching mechanism.
- d. Press your thumbs down on the orange tabs on top of the latching mechanism and gently push the controller module over the stop.
- e. Release your thumbs from the top of the latching mechanisms and continue pushing until the latching

mechanisms snap into place.

The controller module should be fully inserted and flush with the edges of the chassis.

- f. Plug the power cords into the power supplies, reinstall the power cable locking collar, and then connect the power supplies to the power source.

The controller module begins to boot as soon as power is restored. Be prepared to interrupt the boot process.

### What's next?

After you've replaced the impaired FAS500f controller, you need to [restore and verify the system configuration](#).

## Restore and verify the system configuration - FAS500f

After completing the hardware replacement and booting to your FAS500f system to Maintenance mode, you need to verify the low-level system configuration of the replacement controller and reconfigure system settings as necessary.

After completing the hardware replacement and booting to Maintenance mode, you verify the low-level system configuration of the replacement controller and reconfigure system settings as necessary.

### Step 1: Set and verify system time after replacing the controller

You should check the time and date on the replacement controller module against the healthy controller module in an HA pair, or against a reliable time server in a stand-alone configuration. If the time and date do not match, you must reset them on the replacement controller module to prevent possible outages on clients due to time differences.

#### About this task

It is important that you apply the commands in the steps on the correct systems:

- The *replacement* node is the new node that replaced the impaired node as part of this procedure.
- The *healthy* node is the HA partner of the *replacement* node.

#### Steps

1. If the *replacement* node is not at the LOADER prompt, halt the system to the LOADER prompt.
2. On the *healthy* node, check the system time: `cluster date show`

The date and time are based on the configured timezone.

3. At the LOADER prompt, check the date and time on the *replacement* node: `show date`

The date and time are given in GMT.

4. If necessary, set the date in GMT on the replacement node: `set date mm/dd/yyyy`
5. If necessary, set the time in GMT on the replacement node: `set time hh:mm:ss`
6. At the LOADER prompt, confirm the date and time on the *replacement* node: `show date`

The date and time are given in GMT.

## Step 2: Verify and set the HA state of the controller

You must verify the HA state of the controller module and, if necessary, update the state to match your system configuration.

1. In Maintenance mode from the new controller module, verify that all components display the same HA state: `ha-config show`

The HA state should be the same for all components.

2. If the displayed system state of the controller module does not match your system configuration, set the HA state for the controller module: `ha-config modify controller ha-state`

The value for HA-state can be one of the following:

- ha
- mcc
- mccip
- non-ha

3. If the displayed system state of the controller module does not match your system configuration, set the HA state for the controller module: `ha-config modify controller ha-state`
4. Confirm that the setting has changed: `ha-config show`

### What's next?

After you've restored and verified the system configuration, you need to [recable the system and reassign disks](#).

## Recable the system and reassign disks - FAS500f

After completing restore and verify the system configuration FAS500f system you need to recable the system and reassign disks.

Continue the replacement procedure by recabling the storage and confirming disk reassignment.

### Step 1: Recable the system

Verify the controller module's storage and network connections by using [Active IQ Config Advisor](#).

#### Steps

1. Download and install Config Advisor.
2. Enter the information for the target system, and then click Collect Data.
3. Click the Cabling tab, and then examine the output. Make sure that all disk shelves are displayed and all disks appear in the output, correcting any cabling issues you find.
4. Check other cabling by clicking the appropriate tab, and then examining the output from Config Advisor.

### Step 2: Reassign disks

If the storage system is in an HA pair, the system ID of the new controller module is automatically assigned to the disks when the giveback occurs at the end of the procedure. You must confirm the system ID change when you boot the *replacement* controller and then verify that the change was implemented.

This procedure applies only to systems running ONTAP in an HA pair.

1. If the *replacement* controller is in Maintenance mode (showing the \*> prompt, exit Maintenance mode and go to the LOADER prompt: `halt`)
2. From the LOADER prompt on the *replacement* controller, boot the controller, entering `y` if you are prompted to override the system ID due to a system ID mismatch:
3. Wait until the `Waiting for giveback...` message is displayed on the *replacement* controller console and then, from the healthy controller, verify that the new partner system ID has been automatically assigned: `storage failover show`

In the command output, you should see a message that the system ID has changed on the impaired controller, showing the correct old and new IDs. In the following example, node2 has undergone replacement and has a new system ID of 151759706.

```
node1> `storage failover show`  
                                         Takeover  
Node          Partner      Possible      State Description  
-----  -----  -----  
-----  
node1          node2      false      System ID changed on  
partner (Old:  
          151759706), In takeover  
node2          node1      -      Waiting for giveback  
(HA mailboxes)
```

4. From the healthy controller, verify that any coredumps are saved:
  - a. Change to the advanced privilege level: `set -privilege advanced`  
You can respond `y` when prompted to continue into advanced mode. The advanced mode prompt appears (\*>).
  - b. Save any coredumps: `system node run -node local-node-name partner savecore`
  - c. Wait for the `'savecore'` command to complete before issuing the giveback.  
You can enter the following command to monitor the progress of the `savecore` command: `system node run -node local-node-name partner savecore -s`
  - d. Return to the admin privilege level: `set -privilege admin`
5. If your storage system has Storage or Volume Encryption configured, you must restore Storage or Volume Encryption functionality by using one of the following procedures, depending on whether you are using onboard or external key management:
  - [Restore onboard key management encryption keys](#)
  - [Restore external key management encryption keys](#)
6. Give back the controller:
  - a. From the healthy controller, give back the replaced controller's storage: `storage failover`

```
giveback -ofnode replacement_node_name
```

The *replacement* controller takes back its storage and completes booting.

If you are prompted to override the system ID due to a system ID mismatch, you should enter *y*.



If the giveback is vetoed, you can consider overriding the vetoes.

#### [Find the High-Availability Configuration content for your version of ONTAP 9](#)

- b. After the giveback has been completed, confirm that the HA pair is healthy and that takeover is possible: `storage failover show`

The output from the `storage failover show` command should not include the System ID changed on partner message.

7. Verify that the disks were assigned correctly: `storage disk show -ownership`

The disks belonging to the *replacement* controller should show the new system ID. In the following example, the disks owned by node1 now show the new system ID, 1873775277:

```
node1> `storage disk show -ownership`  
  
Disk  Aggregate Home  Owner  DR Home  Home ID      Owner ID  DR Home ID  
Reserver  Pool  
-----  -----  -----  -----  -----  -----  -----  
-----  ---  
1.0.0  aggr0_1  node1  node1  -      1873775277 1873775277  -  
1873775277  Pool0  
1.0.1  aggr0_1  node1  node1      1873775277 1873775277  -  
1873775277  Pool0  
.  
.  
.
```

8. If the system is in a MetroCluster configuration, monitor the status of the controller: `metrocluster node show`

The MetroCluster configuration takes a few minutes after the replacement to return to a normal state, at which time each controller will show a configured state, with DR Mirroring enabled and a mode of normal. The `metrocluster node show -fields node-systemid` command output displays the old system ID until the MetroCluster configuration returns to a normal state.

9. If the controller is in a MetroCluster configuration, depending on the MetroCluster state, verify that the DR home ID field shows the original owner of the disk if the original owner is a controller on the disaster site.

This is required if both of the following are true:

- The MetroCluster configuration is in a switchover state.

- The *replacement* controller is the current owner of the disks on the disaster site.

[Disk ownership changes during HA takeover and MetroCluster switchover in a four-node MetroCluster configuration](#)

10. If your system is in a MetroCluster configuration, verify that each controller is configured: `metrocluster node show -fields configuration-state`

```
node1_siteA::> metrocluster node show -fields configuration-state

dr-group-id          cluster node          configuration-state
-----              -----
-----              -----
1 node1_siteA        node1mcc-001        configured
1 node1_siteA        node1mcc-002        configured
1 node1_siteB        node1mcc-003        configured
1 node1_siteB        node1mcc-004        configured

4 entries were displayed.
```

11. Verify that the expected volumes are present for each controller: `vol show -node node-name`

12. If you disabled automatic takeover on reboot, enable it from the healthy controller: `storage failover modify -node replacement-node-name -onreboot true`

#### What's next?

After you've recabled the system and reassigned disks, you need to [complete the controller replacement](#).

## Complete controller replacement - FAS500f

To complete the controller replacement for your FAS500f system to full operation, you must restore the NetApp Storage Encryption configuration (if necessary), and install licenses for the new controller, and return the failed part to NetApp, as described in the RMA instructions shipped with the kit.

### Step 1: Install licenses for the replacement controller in ONTAP

You must install new licenses for the *replacement* node if the impaired node was using ONTAP features that require a standard (node-locked) license. For features with standard licenses, each node in the cluster should have its own key for the feature.

#### About this task

Until you install license keys, features requiring standard licenses continue to be available to the *replacement* node. However, if the impaired node was the only node in the cluster with a license for the feature, no configuration changes to the feature are allowed.

Also, using unlicensed features on the node might put you out of compliance with your license agreement, so you should install the replacement license key or keys on the *replacement* node as soon as possible.

#### Before you begin

The license keys must be in the 28-character format.

You have a 90-day grace period in which to install the license keys. After the grace period, all old licenses are invalidated. After a valid license key is installed, you have 24 hours to install all of the keys before the grace period ends.



If your system was initially running ONTAP 9.10.1 or later, use the procedure documented in [Post Motherboard Replacement Process to update Licensing on a AFF/FAS system](#). If you are unsure of the initial ONTAP release for your system, see [NetApp Hardware Universe](#) for more information.

## Steps

1. If you need new license keys, obtain replacement license keys on the [NetApp Support Site](#) in the My Support section under Software licenses.



The new license keys that you require are automatically generated and sent to the email address on file. If you fail to receive the email with the license keys within 30 days, you should contact technical support.

2. Install each license key: `system license add -license-code license-key, license-key...`
3. Remove the old licenses, if desired:
  - a. Check for unused licenses: `license clean-up -unused -simulate`
  - b. If the list looks correct, remove the unused licenses: `license clean-up -unused`

## Step 2: Verify LIFs and registering the serial number

Before returning the *replacement* node to service, you should verify that the LIFs are on their home ports, and register the serial number of the *replacement* node if AutoSupport is enabled, and reset automatic giveback.

## Steps

1. Verify that the logical interfaces are reporting to their home server and ports: `network interface show -is-home false`  
If any LIFs are listed as false, revert them to their home ports: `network interface revert -vserver * -lif *`
2. Register the system serial number with NetApp Support.
  - If AutoSupport is enabled, send an AutoSupport message to register the serial number.
  - If AutoSupport is not enabled, call [NetApp Support](#) to register the serial number.
3. Check the health of your cluster. See the [How to perform a cluster health check with a script in ONTAP KB](#) article for more information.
4. If an AutoSupport maintenance window was triggered, end it by using the `system node autosupport invoke -node * -type all -message MAINT=END` command.
5. If automatic giveback was disabled, reenable it: `storage failover modify -node local -auto -giveback true`

### Step 3: Return the failed part to NetApp

Return the failed part to NetApp, as described in the RMA instructions shipped with the kit. See the [Part Return and Replacements](#) page for further information.

## Replace a DIMM - FAS500f

You must replace a DIMM in the controller when your storage system encounters errors such as, excessive CECC (Correctable Error Correction Codes) errors that are based on Health Monitor alerts or uncorrectable ECC errors, typically caused by a single DIMM failure preventing the storage system from booting ONTAP.

All other components in the system must be functioning properly; if not, you must contact technical support.

You must replace the failed component with a replacement FRU component you received from your provider.

### Step 1: Shut down the impaired controller

To shut down the impaired controller, you must determine the status of the controller and, if necessary, take over the controller so that the healthy controller continues to serve data from the impaired controller storage.

#### About this task

- If you have a SAN system, you must have checked event messages (cluster kernel-service show) for the impaired controller SCSI blade. The `cluster kernel-service show` command (from priv advanced mode) displays the node name, `quorum status` of that node, availability status of that node, and operational status of that node.

Each SCSI-blade process should be in quorum with the other nodes in the cluster. Any issues must be resolved before you proceed with the replacement.

- If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see [Synchronize a node with the cluster](#).

#### Steps

1. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message MAINT=<# of hours>h
```

The following AutoSupport message suppresses automatic case creation for two hours:

```
cluster1:> system node autosupport invoke -node * -type all -message MAINT=2h
```

2. Disable automatic giveback:

- a. Enter the following command from the console of the healthy controller:

```
storage failover modify -node impaired_node_name -auto-giveback false
```

- b. Enter `y` when you see the prompt *Do you want to disable auto-giveback?*

3. Take the impaired controller to the LOADER prompt:

If the impaired controller is displaying...	Then...
The LOADER prompt	Go to the next step.
Waiting for giveback...	Press Ctrl-C, and then respond <i>y</i> when prompted.
System prompt or password prompt	<p>Take over or halt the impaired controller from the healthy controller:</p> <pre>storage failover takeover -ofnode impaired_node_name -halt true</pre> <p>The <i>-halt true</i> parameter brings you to the LOADER prompt.</p>

## Step 2: Remove the controller module

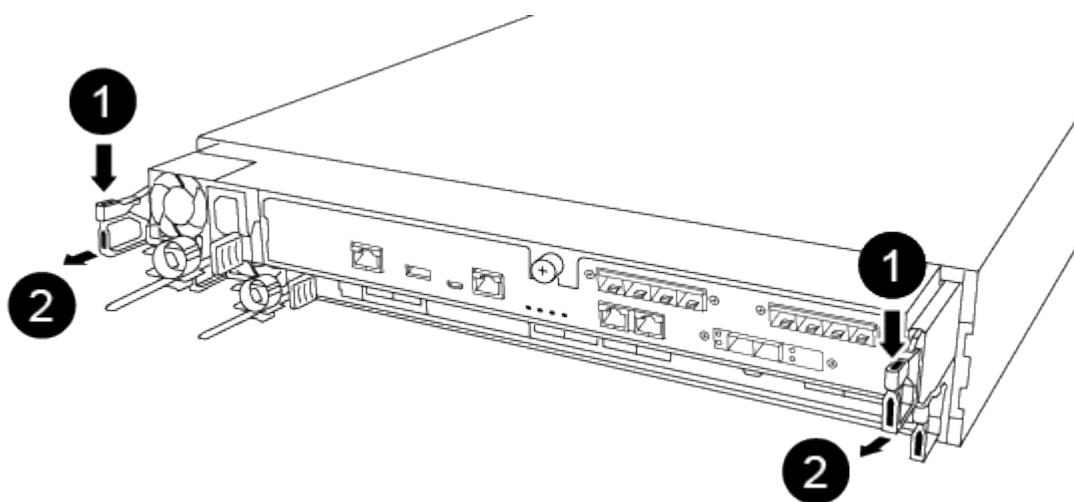
You must remove the controller module from the chassis when you replace a component inside the controller module.

Make sure that you label the cables so that you know where they came from.

1. If you are not already grounded, properly ground yourself.
2. Unplug the controller module power supplies from the source.
3. Release the power cable retainers, and then unplug the cables from the power supplies.
4. Insert your forefinger into the latching mechanism on either side of the controller module, press the lever with your thumb, and gently pull the controller a few inches out of the chassis.



If you have difficulty removing the controller module, place your index fingers through the finger holes from the inside (by crossing your arms).

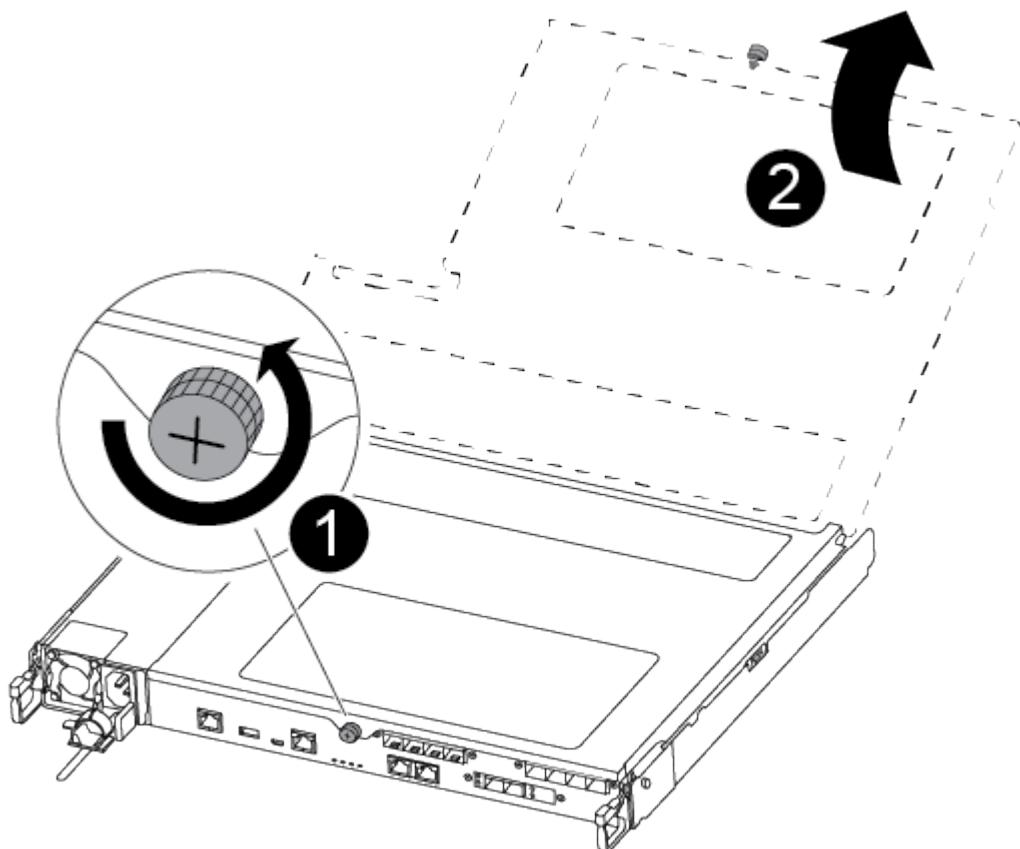


1	Lever
---	-------

2

Latching mechanism

5. Using both hands, grasp the controller module sides and gently pull it out of the chassis and set it on a flat, stable surface.
6. Turn the thumbscrew on the front of the controller module anti-clockwise and open the controller module cover.



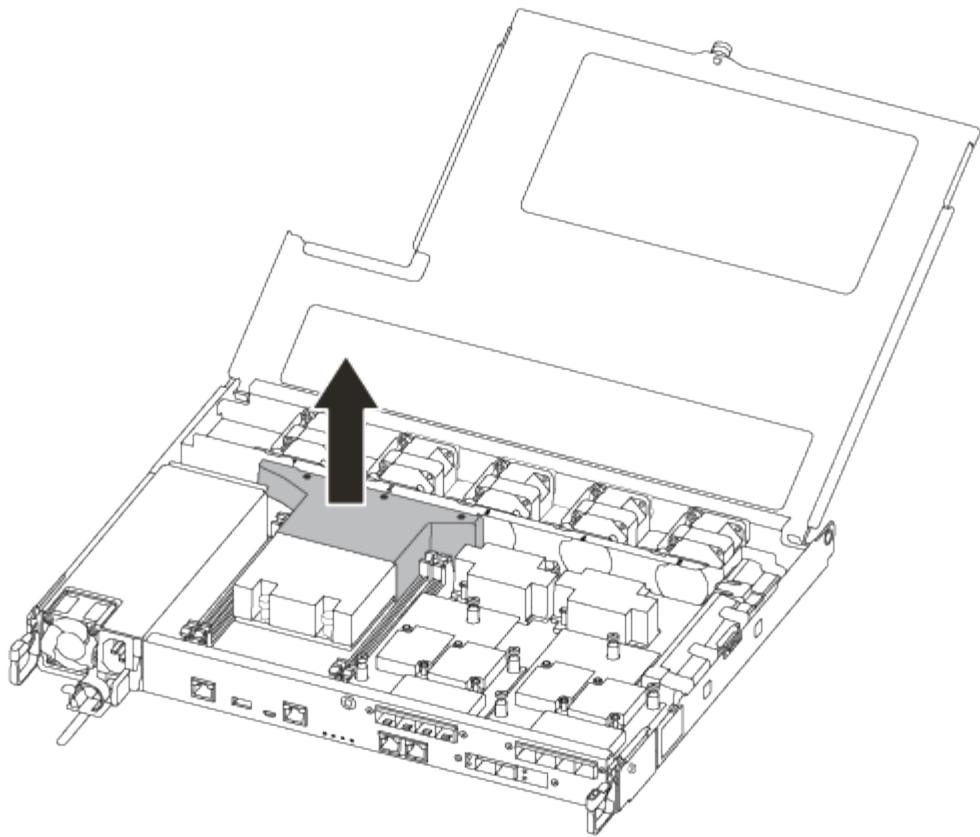
1

Thumbscrew

2

Controller module cover.

7. Lift out the air duct cover.



### Step 3: Replace a DIMM

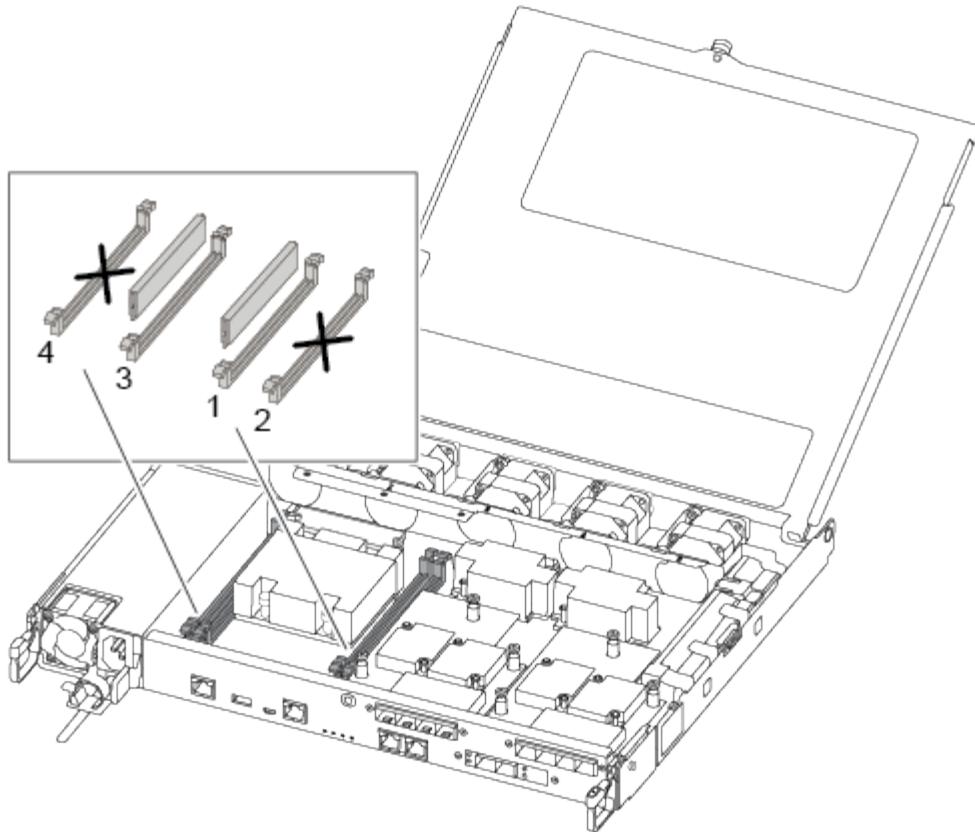
To replace a DIMM, you must locate it in the controller module using the DIMM map label on top of the air duct and then replace it following the specific sequence of steps.

You can use the following video or the tabulated steps to replace a DIMM:

[Animation - Replace a DIMM](#)

1. Replace the impaired DIMM on your controller module.

The DIMMs are in slot 3 or 1 on the motherboard. Slot 2 and 4 are left empty. Do not attempt to install DIMMs into these slots.



2. Note the orientation of the DIMM in the socket so that you can insert the replacement DIMM in the proper orientation.
3. Slowly push apart the DIMM ejector tabs on either side of the DIMM, and slide the DIMM out of the slot.
4. Leave DIMM ejector tabs on the connector in the open position.
5. Remove the replacement DIMM from the antistatic shipping bag, hold the DIMM by the corners, and align it to the slot.



Hold the DIMM by the edges to avoid pressure on the components on the DIMM circuit board.

6. Insert the replacement DIMM squarely into the slot.

The DIMMs fit tightly in the socket. If not, reinsert the DIMM to realign it with the socket.

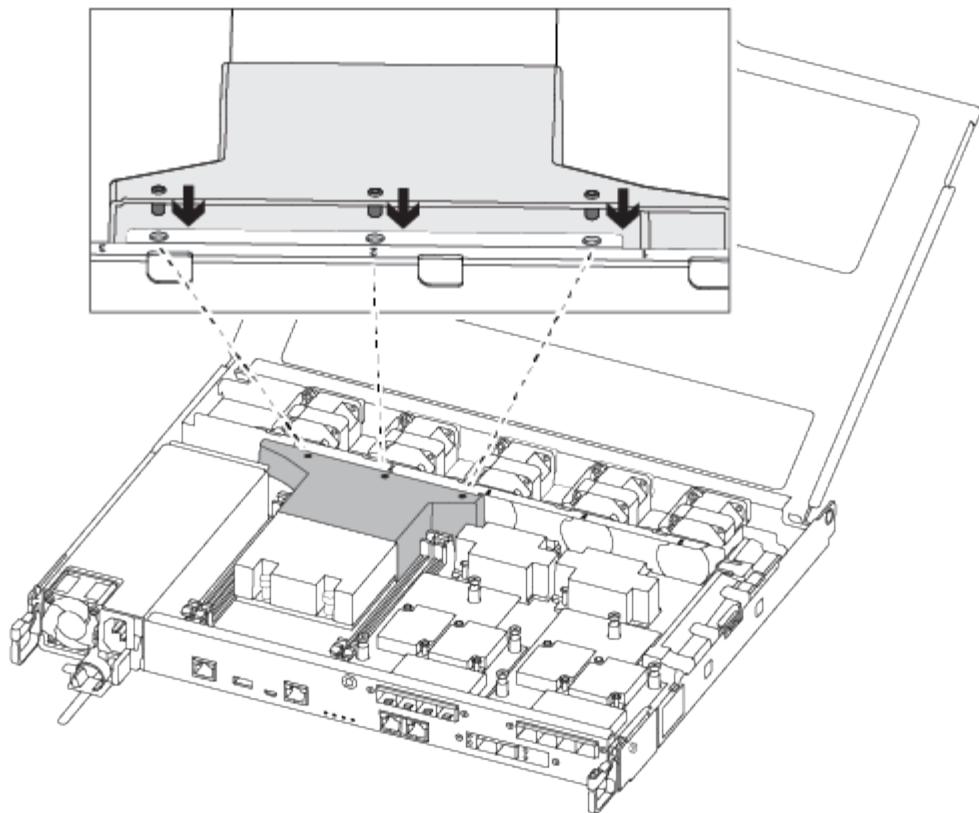
7. Visually inspect the DIMM to verify that it is evenly aligned and fully inserted into the socket.

## Step 4: Install the controller module

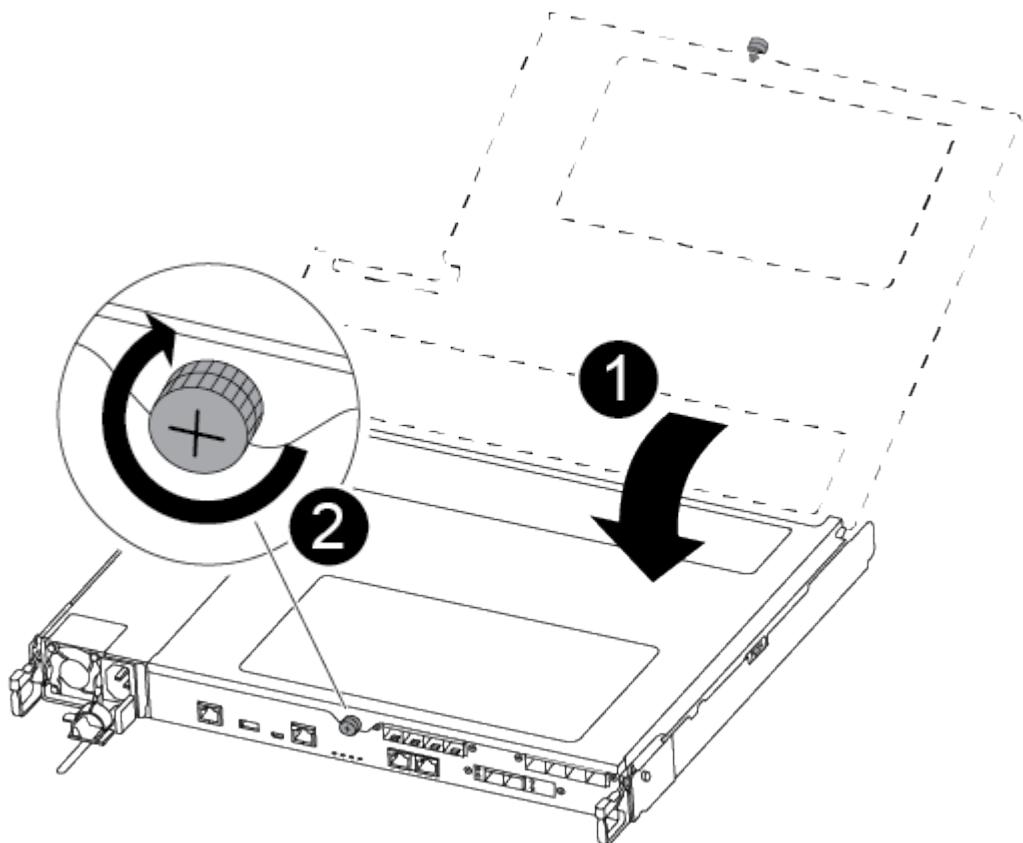
After you have replaced the component in the controller module, you must reinstall the controller module into the chassis.

You can use the following illustration or the written steps to install the replacement controller module in the chassis.

1. If you have not already done so, install the air duct.



2. Close the controller module cover and tighten the thumbscrew.



1	Controller module cover
2	Thumbscrew

3. Insert the controller module into the chassis:

- Ensure the latching mechanism arms are locked in the fully extended position.
- Using both hands, align and gently slide the controller module into the latching mechanism arms until it stops.
- Place your index fingers through the finger holes from the inside of the latching mechanism.
- Press your thumbs down on the orange tabs on top of the latching mechanism and gently push the controller module over the stop.
- Release your thumbs from the top of the latching mechanisms and continue pushing until the latching mechanisms snap into place.

The controller module begins to boot as soon as it is fully seated in the chassis.

The controller module should be fully inserted and flush with the edges of the chassis.

4. Cable the management and console ports only, so that you can access the system to perform the tasks in the following sections.



You will connect the rest of the cables to the controller module later in this procedure.

## Step 5: Return the failed part to NetApp

Return the failed part to NetApp, as described in the RMA instructions shipped with the kit. See the [Part Return and Replacements](#) page for further information.

## Replace SSD Drive or HDD Drive - AFF C190

You can replace a failed drive nondisruptively while I/O is in progress. The procedure for replacing an SSD is meant for non-spinning drives and the procedure for replacing an HDD is meant for spinning drives.

When a drive fails, the platform logs a warning message to the system console indicating which drive has failed. In addition, both the fault LED on the operator display panel and the fault LED on the failed drive are illuminated.

### Before you begin

- Follow best practice and install the current version of the Disk Qualification Package (DQP) before replacing a drive.
- Identify the failed drive by running the `storage disk show -broken` command from the system console.

The failed drive appears in the list of failed drives. If it does not, you should wait, and then run the command again.



Depending on the type and capacity, it can take up to several hours for the drive to appear in the list of failed drives.

- Determine whether SED authentication is enabled.

How you replace the drive depends on how the drive is being used. If SED authentication is enabled, you must use the SED replacement instructions in the [ONTAP 9 NetApp Encryption Power Guide](#). These Instructions describe additional steps you must perform before and after replacing an SED.

- Make sure the replacement drive is supported by your platform. See the [NetApp Hardware Universe](#).
- Make sure all other components in the system are functioning properly; if not, you must contact technical support.

#### About this task

- Drive firmware is automatically updated (nondisruptively) on new drives that have non current firmware versions.
- When replacing a drive, you must wait one minute between the removal of the failed drive and the insertion of the replacement drive to allow the storage system to recognize the existence of the new drive.

## Option 1: Replace SSD

### Steps

1. If you want to manually assign drive ownership for the replacement drive, you need to disable automatic drive assignment, if it is enabled.

- a. Verify whether automatic drive assignment is enabled: `storage disk option show`

You can enter the command on either controller module.

If automatic drive assignment is enabled, the output shows `on` in the “Auto Assign” column (for each controller module).

- b. If automatic drive assignment is enabled, disable it: `storage disk option modify -node_name -autoassign off`

You must disable automatic drive assignment on both controller modules.

2. Properly ground yourself.

3. Physically identify the failed drive.

When a drive fails, the system logs a warning message to the system console indicating which drive failed. Additionally, the attention (amber) LED on the drive shelf operator display panel and the failed drive illuminate.



The activity (green) LED on a failed drive can be illuminated (solid), which indicates that the drive has power, but should not be blinking, which indicates I/O activity. A failed drive has no I/O activity.

4. Remove the failed drive:

- a. Press the release button on the drive face to open the cam handle.
  - b. Slide the drive out of the shelf using the cam handle and supporting the drive with your other hand.

5. Wait a minimum of 70 seconds before inserting the replacement drive.

This allows the system to recognize that a drive was removed.

6. Insert the replacement drive:

- a. With the cam handle in the open position, use both hands to insert the replacement drive.
  - b. Push until the drive stops.
  - c. Close the cam handle so that the drive is fully seated into the midplane and the handle clicks into place.

Be sure to close the cam handle slowly so that it aligns correctly with the face of the drive.

7. Verify that the drive's activity (green) LED is illuminated.

When the drive's activity LED is solid, it means that the drive has power. When the drive's activity LED is blinking, it means that the drive has power and I/O is in progress. If the drive firmware is automatically updating, the LED blinks.

8. If you are replacing another drive, repeat the preceding steps.
9. If you disabled automatic drive assignment in Step 1, then, manually assign drive ownership and then reenable automatic drive assignment if needed.
  - a. Display all unowned drives:

```
storage disk show -container-type unassigned
```

You can enter the command on either controller module.

- b. Assign each drive:

```
storage disk assign -disk disk_name -owner node_name
```

You can enter the command on either controller module.

You can use the wildcard character to assign more than one drive at once.

- c. Reenable automatic drive assignment if needed:

```
storage disk option modify -node node_name -autoassign on
```

You must reenable automatic drive assignment on both controller modules.

10. Return the failed part to NetApp, as described in the RMA instructions shipped with the kit.

Contact [NetApp Support](#) if you need the RMA number or additional help with the replacement procedure.

## Option 2: Replace HDD

1. If you want to manually assign drive ownership for the replacement drive, you need to disable automatic drive assignment replacement drive, if it is enabled



You manually assign drive ownership and then reenable automatic drive assignment later in this procedure.

- a. Verify whether automatic drive assignment is enabled: `storage disk option show`

You can enter the command on either controller module.

If automatic drive assignment is enabled, the output shows `on` in the “Auto Assign” column (for each controller module).

- b. If automatic drive assignment is enabled, disable it: `storage disk option modify -node node_name -autoassign off`

You must disable automatic drive assignment on both controller modules.

2. Properly ground yourself.
3. Gently remove the bezel from the front of the platform.
4. Identify the failed disk drive from the system console warning message and the illuminated fault LED on the disk drive

5. Press the release button on the disk drive face.

Depending on the storage system, the disk drives have the release button located at the top or on the left of the disk drive face.

For example, the following illustration shows a disk drive with the release button located on the top of the disk drive face:

The cam handle on the disk drive springs open partially and the disk drive releases from the midplane.

6. Pull the cam handle to its fully open position to unseat the disk drive from the midplane.

7. Slide out the disk drive slightly and allow the disk to safely spin down, which can take less than one minute, and then, using both hands, remove the disk drive from the disk shelf.

8. With the cam handle in the open position, insert the replacement disk drive into the drive bay, firmly pushing until the disk drive stops.



Wait a minimum of 10 seconds before inserting a new disk drive. This allows the system to recognize that a disk drive was removed.



If your platform drive bays are not fully loaded with drives, it is important to place the replacement drive into the same drive bay from which you removed the failed drive.



Use two hands when inserting the disk drive, but do not place hands on the disk drive boards that are exposed on the underside of the disk carrier.

9. Close the cam handle so that the disk drive is fully seated into the midplane and the handle clicks into place.

Be sure to close the cam handle slowly so that it aligns correctly with the face of the disk drive..

10. If you are replacing another disk drive, repeat Steps 4 through 9.

11. Reinstall the bezel.

12. If you disabled automatic drive assignment in Step 1, then, manually assign drive ownership and then reenable automatic drive assignment if needed.

a. Display all unowned drives: `storage disk show -container-type unassigned`

You can enter the command on either controller module.

b. Assign each drive: `storage disk assign -disk disk_name -owner owner_name`

You can enter the command on either controller module.

You can use the wildcard character to assign more than one drive at once.

c. Reenable automatic drive assignment if needed: `storage disk option modify -node node_name -autoassign on`

You must reenable automatic drive assignment on both controller modules.

13. Return the failed part to NetApp, as described in the RMA instructions shipped with the kit.

Contact technical support at [NetApp Support](#), 888-463-8277 (North America), 00-800-44-638277 (Europe), or +800-800-80-800 (Asia/Pacific) if you need the RMA number or additional help with the replacement procedure.

## Replace a fan — FAS500f

You replace a fan with a new fan module when it fails.

### Step 1: Shut down the impaired controller

To shut down the impaired controller, you must determine the status of the controller and, if necessary, take over the controller so that the healthy controller continues to serve data from the impaired controller storage.

#### About this task

- If you have a SAN system, you must have checked event messages (`cluster kernel-service show`) for the impaired controller SCSI blade. The `cluster kernel-service show` command (from priv advanced mode) displays the node name, [quorum status](#) of that node, availability status of that node, and operational status of that node.

Each SCSI-blade process should be in quorum with the other nodes in the cluster. Any issues must be resolved before you proceed with the replacement.

- If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see [Synchronize a node with the cluster](#).

#### Steps

1. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message MAINT=<# of hours>h
```

The following AutoSupport message suppresses automatic case creation for two hours:

```
cluster1:> system node autosupport invoke -node * -type all -message MAINT=2h
```

2. Disable automatic giveback:

- a. Enter the following command from the console of the healthy controller:

```
storage failover modify -node impaired_node_name -auto-giveback false
```

- b. Enter *y* when you see the prompt *Do you want to disable auto-giveback?*

3. Take the impaired controller to the LOADER prompt:

If the impaired controller is displaying...	Then...
The LOADER prompt	Go to the next step.
Waiting for giveback...	Press Ctrl-C, and then respond <i>y</i> when prompted.
System prompt or password prompt	<p>Take over or halt the impaired controller from the healthy controller:</p> <pre>storage failover takeover -ofnode impaired_node_name -halt true</pre> <p>The <i>-halt true</i> parameter brings you to the LOADER prompt.</p>

## Step 2: Remove the controller module

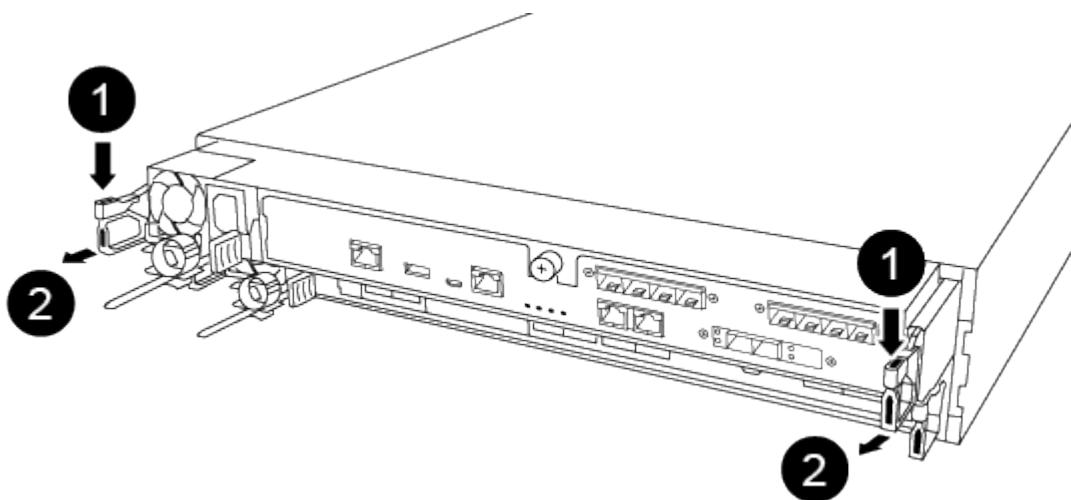
You must remove the controller module from the chassis when you replace a fan module.

Make sure that you label the cables so that you know where they came from.

1. If you are not already grounded, properly ground yourself.
2. Unplug the controller module power supplies from the source.
3. Release the power cable retainers, and then unplug the cables from the power supplies.
4. Insert your forefinger into the latching mechanism on either side of the controller module, press the lever with your thumb, and gently pull the controller a few inches out of the chassis.



If you have difficulty removing the controller module, place your index fingers through the finger holes from the inside (by crossing your arms).

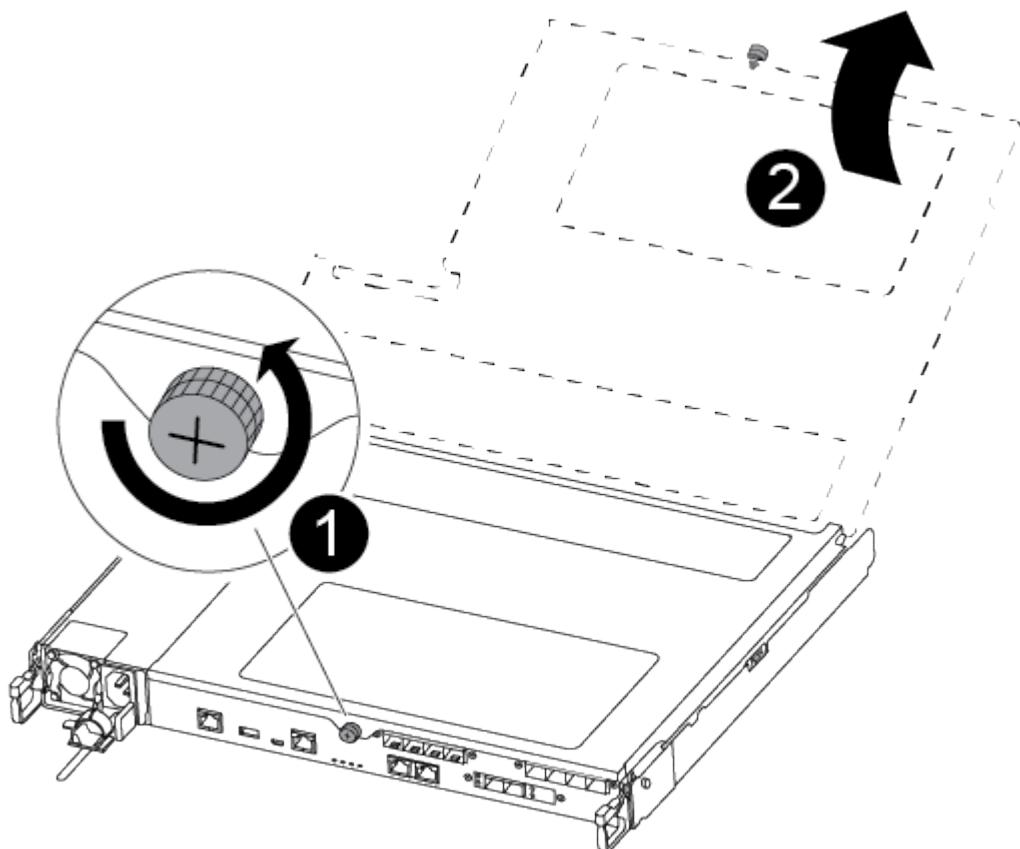


1	Lever
---	-------

2

Latching mechanism

5. Using both hands, grasp the controller module sides and gently pull it out of the chassis and set it on a flat, stable surface.
6. Turn the thumbscrew on the front of the controller module anti-clockwise and open the controller module cover.



1

Thumbscrew

2

Controller module cover

### Step 3: Replace a fan

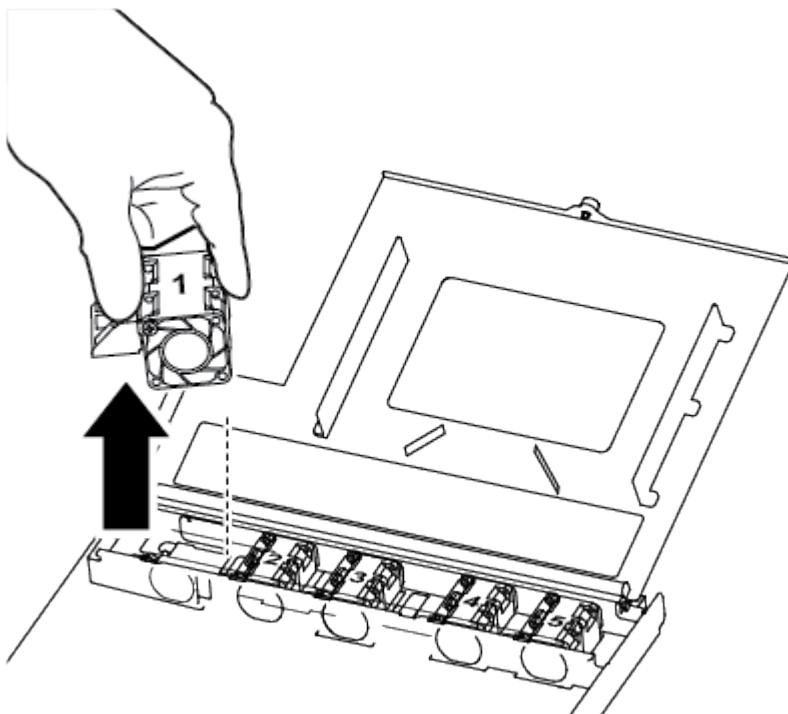
To replace a fan, remove the failed fan module and replace it with a new fan module.

You can use the following video or the tabulated steps to replace a fan:

#### [Animation - Replace a fan](#)

1. Identify the fan module that you must replace by checking the console error messages or by locating the lit LED for the fan module on the motherboard.
2. Remove the fan module by pinching the side of the fan module, and then lifting the fan module straight out

of the controller module.



1

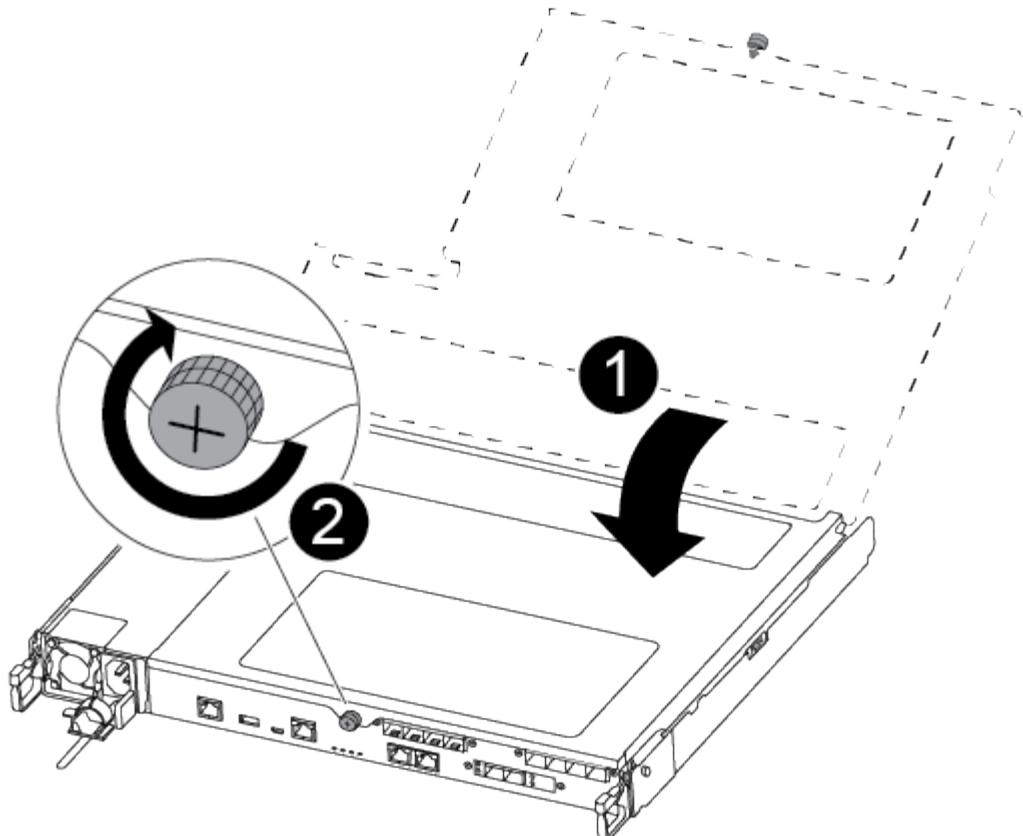
Fan module

3. Align the edges of the replacement fan module with the opening in the controller module, and then slide the replacement fan module into the controller module.

#### Step 4: Reinstall the controller module

After you replace a component within the controller module, you must reinstall the controller module in the system chassis and boot it.

1. Close the controller module cover and tighten the thumbscrew.



1	Controller module cover
2	Thumbscrew

2. Insert the controller module into the chassis:

- Ensure the latching mechanism arms are locked in the fully extended position.
- Using both hands, align and gently slide the controller module into the latching mechanism arms until it stops.
- Place your index fingers through the finger holes from the inside of the latching mechanism.
- Press your thumbs down on the orange tabs on top of the latching mechanism and gently push the controller module over the stop.
- Release your thumbs from the top of the latching mechanisms and continue pushing until the latching mechanisms snap into place.

The controller module begins to boot as soon as it is fully seated in the chassis. Be prepared to interrupt the boot process.

The controller module should be fully inserted and flush with the edges of the chassis.

- Recable the system, as needed.
- Return the controller to normal operation by giving back its storage: `storage failover giveback -ofnode impaired_node_name`

5. If automatic giveback was disabled, reenable it: `storage failover modify -node local -auto-giveback true`

## Step 5: Return the failed part to NetApp

Return the failed part to NetApp, as described in the RMA instructions shipped with the kit. See the [Part Return and Replacements](#) page for further information.

## Replace or install a mezzanine card - FAS500f

To replace a failed mezzanine card, you must remove the cables and any SFP or QSFP modules, replace the card, reinstall the SFP or QSFP modules and recable the cards. To install a new mezzanine card, you must have the appropriate cables and SFP or QSFP modules.

- You can use this procedure with all versions of ONTAP supported by your system
- All other components in the system must be functioning properly; if not, you must contact technical support.

### Step 1: Shut down the impaired controller

To shut down the impaired controller, you must determine the status of the controller and, if necessary, take over the controller so that the healthy controller continues to serve data from the impaired controller storage.

#### About this task

- If you have a SAN system, you must have checked event messages (`cluster kernel-service show`) for the impaired controller SCSI blade. The `cluster kernel-service show` command (from priv advanced mode) displays the node name, [quorum status](#) of that node, availability status of that node, and operational status of that node.

Each SCSI-blade process should be in quorum with the other nodes in the cluster. Any issues must be resolved before you proceed with the replacement.

- If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see [Synchronize a node with the cluster](#).

#### Steps

1. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message MAINT=<# of hours>h
```

The following AutoSupport message suppresses automatic case creation for two hours:

```
cluster1:> system node autosupport invoke -node * -type all -message MAINT=2h
```

2. Disable automatic giveback:

- a. Enter the following command from the console of the healthy controller:

```
storage failover modify -node impaired_node_name -auto-giveback false
```

- b. Enter *y* when you see the prompt *Do you want to disable auto-giveback?*

3. Take the impaired controller to the LOADER prompt:

If the impaired controller is displaying...	Then...
The LOADER prompt	Go to the next step.
Waiting for giveback...	Press Ctrl-C, and then respond <i>y</i> when prompted.
System prompt or password prompt	<p>Take over or halt the impaired controller from the healthy controller:</p> <pre>storage failover takeover -ofnode impaired_node_name -halt true</pre> <p>The <i>-halt true</i> parameter brings you to the LOADER prompt.</p>

## Step 2: Remove the controller module

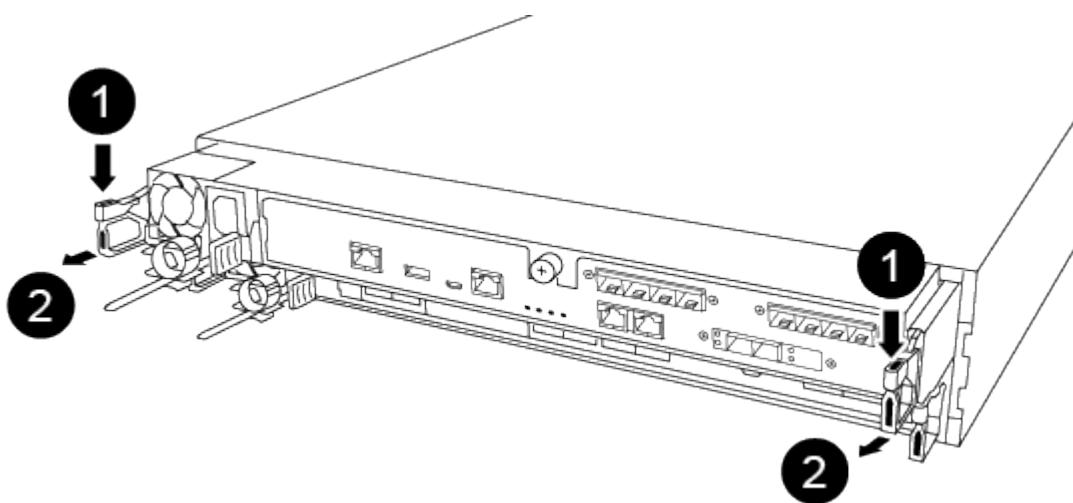
You must remove the controller module from the chassis when you replace a component inside the controller module.

Make sure that you label the cables so that you know where they came from.

1. If you are not already grounded, properly ground yourself.
2. Unplug the controller module power supplies from the source.
3. Release the power cable retainers, and then unplug the cables from the power supplies.
4. Insert your forefinger into the latching mechanism on either side of the controller module, press the lever with your thumb, and gently pull the controller a few inches out of the chassis.

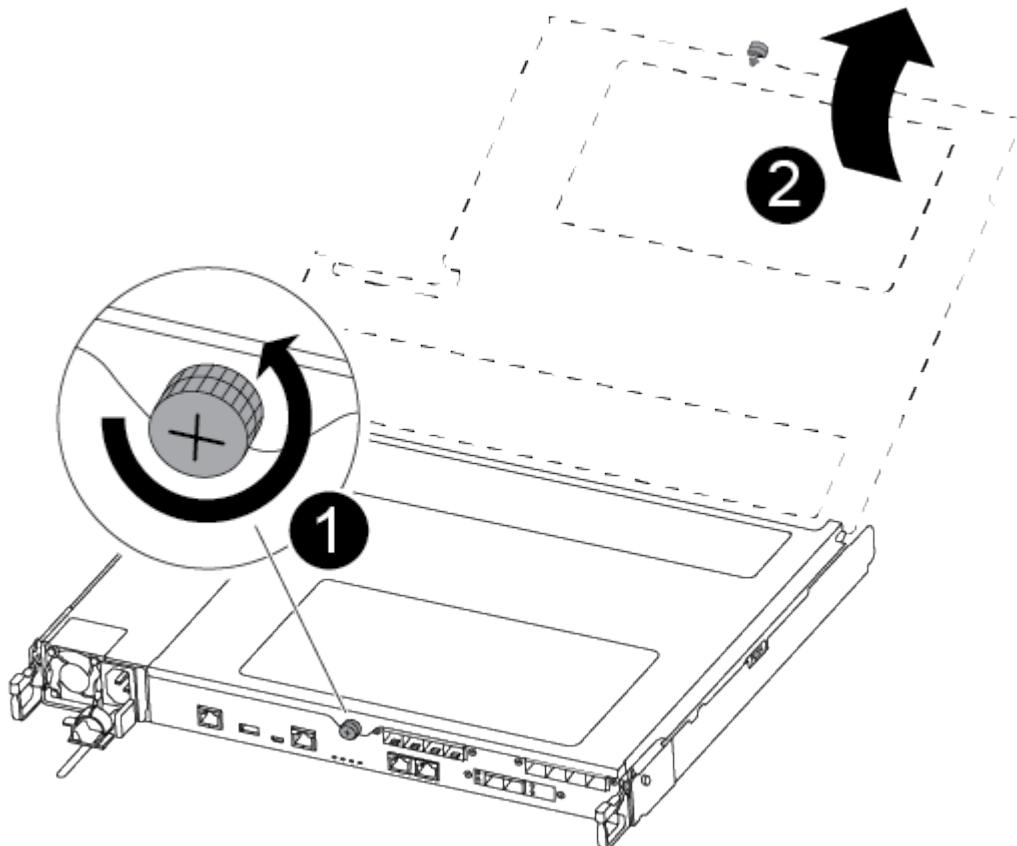


If you have difficulty removing the controller module, place your index fingers through the finger holes from the inside (by crossing your arms).



1	Lever
2	Latching mechanism

5. Using both hands, grasp the controller module sides and gently pull it out of the chassis and set it on a flat, stable surface.
6. Turn the thumbscrew on the front of the controller module anti-clockwise and open the controller module cover.



1	Thumbscrew
2	Controller module cover.

### Step 3: Replace or install a mezzanine card

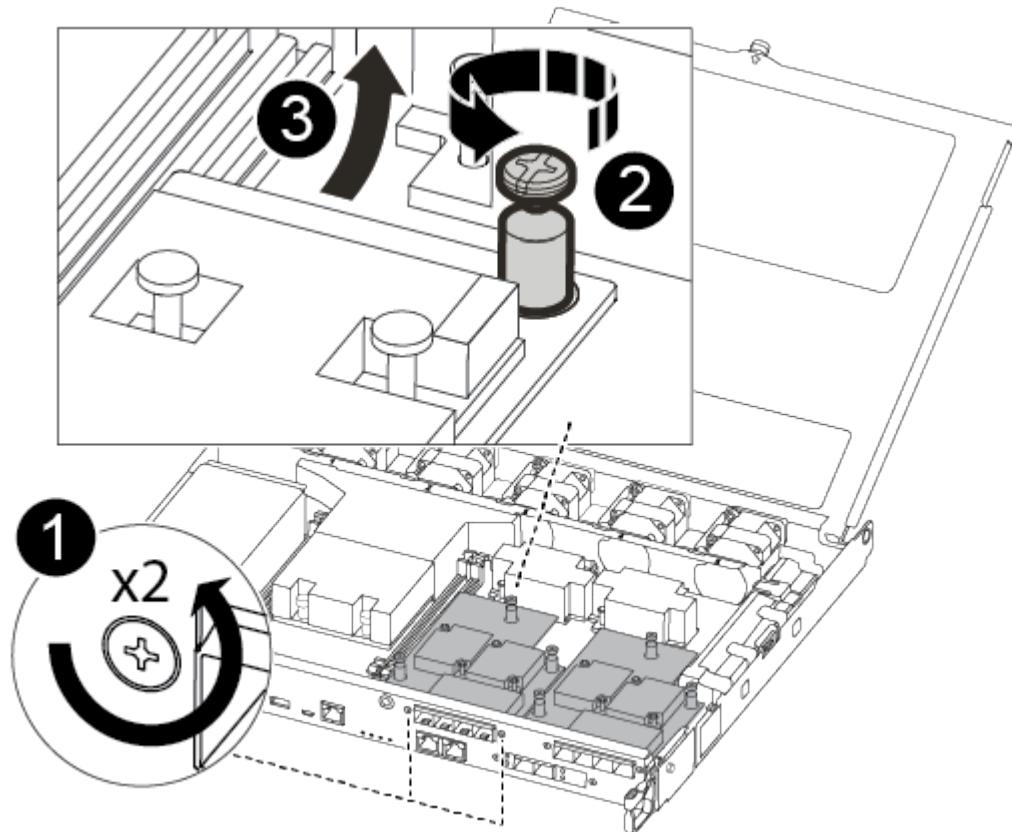
To replace a mezzanine card, you must remove the impaired card and install the replacement card; to install a mezzanine card, you must remove the faceplate and install the new card.

You can use the following video or the tabulated steps to replace a mezzanine card:

[Animation - Replace a mezzanine card](#)

#### Option 1: Replace a mezzanine card:

1. Locate and replace the impaired mezzanine card on your controller module.



1	Remove screws on the face of the controller module.
2	Loosen the screw in the controller module.
3	Remove the mezzanine card.

2. Unplug any cabling associated with the impaired mezzanine card.

Make sure that you label the cables so that you know where they came from.

3. Remove any SFP or QSFP modules that might be in the impaired mezzanine card and set it aside.
4. Using the #1 magnetic screwdriver, remove the screws from the face of the controller module and set them aside safely on the magnet.
5. Using the #1 magnetic screwdriver, loosen the screw on the impaired mezzanine card.
6. Using the #1 magnetic screwdriver, gently lift the impaired mezzanine card directly out of the socket and set it aside.
7. Remove the replacement mezzanine card from the antistatic shipping bag and align it to the inside face of the controller module.
8. Gently align the replacement mezzanine card into place.

9. Using the #1 magnetic screwdriver, insert and tighten the screws on the face of the controller module and on the mezzanine card.



Do not apply force when tightening the screw on the mezzanine card; you might crack it.

10. Insert any SFP or QSFP modules that were removed from the impaired mezzanine card to the replacement mezzanine card.

#### **Option 2: Install a mezzanine card:**

You install a new mezzanine card if your system does not have one.

1. Using the #1 magnetic screwdriver, remove the screws from the face of the controller module and the faceplate covering the mezzanine card slot, and set them aside safely on the magnet.
2. Remove the mezzanine card from the antistatic shipping bag and align it to the inside face of the controller module.
3. Gently align the mezzanine card into place.
4. Using the #1 magnetic screwdriver, insert and tighten the screws on the face of the controller module and on the mezzanine card.

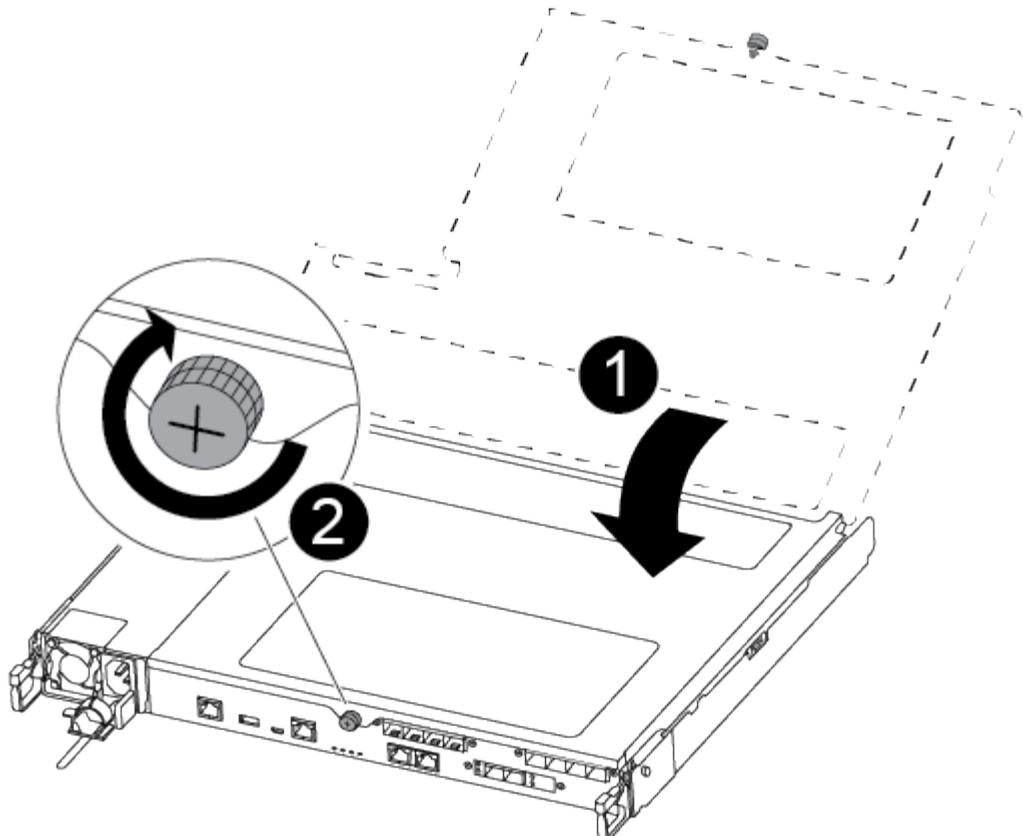


Do not apply force when tightening the screw on the mezzanine card; you might crack it.

#### **Step 4: Reinstall the controller module**

After you replace a component within the controller module, you must reinstall the controller module in the system chassis and boot it.

1. Close the controller module cover and tighten the thumbscrew.



1	Controller module cover
2	Thumbscrew

2. Insert the controller module into the chassis

- Ensure the latching mechanism arms are locked in the fully extended position.
- Using both hands, align and gently slide the controller module into the latching mechanism arms until it stops.
- Place your index fingers through the finger holes from the inside of the latching mechanism.
- Press your thumbs down on the orange tabs on top of the latching mechanism and gently push the controller module over the stop.
- Release your thumbs from the top of the latching mechanisms and continue pushing until the latching mechanisms snap into place.

The controller module begins to boot as soon as it is fully seated in the chassis. Be prepared to interrupt the boot process.

The controller module should be fully inserted and flush with the edges of the chassis.

- Recable the system, as needed.
- Return the controller to normal operation by giving back its storage: `storage failover giveback -ofnode impaired_node_name`

5. If automatic giveback was disabled, reenable it: `storage failover modify -node local -auto-giveback true`

## Step 5: Return the failed part to NetApp

Return the failed part to NetApp, as described in the RMA instructions shipped with the kit. See the [Part Return and Replacements](#) page for further information.

# Replace the NVMEM battery - FAS500f

To replace an NVMEM battery in the system, you must remove the controller module from the system, open it, replace the battery, and close and replace the controller module.

All other components in the system must be functioning properly; if not, you must contact technical support.

## Step 1: Shut down the impaired controller

To shut down the impaired controller, you must determine the status of the controller and, if necessary, take over the controller so that the healthy controller continues to serve data from the impaired controller storage.

### About this task

- If you have a SAN system, you must have checked event messages (`cluster kernel-service show`) for the impaired controller SCSI blade. The `cluster kernel-service show` command (from priv advanced mode) displays the node name, [quorum status](#) of that node, availability status of that node, and operational status of that node.

Each SCSI-blade process should be in quorum with the other nodes in the cluster. Any issues must be resolved before you proceed with the replacement.

- If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see [Synchronize a node with the cluster](#).

### Steps

1. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message MAINT=<# of hours>h
```

The following AutoSupport message suppresses automatic case creation for two hours:

```
cluster1:> system node autosupport invoke -node * -type all -message MAINT=2h
```

2. Disable automatic giveback:

- a. Enter the following command from the console of the healthy controller:

```
storage failover modify -node impaired_node_name -auto-giveback false
```

- b. Enter `y` when you see the prompt *Do you want to disable auto-giveback?*

3. Take the impaired controller to the LOADER prompt:

If the impaired controller is displaying...	Then...
The LOADER prompt	Go to the next step.
Waiting for giveback...	Press Ctrl-C, and then respond <i>y</i> when prompted.
System prompt or password prompt	<p>Take over or halt the impaired controller from the healthy controller:</p> <pre>storage failover takeover -ofnode impaired_node_name -halt true</pre> <p>The <i>-halt true</i> parameter brings you to the LOADER prompt.</p>

## Step 2: Remove the controller module

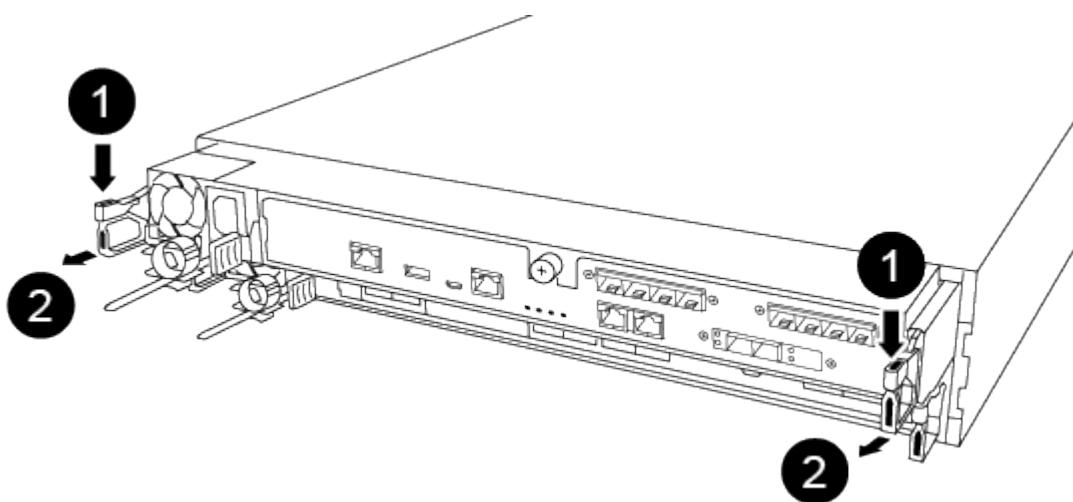
You must remove the controller module from the chassis when you replace a component inside the controller module.

Make sure that you label the cables so that you know where they came from.

1. If you are not already grounded, properly ground yourself.
2. Unplug the controller module power supplies from the source.
3. Release the power cable retainers, and then unplug the cables from the power supplies.
4. Insert your forefinger into the latching mechanism on either side of the controller module, press the lever with your thumb, and gently pull the controller a few inches out of the chassis.



If you have difficulty removing the controller module, place your index fingers through the finger holes from the inside (by crossing your arms).



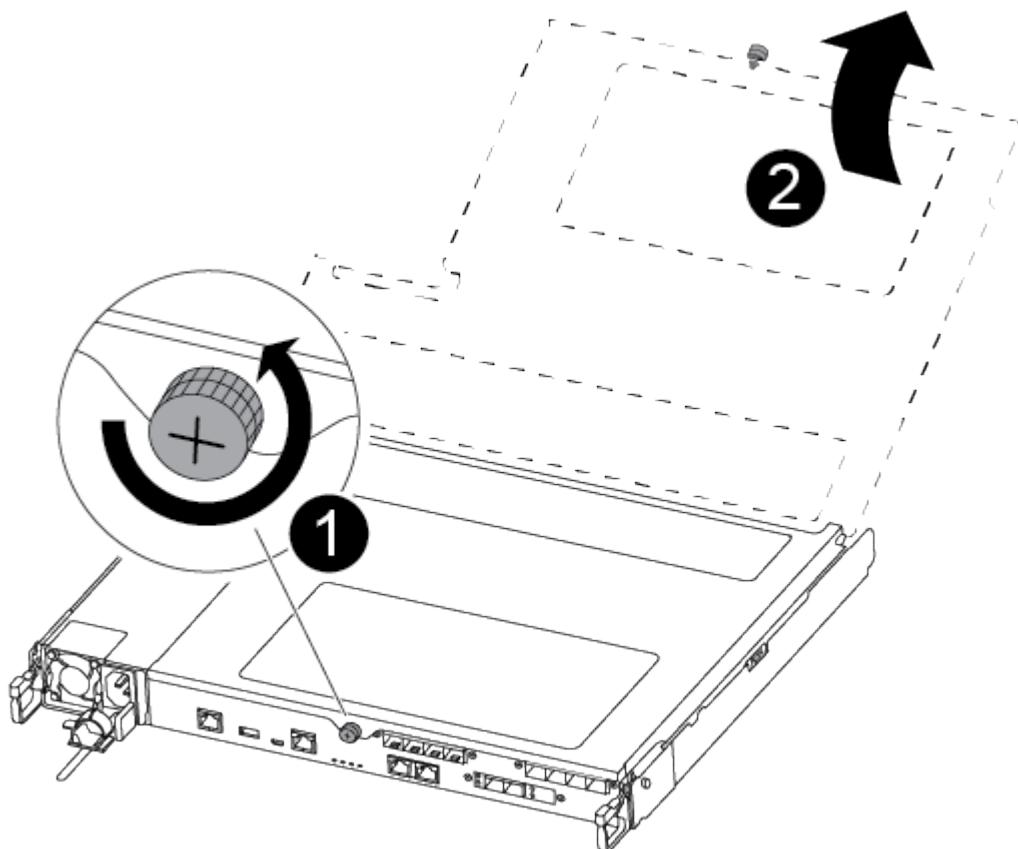
1

Lever

2

Latching mechanism

5. Using both hands, grasp the controller module sides and gently pull it out of the chassis and set it on a flat, stable surface.
6. Turn the thumbscrew on the front of the controller module anti-clockwise and open the controller module cover.



1

Thumbscrew

2

Controller module cover.

### Step 3: Replace the NVMEM battery

To replace the NVMEM battery, you must remove the failed battery from the controller module and install the replacement battery into the controller module.

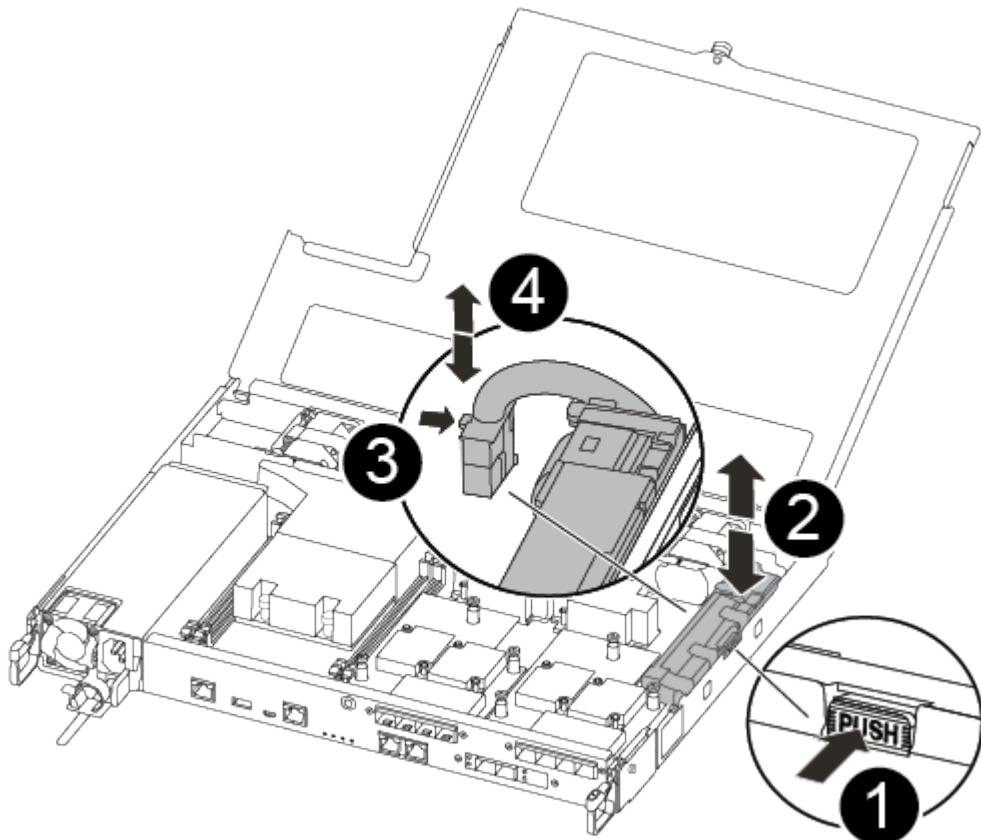
You can use the following video or the tabulated steps to replace the NVMEM battery:

[Animation - Replace the NVMEM battery](#)

1. Locate and replace the impaired NVMEM battery on your controller module.



It is recommended that you follow the illustrated instructions in the order listed.



1	Squeeze the clip on the face of the battery plug.
2	Unplug the battery cable from the socket.
3	Grasp the battery and press the blue locking tab marked PUSH.
4	Lift the battery out of the holder and controller module.

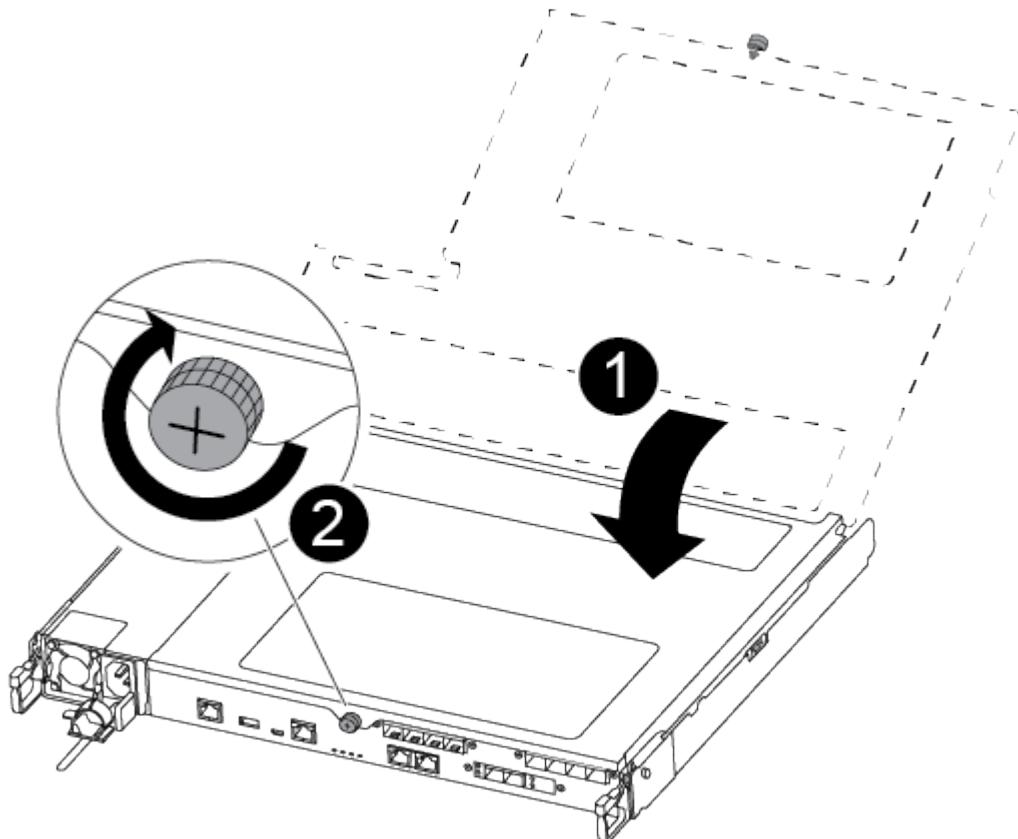
2. Locate the battery plug and squeeze the clip on the face of the battery plug to release the plug from the socket.
3. Grasp the battery and press the blue locking tab marked PUSH, and then lift the battery out of the holder and controller module and set it aside.
4. Remove the replacement NV battery from the antistatic shipping bag and align it to the battery holder.
5. Insert the replacement NV battery plug into the socket.
6. Slide the battery pack down along the sheet metal side wall until the support tabs on the side wall hook into the slots on the battery pack, and the battery pack latch engages and clicks into the opening on the side wall.
7. Press firmly down on the battery pack to make sure that it is locked into place.

## Step 4: Install the controller module

After you have replaced the component in the controller module, you must reinstall the controller module into the chassis, and then boot it.

You can use the following illustration or the written steps to install the replacement controller module in the chassis.

1. Close the controller module cover and tighten the thumbscrew.



1	Controller module cover
2	Thumbscrew

2. Insert the controller module into the chassis:

- a. Ensure the latching mechanism arms are locked in the fully extended position.
- b. Using both hands, align and gently slide the controller module into the latching mechanism arms until it stops.
- c. Place your index fingers through the finger holes from the inside of the latching mechanism.
- d. Press your thumbs down on the orange tabs on top of the latching mechanism and gently push the controller module over the stop.
- e. Release your thumbs from the top of the latching mechanisms and continue pushing until the latching mechanisms snap into place.

The controller module begins to boot as soon as it is fully seated in the chassis.

The controller module should be fully inserted and flush with the edges of the chassis.

3. Cable the management and console ports only, so that you can access the system to perform the tasks in the following sections.



You will connect the rest of the cables to the controller module later in this procedure.

## Step 5: Return the failed part to NetApp

Return the failed part to NetApp, as described in the RMA instructions shipped with the kit. See the [Part Return and Replacements](#) page for further information.

## Hot-swap a power supply - FAS500f

Replacing a power supply involves disconnecting the target power supply (PSU) from the power source, unplugging the power cable, removing the old PSU and installing the replacement PSU, and then reconnecting it to the power source.

- The power supplies are redundant and hot-swappable.
- This procedure is written for replacing one power supply at a time.



It is a best practice to replace the power supply within two minutes of removing it from the chassis. The system continues to function, but ONTAP sends messages to the console about the degraded power supply until the power supply is replaced.

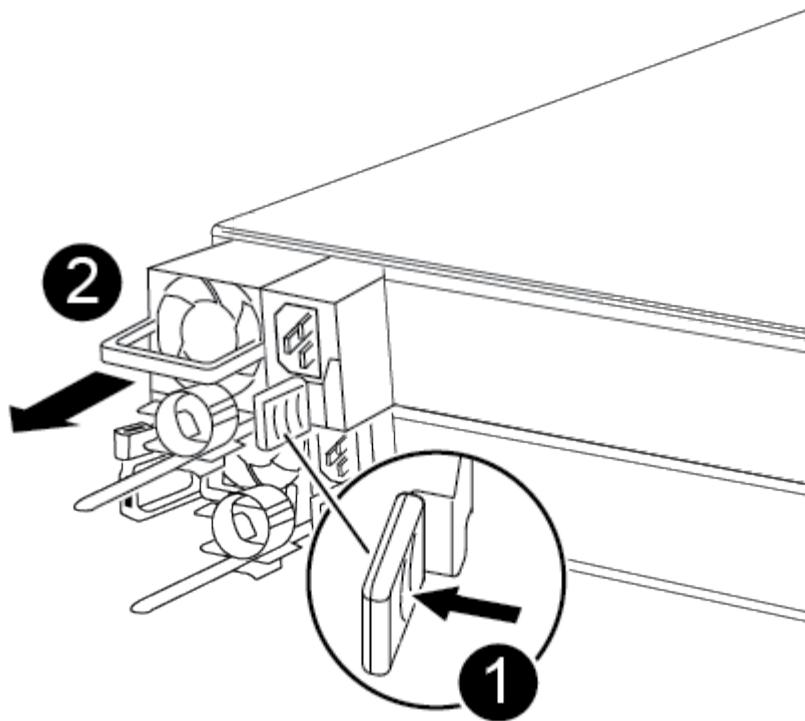
You can use the following video or the tabulated steps to replace the power supply:

### [Animation - Replace the power supply](#)

1. If you are not already grounded, properly ground yourself.
2. Identify the power supply you want to replace, based on console error messages or through the red Fault LED on the power supply.
3. Disconnect the power supply:
  - a. Open the power cable retainer, and then unplug the power cable from the power supply.
  - b. Unplug the power cable from the power source.
4. Rotate the cam handle such that it can be used to pull power supply out of the controller module while pressing the locking tab.



The power supply is short. Always use two hands to support it when removing it from the controller module so that it does not suddenly swing free from the controller module and injure you.



1	Blue power supply locking tab
2	Power supply

- Using both hands, support and align the edges of the power supply with the opening in the controller module, and then gently push the power supply into the controller module until the locking tab clicks into place.

The power supplies will only properly engage with the internal connector and lock in place one way.



To avoid damaging the internal connector, do not use excessive force when sliding the power supply into the system.

- Reconnect the power supply cabling:

- Reconnect the power cable to the power supply and the power source.
- Secure the power cable to the power supply using the power cable retainer.

Once power is restored to the power supply, the status LED should be green.

- Return the failed part to NetApp, as described in the RMA instructions shipped with the kit. See the [Part Return and Replacements](#) page for further information.

## Replace the real-time clock battery

You replace the real-time clock (RTC) battery in the controller module so that your

system's services and applications that depend on accurate time synchronization continue to function.

- You can use this procedure with all versions of ONTAP supported by your system
- All other components in the system must be functioning properly; if not, you must contact technical support.

## Step 1: Shut down the impaired controller

To shut down the impaired controller, you must determine the status of the controller and, if necessary, take over the controller so that the healthy controller continues to serve data from the impaired controller storage.

### About this task

- If you have a SAN system, you must have checked event messages (`cluster kernel-service show`) for the impaired controller SCSI blade. The `cluster kernel-service show` command (from priv advanced mode) displays the node name, `quorum status` of that node, availability status of that node, and operational status of that node.

Each SCSI-blade process should be in quorum with the other nodes in the cluster. Any issues must be resolved before you proceed with the replacement.

- If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see [Synchronize a node with the cluster](#).

### Steps

1. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message MAINT=<# of hours>h
```

The following AutoSupport message suppresses automatic case creation for two hours:

```
cluster1:> system node autosupport invoke -node * -type all -message MAINT=2h
```

2. Disable automatic giveback:

- a. Enter the following command from the console of the healthy controller:

```
storage failover modify -node impaired_node_name -auto-giveback false
```

- b. Enter *y* when you see the prompt *Do you want to disable auto-giveback?*

3. Take the impaired controller to the LOADER prompt:

If the impaired controller is displaying...	Then...
The LOADER prompt	Go to the next step.
Waiting for giveback...	Press Ctrl-C, and then respond <i>y</i> when prompted.

If the impaired controller is displaying...	Then...
System prompt or password prompt	<p>Take over or halt the impaired controller from the healthy controller:</p> <pre>storage failover takeover -ofnode impaired_node_name -halt true</pre> <p>The <i>-halt true</i> parameter brings you to the LOADER prompt.</p>

## Step 2: Remove the controller module

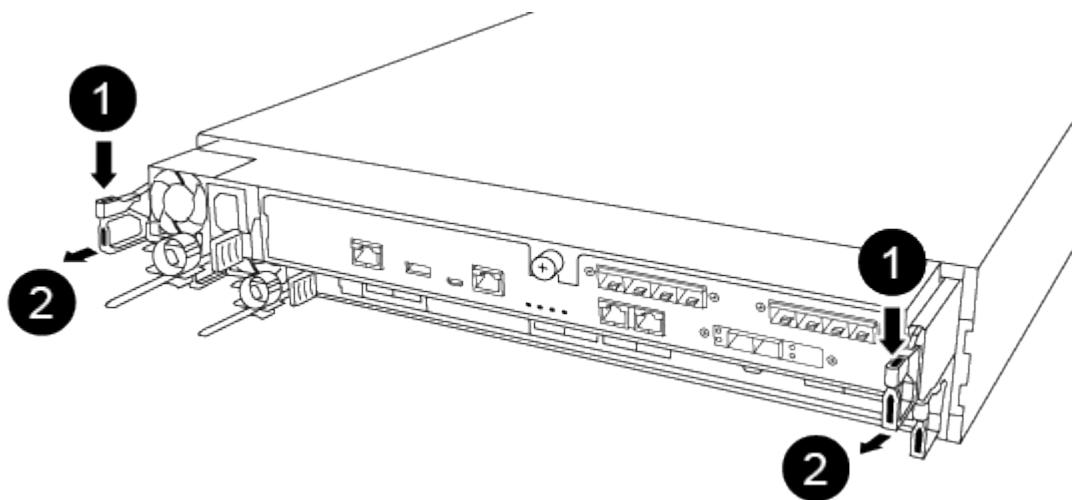
You must remove the controller module from the chassis when you replace a component inside the controller module.

Make sure that you label the cables so that you know where they came from.

1. If you are not already grounded, properly ground yourself.
2. Unplug the controller module power supplies from the source.
3. Release the power cable retainers, and then unplug the cables from the power supplies.
4. Insert your forefinger into the latching mechanism on either side of the controller module, press the lever with your thumb, and gently pull the controller a few inches out of the chassis.



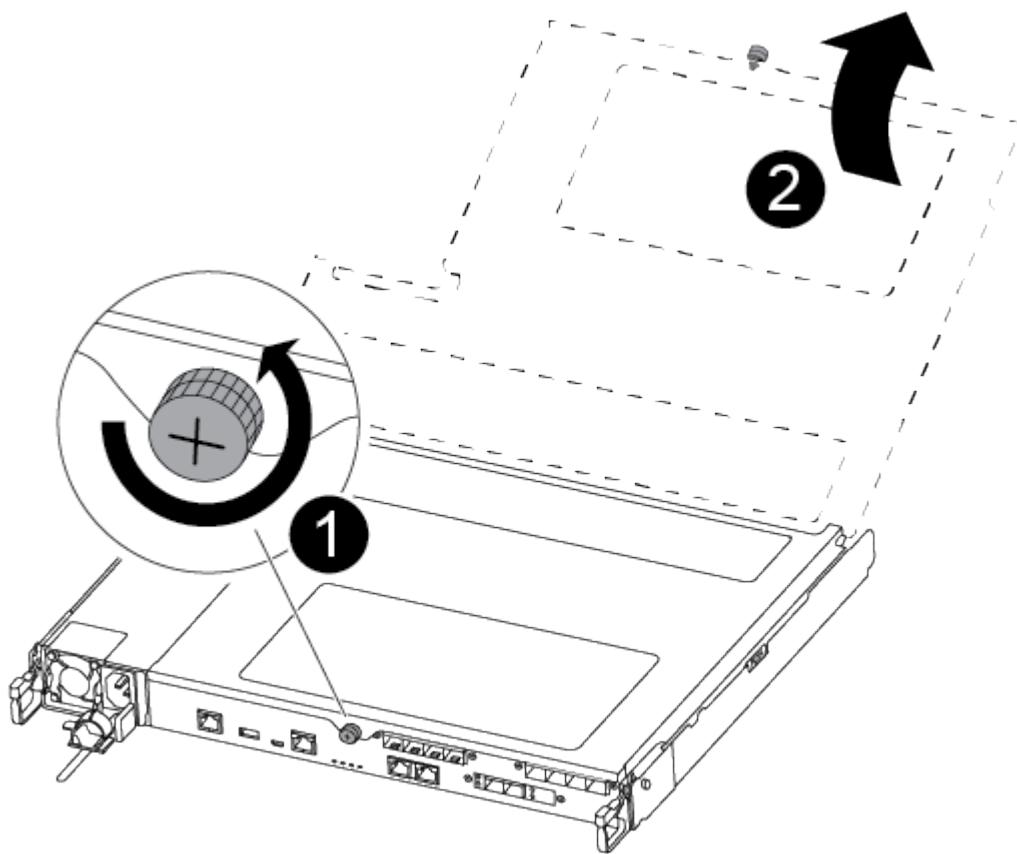
If you have difficulty removing the controller module, place your index fingers through the finger holes from the inside (by crossing your arms).



1	Lever
2	Latching mechanism

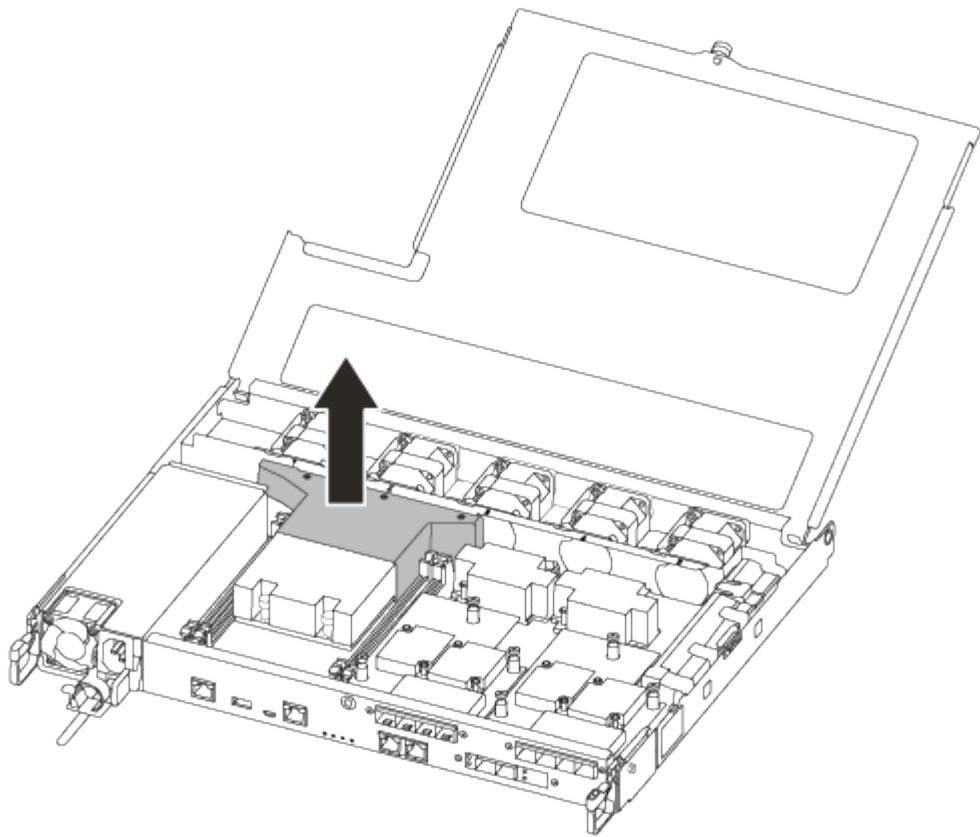
5. Using both hands, grasp the controller module sides and gently pull it out of the chassis and set it on a flat, stable surface.

6. Turn the thumbscrew on the front of the controller module anti-clockwise and open the controller module cover.



1	Thumbscrew
2	Controller module cover.

7. Lift out the air duct cover.



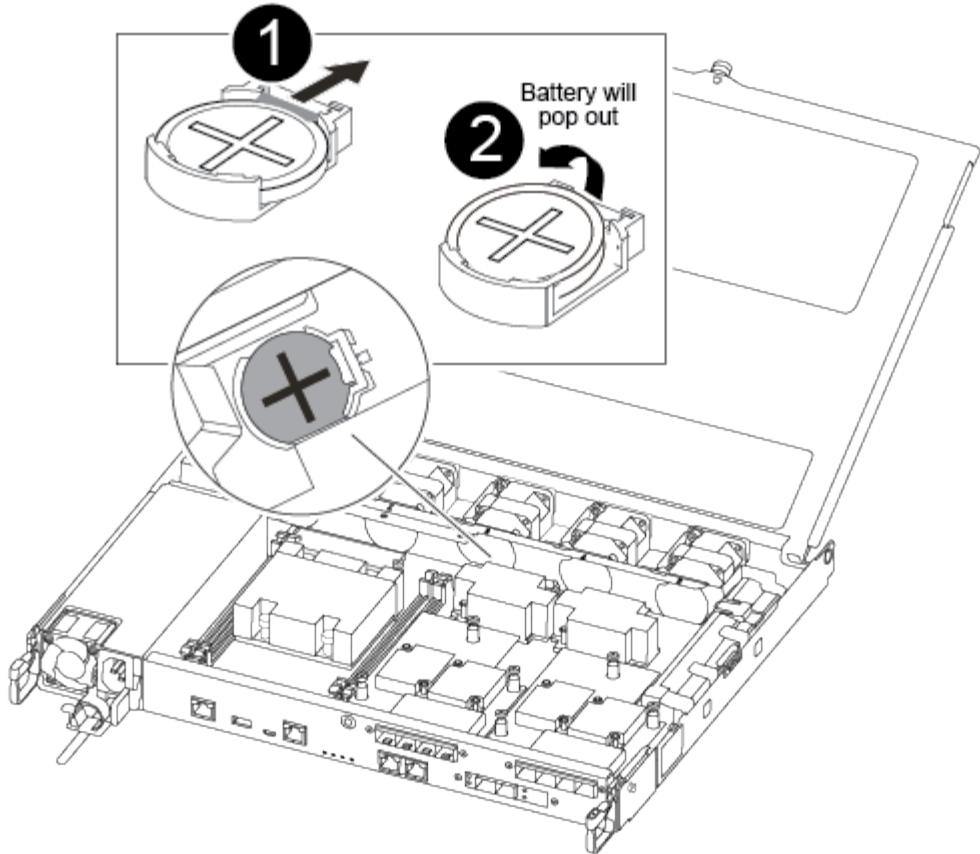
### Step 3: Replace the RTC battery

To replace the RTC battery, locate it inside the controller and follow the specific sequence of steps.

You can use the following video or the tabulated steps to replace the RTC battery:

[Animation - Replace the RTC battery](#)

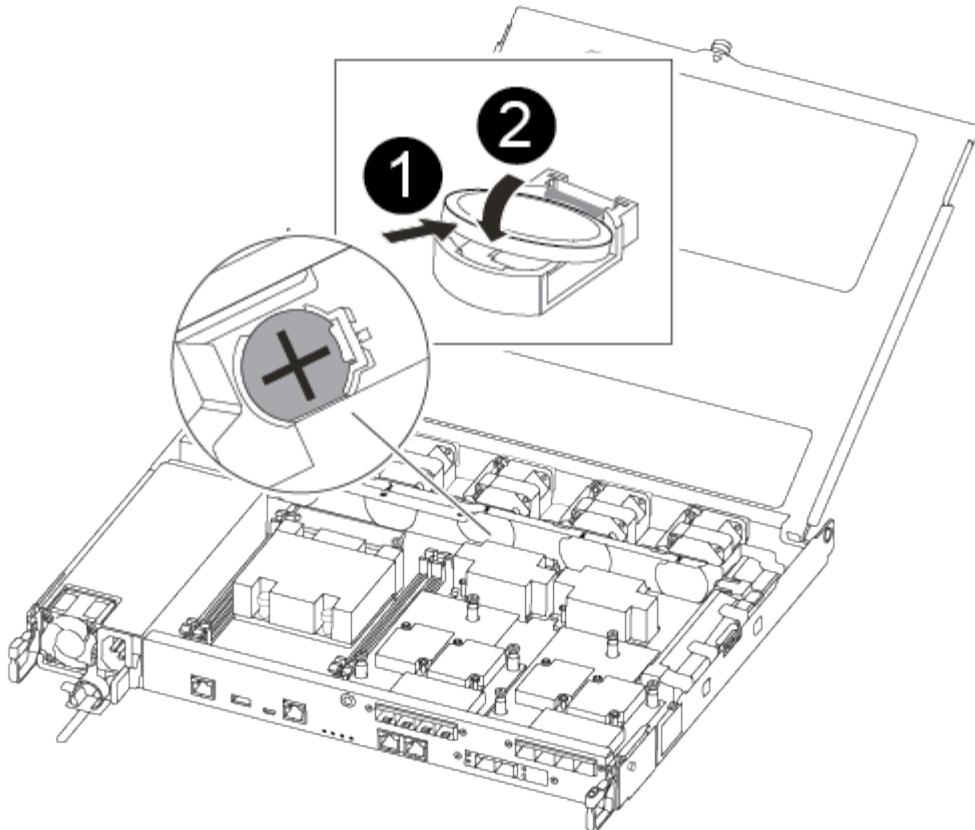
1. Locate the RTC battery between the heatsink and the midplane and remove it exactly as shown in the graphic.



1	Gently pull tab away from the battery housing.  NOTE: Pulling it away aggressively might displace the tab.
2	Lift the battery up.   Make a note of the polarity of the battery.
3	The battery should eject out.

The battery will be ejected out.

2. Remove the replacement battery from the antistatic shipping bag.
3. Locate the RTC battery holder between the heatsink and the midplane and insert it exactly as shown in the graphic.



1	With positive polarity face up, slide the battery under the tab of the battery housing.
2	<p>Push the battery gently into place and make sure the tab secures it to the housing.</p> <p> Pushing it in aggressively might cause the battery to eject out again.</p>

4. Visually inspect the battery to make sure that it is completely installed into the holder and that the polarity is correct.

#### Step 4: Reinstall the controller module and set time/date after RTC battery replacement

After you replace a component within the controller module, you must reinstall the controller module in the system chassis, reset the time and date on the controller, and then boot it.

##### Steps

1. If you have not already done so, close the air duct or controller module cover.
2. Align the end of the controller module with the opening in the chassis, and then gently push the controller module halfway into the system.

Do not completely insert the controller module in the chassis until instructed to do so.

3. Recable the system, as needed.

If you removed the media converters (QSFPs or SFPs), remember to reinstall them if you are using fiber optic cables.

4. If the power supplies were unplugged, plug them back in and reinstall the power cable retainers.

5. Insert the controller module into the chassis:

- a. Ensure the latching mechanism arms are locked in the fully extended position.
- b. Using both hands, align and gently slide the controller module into the latching mechanism arms until it stops.
- c. Place your index fingers through the finger holes from the inside of the latching mechanism.
- d. Press your thumbs down on the orange tabs on top of the latching mechanism and gently push the controller module over the stop.
- e. Release your thumbs from the top of the latching mechanisms and continue pushing until the latching mechanisms snap into place.

The controller module begins to boot as soon as it is fully seated in the chassis. Be prepared to interrupt the boot process.

- f. Halt the controller at the LOADER prompt.

The controller module should be fully inserted and flush with the edges of the chassis.

6. Reset the time and date on the controller:

- a. Check the date and time on the healthy controller with the `show date` command.
- b. At the LOADER prompt on the target controller, check the time and date.
- c. If necessary, modify the date with the `set date mm/dd/yyyy` command.
- d. If necessary, set the time, in GMT, using the `set time hh:mm:ss` command.
- e. Confirm the date and time on the target controller.

7. At the LOADER prompt, enter `bye` to reinitialize the PCIe cards and other components and let the controller reboot.

8. Return the controller to normal operation by giving back its storage: `storage failover giveback -ofnode impaired_node_name`

9. If automatic giveback was disabled, reenable it: `storage failover modify -node local -auto -giveback true`

## Step 5: Complete the replacement process

Return the failed part to NetApp, as described in the RMA instructions shipped with the kit. See the [Part Return and Replacements](#) page for further information.

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