



Upgrade ONTAP tools

ONTAP tools for VMware vSphere 9.12

NetApp
April 02, 2025

Table of Contents

Upgrade ONTAP tools	1
Upgrade to the latest release of ONTAP tools	1
Upgrade Storage Replication Adapter	3

Upgrade ONTAP tools

Upgrade to the latest release of ONTAP tools

You can perform an in-place upgrade to the latest release of ONTAP tools from your existing 9.10 or later release following the instructions provided here.

What you will need

- You must have downloaded the .iso file for the latest release of ONTAP tools.
- You must have reserved at least 12 GB of RAM for the ONTAP tools to work optimally after the upgrade.
- You must clean the vSphere Client browser cache.

[Clear the vSphere cached downloaded plug-in packages](#)

Perform the following steps to validate the .iso file if required. This is an optional step:

1. Extract the public key from the code signing certificate you got issued from Entrust (OTV_ISO_CERT.pem)
openssl x509 -in OTV_ISO_CERT.pem -pubkey -noout > csc-prod-OTV-SRA-TGZ.pub
2. Verify the signature in the digest using the public key (this step should happen in end user system prior to installing the binary. Certificate bundle should be included in the deployment package)

```
openssl dgst -sha256 -verify csc-prod-OTV-SRA-TGZ.pub -signature netapp-ontap-tools-for-vmware-vsphere-9.12-9327-upgrade-iso.sig netapp-ontap-tools-for-vmware-vsphere-9.12-9327-upgrade.iso
```

The status of VASA Provider from the existing deployment is retained after the upgrade. You should manually enable or disable VASA Provider based on your requirement after you upgrade. However, it is best to enable VASA Provider even if VMware Virtual Volumes (vVols) are not in use, as it enables storage capability profiles for traditional datastore provisioning, and storage alarms.



You can perform an in-place upgrade to the latest release of ONTAP tools only from your existing 9.10 or later versions.



As part of ONTAP tools 9.12 upgrade all storage systems authentication and communication process is changed from basic authentication to certificate based authentication by auto trusting the ONTAP storage certificates. No action required from the user.

Adding a Storage system without certificate authentication is restricted.

If the storage system is added with custom created cluster scoped user using the json file and you want to upgrade to 9.12, then run the below commands on the ONTAP CLI before you upgrade to enable the certificate based communication between ONTAP tools for VMware vSphere and ONTAP.

1. `security login role create -role <existing-role-name> -cmddirname "security login show" -access all`
2. `security login role create -role <existing-role-name> -cmddirname "security certificate show" -access all`
3. `security login role create -role <existing-role-name> -cmddirname "security certificate install" -access all`

If the storage system is added with custom created SVM scoped user using the json file and you want to upgrade to 9.12, then run the below commands on the ONTAP CLI with cluster admin access before upgrade

to enable the certificate based communication between ONTAP tools for VMware vSphere and ONTAP:

1. `security login role create -role <existing-role-name> -cmddirname "security certificate install" -access all -vserver <vserver-name>`
2. `security login role create -role <existing-role-name> -cmddirname "security certificate show" -access all -vserver <vserver-name>`
3. `security login create -user-or-group-name <user> -application http -authentication-method cert -role <existing-role-name> -vserver <vserver-name>`
4. `security login create -user-or-group-name <user> -application ontapi -authentication-method cert -role <existing-role-name> -vserver <vserver-name>`

Steps

1. Mount the downloaded `.iso` file to the ONTAP tools:
 - a. Click **Edit Settings > DVD/CD-ROM Drive**.
 - b. Select **Datastore ISO** file from the drop-down list.
 - c. Browse to and select the downloaded `.iso` file, and then select the **Connect at power on** checkbox.
2. Access the Summary tab of your deployed ONTAP tools.
3. Start the maintenance console.
4. At the “Main Menu” prompt, enter option 2 for **System Configuration**, and then enter option 8 for **Upgrade**.

After the upgrade finishes, the ONTAP tools restarts. ONTAP tools is registered to the vCenter Server with the same IP address as before the upgrade.

5. If you want ONTAP tools to be registered with the vCenter Server with the IPv6 address, then you must perform the following:
 - a. Unregister ONTAP tools.
 - b. Register the IPv6 address of ONTAP tools to vCenter Server using the **Register** page.
 - c. Regenerate ONTAP tools and VASA Provider certificates after the registration.



IPv6 is supported only with vCenter Server 6.7 and later.

6. Log out and re-login to the vSphere Client to view the deployed ONTAP tools.
 - a. Log out from your existing vSphere web client or vSphere Client and close the window.
 - b. Log in to the vSphere Client.

It might take a few minutes for the plug-in to be updated in the vSphere Client.

- If upgrading from the 7.0 version of ONTAP tools to the latest version of ONTAP tools, you must first create storage capability profiles before attempting to edit an existing VM Storage Policy or you might get an error that there are incorrect or missing values.
- If upgrading from an earlier version to the latest release of ONTAP tools, it is found that the `vvol.rebalance.threshold` property is missing in the `vvol.properties` file.



The default value of the property is set to 85%.

- After you upgrade to the latest ONTAP tools release that has FIPS enabled but you have an older version of vCenter where FIPS is not supported, the deployment will still work. But if you upgrade your vCenter to the latest FIPS supported version and you have an earlier version of ONTAP tools, then the deployment will work only if FIPS is disabled on the vCenter.

Upgrade Storage Replication Adapter

After upgrading ONTAP tools or deploying the latest version of ONTAP tools, you have to upgrade your Storage Replication Adapter (SRA).

Step

1. You must upgrade to the latest adapter using one of the following procedures based on your adapter:

For...	Perform the following...
Windows	<ol style="list-style-type: none"> Log in to the SRM Windows Server. Change the system path to <code>C:\Program Files\VMware\VMware vCenter Site Recovery Manager\external\perl\c\bin</code> Enter the IP address and password of your deployed ONTAP tools .
Appliance based adapter	<ol style="list-style-type: none"> Log in to the SRM Appliance Management page. Click Storage Replication Adapter, and click Delete to remove the existing SRA. Click New Adapter > Browse. Click to select the latest SRA tarball file that you downloaded from NetApp support site, and then click Install. Configure SRA on the SRM Appliance. <p>Configuring SRA on the SRM Appliance</p>

Copyright information

Copyright © 2025 NetApp, Inc. All Rights Reserved. Printed in the U.S. No part of this document covered by copyright may be reproduced in any form or by any means—graphic, electronic, or mechanical, including photocopying, recording, taping, or storage in an electronic retrieval system—without prior written permission of the copyright owner.

Software derived from copyrighted NetApp material is subject to the following license and disclaimer:

THIS SOFTWARE IS PROVIDED BY NETAPP “AS IS” AND WITHOUT ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WHICH ARE HEREBY DISCLAIMED. IN NO EVENT SHALL NETAPP BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

NetApp reserves the right to change any products described herein at any time, and without notice. NetApp assumes no responsibility or liability arising from the use of products described herein, except as expressly agreed to in writing by NetApp. The use or purchase of this product does not convey a license under any patent rights, trademark rights, or any other intellectual property rights of NetApp.

The product described in this manual may be protected by one or more U.S. patents, foreign patents, or pending applications.

LIMITED RIGHTS LEGEND: Use, duplication, or disclosure by the government is subject to restrictions as set forth in subparagraph (b)(3) of the Rights in Technical Data -Noncommercial Items at DFARS 252.227-7013 (FEB 2014) and FAR 52.227-19 (DEC 2007).

Data contained herein pertains to a commercial product and/or commercial service (as defined in FAR 2.101) and is proprietary to NetApp, Inc. All NetApp technical data and computer software provided under this Agreement is commercial in nature and developed solely at private expense. The U.S. Government has a non-exclusive, non-transferrable, nonsublicensable, worldwide, limited irrevocable license to use the Data only in connection with and in support of the U.S. Government contract under which the Data was delivered. Except as provided herein, the Data may not be used, disclosed, reproduced, modified, performed, or displayed without the prior written approval of NetApp, Inc. United States Government license rights for the Department of Defense are limited to those rights identified in DFARS clause 252.227-7015(b) (FEB 2014).

Trademark information

NETAPP, the NETAPP logo, and the marks listed at <http://www.netapp.com/TM> are trademarks of NetApp, Inc. Other company and product names may be trademarks of their respective owners.