



# **Deploy SMB server-based services**

## **ONTAP 9**

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# Deploy SMB server-based services

## Manage home directories

### Learn about enabling dynamic home directories on ONTAP SMB servers

ONTAP home directories enable you to configure an SMB share that maps to different directories based on the user that connects to it and a set of variables. Instead of creating separate shares for each user, you can configure one share with a few home directory parameters to define a user's relationship between an entry point (the share) and the home directory (a directory on the SVM).

A user who is logged in as a guest user does not have a home directory and cannot access other users' home directories. There are four variables that determine how a user is mapped to a directory:

- **Share name**

This is the name of the share that you create to which the user connects. You must set the home directory property for this share.

The share name can use the following dynamic names:

- `%w` (the user's Windows user name)
- `%d` (the user's Windows domain name)
- `%u` (the user's mapped UNIX user name) To make the share name unique across all home directories, the share name must contain either the `%w` or the `%u` variable. The share name can contain both the `%d` and the `%w` variable (for example, `%d/%w`), or the share name can contain a static portion and a variable portion (for example, `home_%w`).

- **Share path**

This is the relative path, which is defined by the share and is therefore associated with one of the share names, that is appended to each search path to generate the user's entire home directory path from the root of the SVM. It can be static (for example, `home`), dynamic (for example, `%w`), or a combination of the two (for example, `eng/%w`).

- **Search paths**

This is the set of absolute paths from the root of the SVM that you specify that directs the ONTAP search for home directories. You can specify one or more search paths by using the `vserver cifs home-directory search-path add` command. If you specify multiple search paths, ONTAP tries them in the order specified until it finds a valid path. Learn more about `vserver cifs home-directory search-path add` in the [ONTAP command reference](#).

- **Directory**

This is the user's home directory that you create for the user. The directory name is usually the user's name. You must create the home directory in one of the directories that are defined by the search paths.

As an example, consider the following setup:

- User: John Smith
- User domain: acme
- User name: jsmith
- SVM name: vs1
- Home directory share name #1: home\_%w - share path: %w
- Home directory share name #2: %w - share path: %d/%w
- Search path #1: /vol0home/home
- Search path #2: /vol1home/home
- Search path #3: /vol2home/home
- Home directory: /vol1home/home/jsmith

Scenario 1: The user connects to `\\vs1\home_jsmith`. This matches the first home directory share name and generates the relative path `jsmith`. ONTAP now searches for a directory named `jsmith` by checking each search path in order:

- `/vol0home/home/jsmith` does not exist; moving on to search path #2.
- `/vol1home/home/jsmith` does exist; therefore, search path #3 is not checked; the user is now connected to his home directory.

Scenario 2: The user connects to `\\vs1\jsmith`. This matches the second home directory share name and generates the relative path `acme/jsmith`. ONTAP now searches for a directory named `acme/jsmith` by checking each search path in order:

- `/vol0home/home/acme/jsmith` does not exist; moving on to search path #2.
- `/vol1home/home/acme/jsmith` does not exist; moving on to search path #3.
- `/vol2home/home/acme/jsmith` does not exist; the home directory does not exist; therefore, the connection fails.

## Home directory shares

### Add ONTAP SMB home directory shares

If you want to use the SMB home directory feature, you must add at least one share with the home directory property included in the share properties.

#### About this task

You can create a home directory share at the time you create the share by using the `vserver cifs share create` command, or you can change an existing share into a home directory share at any time by using the `vserver cifs share modify` command.

To create a home directory share, you must include the `homedirectory` value in the `-share-properties` option when you create or modify a share. You can specify the share name and share path using variables that are dynamically expanded when users connect to their home directories. Available variables that you can use in the path are `%w`, `%d`, and `%u`, corresponding to the Windows user name, domain, and mapped UNIX user name, respectively.

## Steps

### 1. Add a home directory share:

```
vserver cifs share create -vserver vserver_name -share-name share_name -path path -share-properties homedirectory[,...]
```

`-vserver vserver` specifies the CIFS-enabled storage virtual machine (SVM) on which to add the search path.

`-share-name share-name` specifies the home directory share name.

In addition to containing one of the required variables, if the share name contains one of the literal strings `%w`, `%u`, or `%d`, you must precede the literal string with a `%` (percent) character to prevent ONTAP from treating the literal string as a variable (for example, `%%w`).

- The share name must contain either the `%w` or the `%u` variable.
- The share name can additionally contain the `%d` variable (for example, `%d/%w`) or a static portion in the share name (for example, `home1_%%w`).
- If the share is used by administrators to connect to other users' home directories or to permit users to connect to other users' home directories, the dynamic share name pattern must be preceded by a tilde (`~`).

The `vserver cifs home-directory modify` is used to enable this access by setting the `-is-home-dirs-access-for-admin-enabled` option to `true`) or by setting the advanced option `-is-home-dirs-access-for-public-enabled` to `true`.

`-path path` specifies the relative path to the home directory.

`-share-properties homedirectory[,...]` specifies the share properties for that share. You must specify the `homedirectory` value. You can specify additional share properties using a comma delimited list.

1. Verify that you successfully added the home directory share by using the `vserver cifs share show` command.

## Example

The following command creates a home directory share named `%w`. The `oplocks`, `browsable`, and `changenotify` share properties are set in addition to setting the `homedirectory` share property.



This example does not display output for all of the shares on the SVM. Output is truncated.

```
cluster1::> vservers cifs share create -vservers vs1 -share-name %w -path %w
-share-properties oplocks,browsable,changenotify,homedirectory

vs1::> vservers cifs share show -vservers vs1
```

Vserver	Share	Path	Properties	Comment	ACL
vs1	%w	%w	oplocks	-	Everyone / Full
Control			browsable changenotify homedirectory		

## Related information

- [Add home directory search paths](#)
- [Requirements and guidelines for using automatic node referrals on servers](#)
- [Manage accessibility to user home directories](#)

## Learn about unique ONTAP SMB user name requirements for home directory shares

Be careful to assign unique user names when creating home directory shares using the `%w` (Windows user name) or `%u` (UNIX user name) variables to generate shares dynamically. The share name is mapped to your user name.

Two problems can occur when a static share's name and a user's name are the same:

- When the user lists the shares on a cluster using the `net view` command, two shares with the same user name are displayed.
- When the user connects to that share name, the user is always connected to the static share and cannot access the home directory share with the same name.

For example, there is a share named "administrator" and you have an "administrator" Windows user name. If you create a home directory share and connect to that share, you get connected to the "administrator" static share, not to your "administrator" home directory share.

You can resolve the issue with duplicate share names by following any of these steps:

- Renaming the static share so that it no longer conflicts with the user's home directory share.
- Giving the user a new user name so that it no longer conflicts with the static share name.
- Creating a CIFS home directory share with a static name such as "home" instead of using the `%w` parameter to avoid conflicts with the share names.

## Learn about what happens to static ONTAP SMB home directory share names after upgrading

Home directory share names must contain either the `%w` or the `%u` dynamic variable. You should be aware of what happens to existing static home directory share names after upgrading to a version of ONTAP with the new requirement.

If your home directory configuration contains static share names and you upgrade to ONTAP, the static home directory share names are not changed and are still valid. However, you cannot create any new home directory shares that do not contain either the %w or %u variable.

Requiring that one of these variables is included in the user's home directory share name ensures that every share name is unique across the home directory configuration. If desired, you can change the static home directory share names to names that contain either the %w or %u variable.

## Add ONTAP SMB home directory search paths

If you want to use ONTAP SMB home directories, you must add at least one home directory search path.

### About this task

You can add a home directory search path by using the `vserver cifs home-directory search-path add` command.

The `vserver cifs home-directory search-path add` command checks the path specified in the `-path` option during command execution. If the specified path does not exist, the command generates a message prompting for whether you want to continue. You choose `y` or `n`. If you choose `y` to continue, ONTAP creates the search path. However, you must create the directory structure before you can use the search path in the home directory configuration. If you choose not to continue, the command fails; the search path is not created. You can then create the path directory structure and rerun the `vserver cifs home-directory search-path add` command.

### Steps

1. Add a home directory search path: `vserver cifs home-directory search-path add -vserver vs1 -path /home1`
2. Verify that you successfully added the search path using the `vserver cifs home-directory search-path show` command.

### Example

The following example adds the path `/home1` to the home directory configuration on SVM `vs1`.

```
cluster::> vserver cifs home-directory search-path add -vserver vs1 -path /home1

vs1::> vserver cifs home-directory search-path show
Vserver      Position Path
-----
vs1          1      /home1
```

The following example attempts to add the path `/home2` to the home directory configuration on SVM `vs1`. The path does not exist. The choice is made to not continue.



```
cluster::> vservers cifs home-directory search-path add -vservers vs1 -path /home2
Warning: The specified path "/home2" does not exist in the namespace
        belonging to Vserver "vs1".
Do you want to continue? {y|n}: n
```

## Related information

[Add home directory shares](#)

## Create ONTAP SMB home directory configurations using the %w and %d variables

You can create a home directory configuration using the %w and %d variables. Users can then connect to their home share using dynamically created shares.

### Steps

1. Create a qtree to contain user's home directories: `volume qtree create -vservers vservers_name -qtree-path qtree_path`
2. Verify that the qtree is using the correct security style: `volume qtree show`
3. If the qtree is not using the desired security style, change the security style using the `volume qtree security` command.
4. Add a home directory share: `vservers cifs share create -vservers vservers -share-name %w -path %d/%w -share-properties homedirectory\[,...\]`

`-vservers vservers` specifies the CIFS-enabled storage virtual machine (SVM) on which to add the search path.

`-share-name %w` specifies the home directory share name. ONTAP dynamically creates the share name as each user connects to their home directory. The share name will be of the form *windows\_user\_name*.

`-path %d/%w` specifies the relative path to the home directory. The relative path is dynamically created as each user connects to their home directory and will be of the form *domain/windows\_user\_name*.

`-share-properties homedirectory\[,...]` specifies the share properties for that share. You must specify the `homedirectory` value. You can specify additional share properties using a comma delimited list.

5. Verify that the share has the desired configuration using the `vservers cifs share show` command.
6. Add a home directory search path: `vservers cifs home-directory search-path add -vservers vservers -path path`

`-vservers vservers-name` specifies the CIFS-enabled SVM on which to add the search path.

`-path path` specifies the absolute directory path to the search path.

7. Verify that you successfully added the search path using the `vservers cifs home-directory search-path show` command.
8. For users with a home directory, create a corresponding directory in the qtree or volume designated to

contain home directories.

For example, if you created a qtree with the path of `/vol/vol1/users` and the user name whose directory you want to create is `mydomain\user1`, you would create a directory with the following path:  
`/vol/vol1/users/mydomain/user1`.

If you created a volume named “home1” mounted at `/home1`, you would create a directory with the following path: `/home1/mydomain/user1`.

9. Verify that a user can successfully connect to the home share either by mapping a drive or connecting using the UNC path.

For example, if user `mydomain\user1` wants to connect to the directory created in Step 8 that is located on SVM `vs1`, `user1` would connect using the UNC path `\\vs1\user1`.

### Example

The commands in the following example create a home directory configuration with the following settings:

- The share name is `%w`.
- The relative home directory path is `%d/%w`.
- The search path that is used to contain the home directories, `/home1`, is a volume configured with NTFS security style.
- The configuration is created on SVM `vs1`.

You can use this type of home directory configuration when users access their home directories from Windows hosts. You can also use this type of configuration when users access their home directories from Windows and UNIX hosts and the file system administrator uses Windows-based users and groups to control access to the file system.

```
cluster::> vservers cifs share create -vservers vs1 -share-name %w -path
%d/%w -share-properties oplocks,browsable,changenotify,homedirectory
```

```
cluster::> vservers cifs share show -vservers vs1 -share-name %w
```

```

                Vserver: vs1
                Share: %w
CIFS Server NetBIOS Name: VS1
                Path: %d/%w
        Share Properties: oplocks
                        browsable
                        changenotify
                        homedirectory
        Symlink Properties: enable
        File Mode Creation Mask: -
        Directory Mode Creation Mask: -
                Share Comment: -
                Share ACL: Everyone / Full Control
File Attribute Cache Lifetime: -
                Volume Name: -
                Offline Files: manual
Vscan File-Operations Profile: standard

cluster::> vservers cifs home-directory search-path add -vservers vs1 -path
/home1

cluster::> vservers cifs home-directory search-path show
Vserver      Position Path
-----
vs1          1         /home1
```

## Related information

- [Configure home directories using the %u variable](#)
- [Learn about additional home directory configurations](#)
- [Display information about user home directory paths](#)

## Configure ONTAP SMB home directories using the %u variable

You can create a home directory configuration where you designate the share name using the %w variable but you use the %u variable to designate the relative path to the home directory share. Users can then connect to their home share using dynamically shares created using their Windows user name without being aware of the actual name or path of the home directory.

## Steps

1. Create a qtree to contain user's home directories: `volume qtree create -vserver vserver_name -qtree-path qtree_path`
2. Verify that the qtree is using the correct security style: `volume qtree show`
3. If the qtree is not using the desired security style, change the security style using the `volume qtree security` command.
4. Add a home directory share: `vserver cifs share create -vserver vserver -share-name %w -path %u -share-properties homedirectory ,...]`

`-vserver vserver` specifies the CIFS-enabled storage virtual machine (SVM) on which to add the search path.

`-share-name %w` specifies the home directory share name. The share name is dynamically created as each user connects to their home directory and is of the form *windows\_user\_name*.



You can also use the `%u` variable for the `-share-name` option. This creates a relative share path that uses the mapped UNIX user name.

`-path %u` specifies the relative path to the home directory. The relative path is created dynamically as each user connects to their home directory and is of the form *mapped\_UNIX\_user\_name*.



The value for this option can contain static elements as well. For example, `eng/%u`.

`-share-properties homedirectory\[,...\]` specifies the share properties for that share. You must specify the `homedirectory` value. You can specify additional share properties using a comma delimited list.

5. Verify that the share has the desired configuration using the `vserver cifs share show` command.
6. Add a home directory search path: `vserver cifs home-directory search-path add -vserver vserver -path path`

`-vserver vserver` specifies the CIFS-enabled SVM on which to add the search path.

`-path path` specifies the absolute directory path to the search path.

7. Verify that you successfully added the search path using the `vserver cifs home-directory search-path show` command.
8. If the UNIX user does not exist, create the UNIX user using the `vserver services unix-user create` command.



The UNIX user name to which you map the Windows user name must exist before mapping the user.

9. Create a name mapping for the Windows user to the UNIX user using the following command: `vserver name-mapping create -vserver vserver_name -direction win-unix -priority integer -pattern windows_user_name -replacement unix_user_name`



If name mappings already exist that map Windows users to UNIX users, you do not have to perform the mapping step.

The Windows user name is mapped to the corresponding UNIX user name. When the Windows user connects to their home directory share, they connect to a dynamically created home directory with a share name that corresponds to their Windows user name without being aware that the directory name corresponds to the UNIX user name.

10. For users with a home directory, create a corresponding directory in the qtree or volume designated to contain home directories.

For example, if you created a qtree with the path of `/vol/vol1/users` and the mapped UNIX user name of the user whose directory you want to create is “unixuser1”, you would create a directory with the following path: `/vol/vol1/users/unixuser1`.

If you created a volume named “home1” mounted at `/home1`, you would create a directory with the following path: `/home1/unixuser1`.

11. Verify that a user can successfully connect to the home share either by mapping a drive or connecting using the UNC path.

For example, if user `mydomain\user1` maps to UNIX user `unixuser1` and wants to connect to the directory created in Step 10 that is located on SVM `vs1`, user1 would connect using the UNC path `\\vs1\user1`.

### Example

The commands in the following example create a home directory configuration with the following settings:

- The share name is `%w`.
- The relative home directory path is `%u`.
- The search path that is used to contain the home directories, `/home1`, is a volume configured with UNIX security style.
- The configuration is created on SVM `vs1`.

You can use this type of home directory configuration when users access their home directories from both Windows hosts or Windows and UNIX hosts and the file system administrator uses UNIX-based users and groups to control access to the file system.

```
cluster::> vserver cifs share create -vserver vs1 -share-name %w -path %u
-share-properties oplocks,browsable,changenotify,homedirectory
```

```
cluster::> vserver cifs share show -vserver vs1 -share-name %u
```

```

          Vserver: vs1
          Share: %w
CIFS Server NetBIOS Name: VS1
          Path: %u
    Share Properties: oplocks
                     browsable
                     changenotify
                     homedirectory
    Symlink Properties: enable
    File Mode Creation Mask: -
    Directory Mode Creation Mask: -
          Share Comment: -
          Share ACL: Everyone / Full Control
File Attribute Cache Lifetime: -
          Volume Name: -
          Offline Files: manual
Vscan File-Operations Profile: standard
```

```
cluster::> vserver cifs home-directory search-path add -vserver vs1 -path
/home1
```

```
cluster::> vserver cifs home-directory search-path show -vserver vs1
```

```
Vserver      Position Path
-----
vs1           1      /home1
```

```
cluster::> vserver name-mapping create -vserver vs1 -direction win-unix
-position 5 -pattern user1 -replacement unixuser1
```

```
cluster::> vserver name-mapping show -pattern user1
```

```
Vserver      Direction Position
-----
vs1           win-unix  5      Pattern: user1
                                Replacement: unixuser1
```

## Related information

- [Create home directory configurations using the %w and %d variables](#)
- [Learn about additional home directory configurations](#)
- [Display information about user home directory paths](#)

## Learn about additional ONTAP SMB home directory configurations

You can create additional home directory configurations using the %w, %d, and %u variables, which enables you to customize the home directory configuration to meet your needs.

You can create a number of home directory configurations using a combination of variables and static strings in the share names and search paths. The following table provides some examples illustrating how to create different home directory configurations:

Paths created when /vol1/user contains home directories...	Share command...
To create a share path \\vs1\~win_username that directs the user to /vol1/user/win_username	<code>vserver cifs share create -share-name ~%w -path %w -share-properties oplocks,browsable,changenotify,homedirectory</code>
To create a share path \\vs1\win_username that directs the user to /vol1/user/domain/win_username	<code>vserver cifs share create -share-name %w -path %d/%w -share-properties oplocks,browsable,changenotify,homedirectory</code>
To create a share path \\vs1\win_username that directs the user to /vol1/user/unix_username	<code>vserver cifs share create -share-name %w -path %u -share-properties oplocks,browsable,changenotify,homedirectory</code>
To create a share path \\vs1\unix_username that directs the user to /vol1/user/unix_username	<code>vserver cifs share create -share-name %u -path %u -share-properties oplocks,browsable,changenotify,homedirectory</code>

## ONTAP commands for managing SMB search paths

There are specific ONTAP commands for managing search paths for SMB home directory configurations. For example, there are commands for adding, removing, and displaying information about search paths. There is also a command for changing the search path order.

If you want to...	Use this command...
Add a search path	<code>vserver cifs home-directory search-path add</code>
Display search paths	<code>vserver cifs home-directory search-path show</code>

If you want to...	Use this command...
Change the search path order	<code>vserver cifs home-directory search-path reorder</code>
Remove a search path	<code>vserver cifs home-directory search-path remove</code>

Learn more about `vserver cifs home-directory search-path` in the [ONTAP command reference](#).

## Display information about ONTAP SMB user home directory paths

You can display an SMB user's home directory path on the storage virtual machine (SVM), which can be used if you have multiple CIFS home directory paths configured and you want to see which path holds the user's home directory.

### Step

1. Display the home directory path by using the `vserver cifs home-directory show-user` command.

```
vserver cifs home-directory show-user -vserver vs1 -username user1
```

Vserver	User	Home Dir Path
-----	-----	-----
vs1	user1	/home/user1

### Related information

[Manage accessibility to user home directories](#)

## Manage accessibility to ONTAP SMB user home directories

By default, a user's home directory can be accessed only by that user. For shares where the dynamic name of the share is preceded with a tilde (~), you can enable or disable access to users' home directories by Windows administrators or by any other user (public access).

### Before you begin

Home directory shares on the storage virtual machine (SVM) must be configured with dynamic share names that are preceded with a tilde (~). The following cases illustrate share naming requirements:

Home directory share name	Example of command to connect to the share
~%d~%w	<code>net use * \\IPAddress\~domain~user/u:credentials</code>



Home directory share name	Example of command to connect to the share
~%W	net use * \\IPAddress\~user/u:credentials
~abc~%W	net use * \\IPAddress\abc~user/u:credentials

## Step

1. Perform the appropriate action:

If you want to enable or disable access to users' home directories to...	Enter the following...
Windows administrators	vserver cifs home-directory modify -vserver vserver_name -is-home-dirs -access-for-admin-enabled {true false} The default is true.
Any user (public access)	<p>a. Set the privilege level to advanced: set -privilege advanced</p> <p>b. Enable or disable access: vserver cifs home-directory modify -vserver vserver_name -is-home-dirs-access -for-public-enabled {true false} The default is false.</p> <p>c. Return to the admin privilege level: set -privilege admin</p>

The following example enables public access to users' home directories:

```
set -privilege advanced
vserver cifs home-directory modify -vserver vs1 -is-home-dirs-access-for-public
-enabled true
set -privilege admin
```

## Related information

[Display information about user home directory paths](#)

# Configure SMB client access to UNIX symbolic links

## Learn about providing ONTAP SMB client access to UNIX symbolic links

A symbolic link is a file that is created in a UNIX environment that contains a reference to another file or directory. If a client accesses a symbolic link, the client is redirected to the target file or directory to which the symbolic link refers. ONTAP supports relative and absolute symbolic links, including widelinks (absolute links with targets outside the local file system).

ONTAP provides SMB clients the ability to follow UNIX symbolic links that are configured on the SVM. This feature is optional, and you can configure it on a per-share basis, using the `-symlink-properties` option of the `vserver cifs share create` command, with one of the following settings:

- Enabled with read/write access
- Enabled with read-only access
- Disabled by hiding symbolic links from SMB clients
- Disabled with no access to symbolic links from SMB clients

If you enable symbolic links on a share, relative symbolic links work without further configuration.

If you enable symbolic links on a share, absolute symbolic links do not work right away. You must first create a mapping between the UNIX path of the symbolic link to the destination SMB path. When creating absolute symbolic link mappings, you can specify whether it is a local link or a *widelink*; widelinks can be links to file systems on other storage devices or links to file systems hosted in separate SVMs on the same ONTAP system. When you create a widelink, it must include the information for the client to follow; that is, you create a reparse point for the client to discover the directory junction point. If you create an absolute symbolic link to a file or directory outside of the local share but set the locality to local, ONTAP disallows access to the target.



If a client attempts to delete a local symbolic link (absolute or relative), only the symbolic link is deleted, not the target file or directory. However, if a client attempts to delete a widelink, it might delete the actual target file or directory to which the widelink refers. ONTAP does not have control over this because the client can explicitly open the target file or directory outside the SVM and delete it.

#### • Reparse points and ONTAP file system services

A *reparse point* is an NTFS file system object that can be optionally stored on volumes along with a file. Reparse points provide SMB clients the ability to receive enhanced or extended file system services when working with NTFS style volumes. Reparse points consist of standard tags that identify the type of reparse point, and the content of the reparse point that can be retrieved by SMB clients for further processing by the client. Of the object types available for extended file system functionality, ONTAP implements support for NTFS symbolic links and directory junction points using reparse point tags. SMB clients that cannot understand the contents of a reparse point simply ignore it and don't provide the extended file system service that the reparse point might enable.

#### • Directory junction points and ONTAP support for symbolic links

Directory junction points are locations within a file system directory structure that can refer to alternate locations where files are stored, either on a different path (symbolic links) or a separate storage device (widelinks). ONTAP SMB servers expose directory junction points to Windows clients as reparse points, allowing capable clients to obtain reparse point contents from ONTAP when a directory junction point is traversed. They can thereby navigate and connect to different paths or storage devices as though they were part of the same file system.

#### • Enabling widelink support using reparse point options


The `-is-use-junctions-as-reparse-points-enabled` option is enabled by default in ONTAP 9. The option to enable the information is configurable on a per-protocol version basis because not all SMB clients support widelinks. This allows administrators to accommodate both supported and unsupported SMB clients. You must enable the option `-widelink-as-reparse-point-versions` for each client protocol that accesses the share using widelinks; the default is SMB1.

## Related information

- [Windows backup applications and Unix-style symlinks](#)
- [Microsoft Documentation: Reparse Points](#)

## Limits when configuring UNIX symbolic links for ONTAP SMB access

You need to be aware of certain limits when configuring UNIX symbolic links for SMB access.

Limit	Description
45	<p>Maximum length of the CIFS server name that you can specify when using an FQDN for the CIFS server name.</p> <div> You can alternatively specify the CIFS server name as a NetBIOS name, which is limited to 15 characters.</div>
80	<p>Maximum length of the share name.</p>
256	<p>Maximum length of the UNIX path that you can specify when creating a symbolic link or when modifying an existing symbolic link's UNIX path. The UNIX path must start with a "/" (slash) and end with a "/". Both the beginning and ending slashes count as part of the 256-character limit.</p>
256	<p>Maximum length of the CIFS path that you can specify when creating a symbolic link or when modifying an existing symbolic link's CIFS path. The CIFS path must start with a "/" (slash) and end with a "/". Both the beginning and ending slashes count as part of the 256-character limit.</p>

## Related information

[Create symbolic link mappings for shares](#)

## Control automatic DFS advertisements on ONTAP SMB servers

A CIFS server option controls how DFS capabilities are advertised to SMB clients when connecting to shares. Because ONTAP uses DFS referrals when clients access symbolic links over SMB, you should be aware of what the impact is when disabling or enabling this option.

A CIFS server option determines whether the CIFS servers automatically advertise that they are DFS capable to SMB clients. By default, this option is enabled and the CIFS server always advertises that it is DFS capable to SMB clients (even when connecting to shares where access to symbolic links is disabled). If you want the CIFS server to advertise that it is DFS capable to clients only when they are connecting to shares where

access to symbolic links is enabled, you can disable this option.

You should be aware of what happens when this option is disabled:

- The share configurations for symbolic links is unchanged.
- If the share parameter is set to allow symbolic link access (either read-write access or read-only access), the CIFS server advertises DFS capabilities to clients connecting to that share.

Client connections and access to symbolic links continue without interruption.

- If the share parameter is set to not allow symbolic link access (either by disabling access or if the value for the share parameter is null), the CIFS server does not advertise DFS capabilities to clients connecting to that share.

Because clients have cached information that the CIFS server is DFS capable and it is no longer advertising that it is, clients that are connected to shares where symbolic link access is disabled might not be able to access these shares after the CIFS server option is disabled. After the option is disabled, you might need to reboot clients that are connected to these shares, thus clearing the cached information.

These changes do not apply to SMB 1.0 connections.

## Configure UNIX symbolic link support on ONTAP SMB shares

You can configure UNIX symbolic link support on SMB shares by specifying a symbolic link share-property setting when you create SMB shares or at any time by modifying existing SMB shares. UNIX symbolic link support is enabled by default. You can also disable UNIX symbolic link support on a share.

### About this task

When configuring UNIX symbolic link support for SMB shares, you can choose one of the following settings:

Setting	Description
<code>enable</code> (DEPRECATED*)	Specifies that symbolic links are enabled for read-write access.
<code>read_only</code> (DEPRECATED*)	Specifies that symlinks are enabled for read-only access. This setting does not apply to widelinks. Widelink access is always read-write.
<code>hide</code> (DEPRECATED*)	Specifies that SMB clients are prevented from seeing symlinks.
<code>no-strict-security</code>	Specifies that clients follow symlinks outside of share boundaries.

Setting	Description
symlinks	Specifies that symlinks are enabled locally for read-write access. The DFS advertisements are not generated even if the CIFS option <code>is-advertise-dfs-enabled</code> is set to <code>true</code> . This is the default setting.
symlinks-and-widelinks	Specifies that both local symlinks and widelinks for read-write access. The DFS advertisements are generated for both local symlink and widelinks even if the CIFS option <code>is-advertise-dfs-enabled</code> is set to <code>false</code> .
disable	Specifies that symlinks and widelinks are disabled. The DFS advertisements are not generated even if the CIFS option <code>is-advertise-dfs-enabled</code> is set to <code>true</code> .
"" (null, not set)	Disables symbolic links on the share.
- (not set)	Disables symbolic links on the share.



\*The *enable*, *hide*, and *read-only* parameters are deprecated and may be removed in a future release of ONTAP.

## Steps

1. Configure or disable symbolic link support:

If it is...	Enter...
A new SMB share	<pre>vserver cifs share create -vserver vserver_name -share-name share_name -path path -symlink-properties {enable hide read-only "" -  symlinks symlinks-and- widelinks disable},...</pre>
An existing SMB share	<pre>vserver cifs share modify -vserver vserver_name -share-name share_name -symlink-properties {enable hide read- only "" - symlinks symlinks-and- widelinks disable},...</pre>

2. Verify that the SMB share configuration is correct: `vserver cifs share show -vserver vserver_name -share-name share_name -instance`

## Example

The following command creates an SMB share named “data1” with the UNIX symbolic link configuration set to enable:

```
cluster1::> vservers cifs share create -vservers vs1 -share-name data1 -path
/data1 -symlink-properties enable

cluster1::> vservers cifs share show -vservers vs1 -share-name data1
-instance

Vserver: vs1
Share: data1
CIFS Server NetBIOS Name: VS1
Path: /data1
Share Properties: oplocks
                  browsable
                  changenotify
Symlink Properties: enable
File Mode Creation Mask: -
Directory Mode Creation Mask: -
Share Comment: -
Share ACL: Everyone / Full Control
File Attribute Cache Lifetime: -
Volume Name: -
Offline Files: manual
Vscan File-Operations Profile: standard
Maximum Tree Connections on Share: 4294967295
UNIX Group for File Create: -
```

#### Related information

[Create symbolic link mappings for shares](#)

## Create symbolic link mappings for ONTAP SMB shares

You can create mappings of UNIX symbolic links for SMB shares. You can either create a relative symbolic link, which refers to the file or folder relative to its parent folder, or you can create an absolute symbolic link, which refers to the file or folder using an absolute path.

#### About this task

Widelinks are not accessible from Mac OS X clients if you use SMB 2.x. When a user attempts to connect to a share using widelinks from a Mac OS X client, the attempt fails. However, you can use widelinks with Mac OS X clients if you use SMB 1.

#### Steps

1. To create symbolic link mappings for SMB shares: `vservers cifs symlink create -vservers virtual_server_name -unix-path path -share-name share_name -cifs-path path [-cifs-server server_name] [-locality {local|free|widelink}] [-home-directory`

`{true|false}}`

`-vserver virtual_server_name` specifies the storage virtual machine (SVM) name.

`-unix-path path` specifies the UNIX path. The UNIX path must begin with a slash (/) and must end with a slash (/).

`-share-name share_name` specifies the name of the SMB share to map.

`-cifs-path path` specifies the CIFS path. The CIFS path must begin with a slash (/) and must end with a slash (/).

`-cifs-server server_name` specifies the CIFS server name. The CIFS server name can be specified as a DNS name (for example, `mynetwork.cifs.server.com`), IP address, or NetBIOS name. The NetBIOS name can be determined by using the `vserver cifs show` command. If this optional parameter is not specified, the default value is the NetBIOS name of the local CIFS server.

`-locality {local|free|widelink}` specifies whether to create a local link, a free link or a wide symbolic link. A local symbolic link maps to the local SMB share. A free symbolic link can map anywhere on the local SMB server. A wide symbolic link maps to any SMB share on the network. If you do not specify this optional parameter, the default value is `local`.

`-home-directory {true|false}` specifies whether the target share is a home directory. Even though this parameter is optional, you must set this parameter to `true` when the target share is configured as a home directory. The default is `false`.

## Example

The following command creates a symbolic link mapping on the SVM named `vs1`. It has the UNIX path `/src/`, the SMB share name "SOURCE", the CIFS path `/mycompany/source/`, and the CIFS server IP address `123.123.123.123`, and it is a `widelink`.

```
cluster1::> vserver cifs symlink create -vserver vs1 -unix-path /src/
-share-name SOURCE -cifs-path "/mycompany/source/" -cifs-server
123.123.123.123 -locality widelink
```

## Related information

[Configure UNIX symbolic link support on shares](#)

## ONTAP commands for managing SMB symbolic link mappings

There are specific ONTAP commands for managing symbolic link mappings.

If you want to...	Use this command...
Create a symbolic link mapping	<code>vserver cifs symlink create</code>
Display information about symbolic link mappings	<code>vserver cifs symlink show</code>

If you want to...	Use this command...
Modify a symbolic link mapping	<code>vserver cifs symlink modify</code>
Delete a symbolic link mapping	<code>vserver cifs symlink delete</code>

Learn more about `vserver cifs symlink` in the [ONTAP command reference](#).

## Windows backup applications and Unix-style symlinks on ONTAP SMB servers

When a backup application running on Windows encounters a Unix-style symbolic link (symlink), the link is followed and the data is backed up. Beginning with ONTAP 9.15.1, you have the option of backing up the symlinks instead of the data. This feature is fully supported with ONTAP FlexGroup volumes and FlexVols.

### Overview

Before you change how ONTAP handles symlinks during a Windows backup operation, you should be familiar with the benefits, key concepts, and configuration options.

### Benefits

When this feature is disabled or unavailable, each symlink is traversed and the data it links to is backed up. Because of this, unnecessary data can sometimes be backed up and in certain situations the application might end up in a loop. Backing up the symlinks instead avoids these issues. And because the symlink files are very small compared to the data in most cases, the backups take less time to complete. The overall performance of the cluster can also improve because of the reduced IO operations.

### Windows server environment

This feature is supported for backup applications running on Windows. You should understand the relevant technical aspects of the environment before using it.

### Extended attributes

Windows supports extended attributes (EA) which collectively form additional metadata optionally associated with the files. These attributes are used by various applications, such as the Windows Subsystem for Linux as described at [File Permissions for WSL](#). Applications can request extended attributes for each file when reading data from ONTAP.

The symlinks are returned in the extended attributes when the feature is enabled. Therefore a backup application must provide standard EA support which is used to store the metadata. Some Windows utilities support and preserve the extended attributes. However, if the backup software does not support backing up and restoring the extended attributes, it will not preserve the metadata associated with each file and fail to process the symlinks properly.

### Windows configuration

Backup applications running on a Microsoft Windows server can be granted a special privilege allowing them to bypass normal file security. This is typically done by adding the applications to the Backup Operators group. The apps can then back up and restore files as needed as well as perform other related system operations. There are subtle changes to the SMB protocol used by the backup applications which can be detected by ONTAP as the data is read and written.



## Requirements

The symlink backup feature has several requirements including:

- Your cluster is running ONTAP 9.15.1 or later.
- A Windows backup application that has been granted special backup privileges.
- The backup application must also support extended attributes and request them during the backup operations.
- The ONTAP symlink backup feature is enabled for the applicable data SVM.

## Configuration options

In addition to the ONTAP CLI, you can also manage this feature using the REST API. See [What's new with the ONTAP REST API and automation](#) for more information. The configuration determining how ONTAP processes the Unix-style symlinks must be performed separately for each SVM.

## Enable the symlink backup feature in ONTAP

A configuration option has been introduced to an existing CLI command with ONTAP 9.15.1. You can use this option to enable or disable Unix-style symlink processing.

## Before you begin

Review the basic [Requirements](#). In addition:

- Be able to elevate your CLI privilege to the advanced level.
- Determine the data SVM you want to modify. The SVM `vs1` is used in the example command.

## Steps

1. Set the advanced privilege level.

```
set privilege advanced
```

2. Enable symlink file backup.

```
vserver cifs options modify -vserver vs1 -is-backup-symlink-enabled true
```

# Use BranchCache to cache SMB share content at a branch office

## Learn about using BranchCache to cache ONTAP SMB share content at a branch office

BranchCache was developed by Microsoft to enable caching of content on computers local to requesting clients. ONTAP implementation of BranchCache can reduce wide-area network (WAN) utilization and provide improved access response time when users in a branch office access content stored on storage virtual machines (SVMs) using SMB.

If you configure BranchCache, Windows BranchCache clients first retrieve content from the SVM and then cache the content on a computer within the branch office. If another BranchCache-enabled client in the branch office requests the same content, the SVM first authenticates and authorizes the requesting user. The SVM then determines whether the cached content is still up-to-date and, if it is, sends the client metadata about the cached content. The client then uses the metadata to retrieve content directly from the locally based cache.

#### Related information

[Learn about using offline files to allow caching of files for offline use](#)

## Requirements and guidelines

### Learn about ONTAP SMB BranchCache version support

You should be aware of which BranchCache versions ONTAP supports.

ONTAP supports BranchCache 1 and the enhanced BranchCache 2:

- When you configure BranchCache on the SMB server for the storage virtual machine (SVM), you can enable BranchCache 1, BranchCache 2, or all versions.

By default, all versions are enabled.

- If you enable only BranchCache 2, the remote office Windows client machines must support BranchCache 2.

Only SMB 3.0 or later clients support BranchCache 2.

For more information about BranchCache versions, see the Microsoft TechNet Library.

#### Related information

[Microsoft TechNet Library: technet.microsoft.com/en-us/library/](https://technet.microsoft.com/en-us/library/)

### Learn about ONTAP SMB network protocol support requirements

You must be aware of the network protocol requirements for implementing ONTAP BranchCache.

You can implement the ONTAP BranchCache feature over IPv4 and IPv6 networks using SMB 2.1 or later.

All CIFS servers and branch office machines participating in the BranchCache implementation must have the SMB 2.1 or later protocol enabled. SMB 2.1 has protocol extensions that allow a client to participate in a BranchCache environment. This is the minimum SMB protocol version that offers BranchCache support. SMB 2.1 supports version BranchCache version 1.

If you want to use BranchCache version 2, SMB 3.0 is the minimum supported version. All CIFS servers and branch office machines participating in a BranchCache 2 implementation must have SMB 3.0 or later enabled.

If you have remote offices where some of the clients support only SMB 2.1 and some of the clients support SMB 3.0, you can implement a BranchCache configuration on the CIFS server that provides caching support over both BranchCache 1 and BranchCache 2.



Even though the Microsoft BranchCache feature supports using both the HTTP/HTTPS and SMB protocols as file access protocols, ONTAP BranchCache only supports the use of SMB.

## Learn about ONTAP SMB and Windows hosts version requirements

ONTAP and branch office Windows hosts must meet certain version requirements before you can configure BranchCache.

Before configuring BranchCache, you must ensure that the version of ONTAP on the cluster and participating branch office clients support SMB 2.1 or later and support the BranchCache feature. If you configure Hosted Cache mode, you must also ensure that you use a supported host for the cache server.

BranchCache 1 is supported on the following ONTAP versions and Windows hosts:

- Content server: storage virtual machine (SVM) with ONTAP
- Cache server: Windows Server 2008 R2 or Windows Server 2012 or later
- Peer or client: Windows 7 Enterprise, Windows 7 Ultimate, Windows 8, Windows Server 2008 R2 or Windows Server 2012 or later

BranchCache 2 is supported on the following ONTAP versions and Windows hosts:

- Content server: SVM with ONTAP
- Cache server: Windows Server 2012 or later
- Peer or client: Windows 8 or Windows Server 2012 or later

## Learn about the reasons ONTAP SMB invalidates BranchCache hashes

Understanding the reasons why ONTAP invalidates hashes can be helpful as you plan your BranchCache configuration. It can help you decide which operating mode you should configure and can help you choose on which shares to enable BranchCache.

ONTAP must manage BranchCache hashes to ensure that hashes are valid. If a hash is not valid, ONTAP invalidates the hash and computes a new hash the next time that content is requested, assuming that BranchCache is still enabled.

ONTAP invalidates hashes for the following reasons:

- The server key is modified.

If the server key is modified, ONTAP invalidates all hashes in the hash store.

- A hash is flushed from the cache because the BranchCache hash store maximum size has been reached.

This is a tunable parameter and can be modified to meet your business requirements.

- A file is modified either through SMB or NFS access.
- A file for which there are computed hashes is restored using the `snap restore` command.
- A volume that contains SMB shares that are BranchCache-enabled is restored using the `snap restore` command.

## Learn about choosing the ONTAP SMB hash store location

When configuring BranchCache, you choose where to store hashes and what size the hash store should be. Understanding the guidelines when choosing the hash store

location and size can help you plan your BranchCache configuration on a CIFS-enabled SVM.

- You should locate the hash store on a volume where atime updates are permitted.

The access time on a hash file is used to keep frequently accessed files in the hash store. If atime updates are disabled, the creation time is used for this purpose. It is preferable to use atime to track frequently used files.

- You cannot store hashes on read-only file systems such as SnapMirror destinations and SnapLock volumes.
- If the maximum size of the hash store is reached, older hashes are flushed to make room for new hashes.

You can increase the maximum size of the hash store to reduce the amount of hashes that are flushed from the cache.

- If the volume on which you store hashes is unavailable or full, or if there is an issue with intra-cluster communication where the BranchCache service cannot retrieve hash information, BranchCache services are not available.

The volume might be unavailable because it is offline or because the storage administrator specified a new location for the hash store.

This does not cause issues with file access. If access to the hash store is impeded, ONTAP returns a Microsoft-defined error to the client, which causes the client to request the file using the normal SMB read request.

#### Related information

- [Configure BranchCache on servers](#)
- [Modify BranchCache configurations on shares](#)

#### Learn about ONTAP SMB BranchCache recommendations

Before you configure BranchCache, there are certain recommendations you should keep in mind when deciding on which SMB shares you want to enable BranchCache caching.

You should keep the following recommendations in mind when deciding on which operating mode to use and on which SMB shares to enable BranchCache:

- The benefits of BranchCache are reduced when the data to be remotely cached changes frequently.
- BranchCache services are beneficial for shares containing file content that is reused by multiple remote office clients or by file content that is repeatedly accessed by a single remote user.
- Consider enabling caching for read-only content such as data in snapshots and SnapMirror destinations.

## Configure BranchCache

#### Learn about ONTAP SMB BranchCache configuration

You configure BranchCache on your SMB server using ONTAP commands. To implement BranchCache, you must also configure your clients, and optionally your hosted cache servers at the branch offices where you want to cache content.

If you configure BranchCache to enable caching on a share-by-share basis, you must enable BranchCache on the SMB shares for which you want to provide BranchCache caching services.

**Requirements for configuring ONTAP SMB BranchCache**

After meeting some prerequisites, you can set up BranchCache.

The following requirements must be met before configuring BranchCache on the CIFS server for your SVM:

- ONTAP must be installed on all nodes in the cluster.
- CIFS must be licensed and a SMB server must be configured. The SMB license is included with [ONTAP One](#). If you don't have ONTAP One and the license is not installed, contact your sales representative.
- IPv4 or IPv6 network connectivity must be configured.
- For BranchCache 1, SMB 2.1 or later must be enabled.
- For BranchCache 2, SMB 3.0 must be enabled and the remote Windows clients must support BranchCache 2.

**Configure BranchCache on ONTAP SMB servers**

You can configure BranchCache to provide BranchCache services on a per-share basis. Alternatively, you can configure BranchCache to automatically enable caching on all SMB shares.

**About this task**

You can configure BranchCache on SVMs.

- You can create an all-shares BranchCache configuration if want to offer caching services for all content contained within all SMB shares on the CIFS server.
- You can create a per-share BranchCache configuration if you want to offer caching services for content contained within selected SMB shares on the CIFS server.

You must specify the following parameters when configuring BranchCache:

Required parameters	Description
<i>SVM name</i>	BranchCache is configured on a per SVM basis. You must specify on which CIFS-enabled SVM you want to configure the BranchCache service.

Required parameters	Description
<i>Path to hash store</i>	<p>BranchCache hashes are stored in regular files on the SVM volume. You must specify the path to an existing directory where you want ONTAP to store the hash data. The BranchCache hash path must be read-writable. Read-only paths, such as snapshot directories are not allowed. You can store hash data in a volume that contains other data or you can create a separate volume to store hash data.</p> <p>If the SVM is an SVM disaster recovery source, the hash path cannot be on the root volume. This is because the root volume is not replicated to the disaster recovery destination.</p> <p>The hash path can contain blanks and any valid file name characters.</p>

You can optionally specify the following parameters:

Optional parameters	Description
<i>Supported Versions</i>	ONTAP support BranchCache 1 and 2. You can enable version 1, version 2, or both versions. The default is to enable both versions.
<i>Maximum size of hash store</i>	<p>You can specify the size to use for the hash data store. If the hash data exceeds this value, ONTAP deletes older hashes to make room for newer hashes. The default size for the hash store is 1 GB.</p> <p>BranchCache performs more efficiently if hashes are not discarded in an overly aggressive manner. If you determine that hashes are discarded frequently because the hash store is full, you can increase the hash store size by modifying the BranchCache configuration.</p>
<i>Server key</i>	You can specify a server key that the BranchCache service uses to prevent clients from impersonating the BranchCache server. If you do not specify a server key, one is randomly generated when you create the BranchCache configuration. You can set the server key to a specific value so that if multiple servers are providing BranchCache data for the same files, clients can use hashes from any server using that same server key. If the server key contains any spaces, you must enclose the server key in quotation marks.

Optional parameters	Description
<i>Operating mode</i>	<p>The default is to enable BranchCache on a per-share basis.</p> <ul style="list-style-type: none"> <li>• To create a BranchCache configuration where you enable BranchCache on a per-share basis, you can either not specify this optional parameter or you can specify <code>per-share</code>.</li> <li>• To automatically enable BranchCache on all shares, you must set the operating mode to <code>all-shares</code>.</li> </ul>

## Steps

1. Enable SMB 2.1 and 3.0 as needed:

- a. Set the privilege level to advanced: `set -privilege advanced`
- b. Check the configured SVM SMB settings to determine whether all needed versions of SMB are enabled: `vserver cifs options show -vserver vserver_name`
- c. If necessary, enable SMB 2.1: `vserver cifs options modify -vserver vserver_name -smb2-enabled true`

The command enables both SMB 2.0 and SMB 2.1.

- d. If necessary, enable SMB 3.0: `vserver cifs options modify -vserver vserver_name -smb3-enabled true`
- e. Return to the admin privilege level: `set -privilege admin`

2. Configure BranchCache: `vserver cifs branchcache create -vserver vserver_name -hash -store-path path [-hash-store-max-size {integer[KB|MB|GB|TB|PB]}] [-versions {v1-enable|v2-enable|enable-all}] [-server-key text] -operating-mode {per-share|all-shares}`

The specified hash storage path must exist and must reside on a volume managed by the SVM. The path must also be located on a read-writable volume. The command fails if the path is read-only or does not exist.

If you want to use the same server key for additional SVM BranchCache configurations, record the value you enter for the server key. The server key does not appear when you display information about the BranchCache configuration.

3. Verify that the BranchCache configuration is correct: `vserver cifs branchcache show -vserver vserver_name`

## Examples

The following commands verify that both SMB 2.1 and 3.0 are enabled and configure BranchCache to automatically enable caching on all SMB shares on SVM vs1:

```

cluster1::> set -privilege advanced
Warning: These advanced commands are potentially dangerous; use them
only when directed to do so by technical support personnel.
Do you wish to continue? (y or n): y

cluster1::*> vserver cifs options show -vserver vs1 -fields smb2-
enabled,smb3-enabled
vserver smb2-enabled smb3-enabled
-----
vs1      true      true

cluster1::*> set -privilege admin

cluster1::> vserver cifs branchcache create -vserver vs1 -hash-store-path
/hash_data -hash-store-max-size 20GB -versions enable-all -server-key "my
server key" -operating-mode all-shares

cluster1::> vserver cifs branchcache show -vserver vs1

                                Vserver: vs1
                Supported BranchCache Versions: enable_all
                        Path to Hash Store: /hash_data
                Maximum Size of the Hash Store: 20GB
Encryption Key Used to Secure the Hashes: -
                CIFS BranchCache Operating Modes: all_shares

```

The following commands verify that both SMB 2.1 and 3.0 are enabled, configure BranchCache to enable caching on a per-share basis on SVM vs1, and verify the BranchCache configuration:



```

cluster1::> set -privilege advanced
Warning: These advanced commands are potentially dangerous; use them
only when directed to do so by technical support personnel.
Do you wish to continue? (y or n): y

cluster1::*> vserver cifs options show -vserver vs1 -fields smb2-
enabled,smb3-enabled
vserver smb2-enabled smb3-enabled
-----
vs1      true      true

cluster1::*> set -privilege admin

cluster1::> vserver cifs branchcache create -vserver vs1 -hash-store-path
/hash_data -hash-store-max-size 20GB -versions enable-all -server-key "my
server key"

cluster1::> vserver cifs branchcache show -vserver vs1

                                Vserver: vs1
        Supported BranchCache Versions: enable_all
                                Path to Hash Store: /hash_data
        Maximum Size of the Hash Store: 20GB
Encryption Key Used to Secure the Hashes: -
        CIFS BranchCache Operating Modes: per_share

```

## Related information

- [Learn about BranchCache version support](#)
- [Learn about configuring BranchCache at the remote office](#)
- [Create a BranchCache-enabled SMB share](#)
- [Enable BranchCache on existing shares](#)
- [Modify BranchCache configurations on shares](#)
- [Learn about disabling BranchCache on shares](#)
- [Delete the BranchCache configuration on shares](#)

## Learn about configuring BranchCache at the remote office in ONTAP SMB

After configuring BranchCache on the SMB server, you must install and configure BranchCache on client computers and, optionally, on caching servers at your remote office. Microsoft provides instructions for configuring BranchCache at the remote office.

Instructions for configuring branch office clients and, optionally, caching servers to use BranchCache are on the Microsoft BranchCache web site.

[Microsoft BranchCache Docs: What's New](#)

## Configure BranchCache-enabled SMB shares

### Learn about configuring BranchCache-enabled ONTAP SMB shares

After you configure BranchCache on the SMB server and at the branch office, you can enable BranchCache on SMB shares that contain content that you want to allow clients at branch offices to cache.

BranchCache caching can be enabled on all SMB shares on the SMB server or on a share-by-share basis.

- If you enable BranchCache on a share-by-share basis, you can enable BranchCache as you create the share or by modifying existing shares.

If you enable caching on an existing SMB share, ONTAP begins computing hashes and sending metadata to clients requesting content as soon as you enable BranchCache on that share.

- Any clients that have an existing SMB connection to a share do not get BranchCache support if BranchCache is subsequently enabled on that share.

ONTAP advertises BranchCache support for a share at the time the SMB session is set up. Clients that already have established sessions when BranchCache is enabled need to disconnect and reconnect to use cached content for this share.



If BranchCache on a SMB share is subsequently disabled, ONTAP stops sending metadata to the requesting client. A client that needs data retrieves it directly from the content server (SMB server).

### Create BranchCache-enabled ONTAP SMB shares

You can enable BranchCache on an SMB share when you create the share by setting the `branchcache` share property.

#### About this task

- If BranchCache is enabled on the SMB share, the share must have the offline files configuration set to manual caching.

This is the default setting when you create a share.

- You can also specify additional optional share parameters when you create the BranchCache-enabled share.
- You can set the `branchcache` property on a share even if BranchCache is not configured and enabled on the storage virtual machine (SVM).

However, if you want the share to offer cached content, you must configure and enable BranchCache on the SVM.

- Since there are no default share properties applied to the share when you use the `-share-properties` parameter, you must specify all other share properties that you want applied to the share in addition to the `branchcache` share property by using a comma-delimited list.
- Learn more about `vserver cifs share create` in the [ONTAP command reference](#).

## Step

1. Create a BranchCache-enabled SMB share:

```
vserver cifs share create -vserver vserver_name -share-name share_name -path path -share-properties branchcache[,...]
```

2. Verify that the BranchCache share property is set on the SMB share by using the `vserver cifs share show` command.

## Example

The following command creates a BranchCache-enabled SMB share named “data” with a path of /data on SVM vs1. By default, the offline files setting is set to manual:

```
cluster1::> vserver cifs share create -vserver vs1 -share-name data -path /data -share-properties branchcache,oplocks,browsable,changenotify

cluster1::> vserver cifs share show -vserver vs1 -share-name data
                Vserver: vs1
                Share: data
    CIFS Server NetBIOS Name: VS1
                Path: /data
    Share Properties: branchcache
                    oplocks
                    browsable
                    changenotify
    Symlink Properties: enable
    File Mode Creation Mask: -
    Directory Mode Creation Mask: -
    Share Comment: -
                Share ACL: Everyone / Full Control
    File Attribute Cache Lifetime: -
                Volume Name: data
                Offline Files: manual
    Vscan File-Operations Profile: standard
```

## Related information

[Disable BranchCache on a single share](#)

## Enable BranchCache on existing ONTAP SMB shares

You can enable BranchCache on an existing SMB share by adding the `branchcache` share property to the existing list of share properties.

## About this task

- If BranchCache is enabled on the SMB share, the share must have the offline files configuration set to manual caching.

If the existing share’s offline files setting is not set to manual caching, you must configure it by modifying the share.

- You can set the `branchcache` property on a share even if BranchCache is not configured and enabled on the storage virtual machine (SVM).

However, if you want the share to offer cached content, you must configure and enable BranchCache on the SVM.

- When you add the `branchcache` share property to the share, existing share settings and share properties are preserved.

The BranchCache share property is added to the existing list of share properties.

Learn more about `vserver cifs share properties add` in the [ONTAP command reference](#).

## Steps

1. If necessary, configure the offline files share setting for manual caching:
  - a. Determine what the offline files share setting is by using the `vserver cifs share show` command.
  - b. If the offline files share setting is not set to manual, change it to the required value: `vserver cifs share modify -vserver vserver_name -share-name share_name -offline-files manual`
2. Enable BranchCache on an existing SMB share: `vserver cifs share properties add -vserver vserver_name -share-name share_name -share-properties branchcache`
3. Verify that the BranchCache share property is set on the SMB share: `vserver cifs share show -vserver vserver_name -share-name share_name`

## Example

The following command enables BranchCache on an existing SMB share named “data2” with a path of /data2 on SVM vs1:

```
cluster1::> vservice cifs share show -vservice vs1 -share-name data2
```

```

    Vservice: vs1
    Share: data2
    CIFS Server NetBIOS Name: VS1
    Path: /data2
    Share Properties: oplocks
                     browsable
                     changenotify
                     showsnapshot
    Symlink Properties: -
    File Mode Creation Mask: -
    Directory Mode Creation Mask: -
    Share Comment: -
    Share ACL: Everyone / Full Control
File Attribute Cache Lifetime: 10s
    Volume Name: -
    Offline Files: manual
Vscan File-Operations Profile: standard
```

```
cluster1::> vservice cifs share properties add -vservice vs1 -share-name
data2 -share-properties branchcache
```

```
cluster1::> vservice cifs share show -vservice vs1 -share-name data2
```

```

    Vservice: vs1
    Share: data2
    CIFS Server NetBIOS Name: VS1
    Path: /data2
    Share Properties: oplocks
                     browsable
                     showsnapshot
                     changenotify
                     branchcache
    Symlink Properties: -
    File Mode Creation Mask: -
    Directory Mode Creation Mask: -
    Share Comment: -
    Share ACL: Everyone / Full Control
File Attribute Cache Lifetime: 10s
    Volume Name: -
    Offline Files: manual
Vscan File-Operations Profile: standard
```

## Related information

- [Add or remove share properties on existing shares](#)
- [Disable BranchCache on a single share](#)

## Manage and monitor the BranchCache configuration

### Modify BranchCache configurations on ONTAP SMB shares

You can modify the configuration of the BranchCache service on SVMs, including changing the hash store directory path, the hash store maximum directory size, the operating mode, and which BranchCache versions are supported. You can also increase the size of the volume that contains the hash store.

#### Steps

1. Perform the appropriate action:

If you want to...	Enter the following...
Modify the hash store directory size	<pre>vserver cifs branchcache modify -vserver vserver_name -hash-store-max -size {integer[KB MB GB TB PB]}</pre>
Increase the size of the volume that contains the hash store	<pre>volume size -vserver vserver_name -volume volume_name -new-size new_size[k m g t]</pre> <p>If the volume containing the hash store fills up, you might be able to increase the size of the volume. You can specify the new volume size as a number followed by a unit designation.</p> <p>Learn more about <a href="#">managing FlexVol volumes</a></p>

If you want to...	Enter the following...
Modify the hash store directory path	<pre>vserver cifs branchcache modify -vserver vserver_name -hash-store-path path -flush-hashes {true false}</pre> <p>If the SVM is an SVM disaster recovery source, the hash path cannot be on the root volume. This is because the root volume is not replicated to the disaster recovery destination.</p> <p>The BranchCache hash path can contain blanks and any valid file name characters.</p> <p>If you modify the hash path, <code>-flush-hashes</code> is a required parameter that specifies whether you want ONTAP to flush the hashes from the original hash store location. You can set the following values for the <code>-flush-hashes</code> parameter:</p> <ul style="list-style-type: none"> <li>• If you specify <code>true</code>, ONTAP deletes the hashes in the original location and creates new hashes in the new location as new requests are made by BranchCache-enabled clients.</li> <li>• If you specify <code>false</code>, the hashes are not flushed.</li> </ul> <p>In this case, you can choose to reuse the existing hashes later by changing the hash store path back to the original location.</p>
Change the operating mode	<pre>vserver cifs branchcache modify -vserver vserver_name -operating-mode {per-share all-shares disable}</pre> <p>You should be aware of the following when modifying the operating mode:</p> <ul style="list-style-type: none"> <li>• ONTAP advertises BranchCache support for a share when the SMB session is set up.</li> <li>• Clients that already have established sessions when BranchCache is enabled need to disconnect and reconnect to use cached content for this share.</li> </ul>
Change the BranchCache version support	<pre>vserver cifs branchcache modify -vserver vserver_name -versions {v1- enable v2-enable enable-all}</pre>

2. Verify the configuration changes by using the `vserver cifs branchcache show` command.

## Display information about BranchCache configurations on ONTAP SMB shares

You can display information about BranchCache configurations on storage virtual machines (SVMs), which can be used when verifying a configuration or when determining current settings before modifying a configuration.

### Step

1. Perform one of the following actions:

If you want to display...	Enter this command...
Summary information about BranchCache configurations on all SVMs	<code>vserver cifs branchcache show</code>
Detailed information about the configuration on a specific SVM	<code>vserver cifs branchcache show -vserver <i>vserver_name</i></code>

### Example

The following example displays information about the BranchCache configuration on SVM vs1:

```
cluster1::> vserver cifs branchcache show -vserver vs1

                Vserver: vs1
Supported BranchCache Versions: enable_all
                Path to Hash Store: /hash_data
Maximum Size of the Hash Store: 20GB
Encryption Key Used to Secure the Hashes: -
CIFS BranchCache Operating Modes: per_share
```

## Change the ONTAP SMB BranchCache server key

You can change the BranchCache server key by modifying the BranchCache configuration on the storage virtual machine (SVM) and specifying a different server key.

### About this task

You can set the server key to a specific value so that if multiple servers are providing BranchCache data for the same files, clients can use hashes from any server using that same server key.

When you change the server key, you must also flush the hash cache. After flushing the hashes, ONTAP creates new hashes as new requests are made by BranchCache-enabled clients.

### Steps

1. Change the server key by using the following command: `vserver cifs branchcache modify -vserver vserver_name -server-key text -flush-hashes true`

When configuring a new server key, you must also specify `-flush-hashes` and set the value to `true`.



2. Verify that the BranchCache configuration is correct by using the `vserver cifs branchcache show` command.

### Example

The following example sets a new server key that contains spaces and flushes the hash cache on SVM vs1:

```
cluster1::> vserver cifs branchcache modify -vserver vs1 -server-key "new
vserver secret" -flush-hashes true

cluster1::> vserver cifs branchcache show -vserver vs1

                Vserver: vs1
Supported BranchCache Versions: enable_all
                Path to Hash Store: /hash_data
Maximum Size of the Hash Store: 20GB
Encryption Key Used to Secure the Hashes: -
CIFS BranchCache Operating Modes: per_share
```

### Related information

[Learn about the reasons ONTAP invalidates BranchCache hashes](#)

### Pre-compute BranchCache hashes on specified ONTAP SMB paths

You can configure the BranchCache service to pre-compute hashes for a single file, for a directory, or for all files in a directory structure. This can be helpful if you want to compute hashes on data in a BranchCache-enabled share during off, non-peak hours.

#### About this task

If you want to collect a data sample before you display hash statistics, you must use the `statistics start` and optional `statistics stop` commands.

- You must specify the storage virtual machine (SVM) and path on which you want to pre-compute hashes.
- You must also specify whether you want hashes computed recursively.
- If you want hashes computed recursively, the BranchCache service traverses the entire directory tree under the specified path, and computes hashes for each eligible object.

Learn more about `statistics start` and `statistics stop` in the [ONTAP command reference](#).

### Steps

1. Pre-compute hashes as desired:

If you want to pre-compute hashes on...	Enter the command...
A single file or directory	<pre>vserver cifs branchcache hash-create -vserver vserver_name -path path -recurse false</pre>

If you want to pre-compute hashes on...	Enter the command...
Recursively on all files in a directory structure	<code>vserver cifs branchcache hash-create -vserver vserver_name -path absolute_path -recurse true</code>

2. Verify that hashes are being computed by using the `statistics` command:

- a. Display statistics for the `hashd` object on the desired SVM instance: `statistics show -object hashd -instance vserver_name`
- b. Verify that the number of hashes created is increasing by repeating the command.

Learn more about `statistics show` in the [ONTAP command reference](#).

### Examples

The following example creates hashes on the path `/data` and on all contained files and subdirectories on SVM vs1:

```
cluster1::> vserver cifs branchcache hash-create -vserver vs1 -path /data
-recurse true
```

```
cluster1::> statistics show -object hashd -instance vs1
```

Object: hashd

Instance: vs1

Start-time: 9/6/2012 19:09:54

End-time: 9/6/2012 19:11:15

Cluster: cluster1

Counter	Value
branchcache_hash_created	85
branchcache_hash_files_replaced	0
branchcache_hash_rejected	0
branchcache_hash_store_bytes	0
branchcache_hash_store_size	0
instance_name	vs1
node_name	node1
node_uuid	11111111-1111-1111-1111-111111111111
process_name	-

```
cluster1::> statistics show -object hashd -instance vs1
```

Object: hashd

Instance: vs1

Start-time: 9/6/2012 19:09:54

End-time: 9/6/2012 19:11:15

Cluster: cluster1

Counter	Value
branchcache_hash_created	92
branchcache_hash_files_replaced	0
branchcache_hash_rejected	0
branchcache_hash_store_bytes	0
branchcache_hash_store_size	0
instance_name	vs1
node_name	node1
node_uuid	11111111-1111-1111-1111-111111111111
process_name	-

## Related information

- [Performance monitoring setup](#)

## Flush hashes from the ONTAP SMB SVM BranchCache hash store

You can flush all cached hashes from the BranchCache hash store on the storage virtual machine (SVM). This can be useful if you have changed the branch office BranchCache configuration. For example, if you recently reconfigured the caching mode from distributed caching to hosted caching mode, you would want to flush the hash store.

### About this task

After flushing the hashes, ONTAP creates new hashes as new requests are made by BranchCache-enabled clients.

### Step

1. Flush the hashes from the BranchCache hash store: `vserver cifs branchcache hash-flush -vserver vserver_name`

```
vserver cifs branchcache hash-flush -vserver vs1
```

## Display ONTAP SMB BranchCache statistics

You can display BranchCache statistics to, among other things, identify how well caching is performing, determine whether your configuration is providing cached content to clients, and determine whether hash files were deleted to make room for more recent hash data.

### About this task

The `hashd` statistic object contains counters that provide statistical information about BranchCache hashes. The `cifs` statistic object contains counters that provide statistical information about BranchCache-related activity. You can collect and display information about these objects at the advanced-privilege level.

### Steps

1. Set the privilege level to advanced: `set -privilege advanced`

```
cluster1::> set -privilege advanced
```

```
Warning: These advanced commands are potentially dangerous; use them  
only when directed to do so by support personnel.
```

```
Do you want to continue? {y|n}: y
```

2. Display the BranchCache-related counters by using the `statistics catalog counter show` command.

```
cluster1::*> statistics catalog counter show -object hashd
```

```
Object: hashd
```

Counter	Description
---------	-------------

-----	
-------	--

-----	
-------	--

branchcache_hash_created	Number of times a request to generate BranchCache hash for a file succeeded.
branchcache_hash_files_replaced	Number of times a BranchCache hash file was deleted to make room for more recent hash data. This happens if the hash store size is exceeded.
branchcache_hash_rejected	Number of times a request to generate BranchCache hash data failed.
branchcache_hash_store_bytes	Total number of bytes used to store hash data.
branchcache_hash_store_size	Total space used to store BranchCache hash data for the Vserver.
instance_name	Instance Name
instance_uuid	Instance UUID
node_name	System node name
node_uuid	System node id

9 entries were displayed.

```
cluster1::*> statistics catalog counter show -object cifs
```

Object: cifs

Counter	Description
-----	-----
active_searches	Number of active searches over SMB and SMB2
auth_reject_too_many	Authentication refused after too many requests were made in rapid succession
avg_directory_depth	Average number of directories crossed by SMB and SMB2 path-based commands
avg_junction_depth	Average number of junctions crossed by SMB and SMB2 path-based commands
branchcache_hash_fetch_fail	Total number of times a request to fetch hash data failed. These are failures when attempting to read existing hash data. It does not include attempts to fetch hash

```

data
                                that has not yet been generated.
    branchcache_hash_fetch_ok    Total number of times a request to fetch
hash                               data succeeded.
    branchcache_hash_sent_bytes  Total number of bytes sent to clients
                                requesting hashes.
    branchcache_missing_hash_bytes
                                Total number of bytes of data that had
to be                               read by the client because the hash for
that                               content was not available on the server.
    ....Output truncated....

```

Learn more about statistics catalog counter show in the [ONTAP command reference](#).

3. Collect BranchCache-related statistics by using the `statistics start` and `statistics stop` commands.

```

cluster1::*> statistics start -object cifs -vserver vs1 -sample-id 11
Statistics collection is being started for Sample-id: 11

cluster1::*> statistics stop -sample-id 11
Statistics collection is being stopped for Sample-id: 11

```

Learn more about `statistics start` and `statistics stop` in the [ONTAP command reference](#).

4. Display the collected BranchCache statistics by using the `statistics show` command.

```
cluster1::*> statistics show -object cifs -counter  
branchcache_hash_sent_bytes -sample-id 11
```

```
Object: cifs  
Instance: vs1  
Start-time: 12/26/2012 19:50:24  
End-time: 12/26/2012 19:51:01  
Cluster: cluster1
```

Counter	Value
branchcache_hash_sent_bytes	0
branchcache_hash_sent_bytes	0
branchcache_hash_sent_bytes	0
branchcache_hash_sent_bytes	0

```
cluster1::*> statistics show -object cifs -counter  
branchcache_missing_hash_bytes -sample-id 11
```

```
Object: cifs  
Instance: vs1  
Start-time: 12/26/2012 19:50:24  
End-time: 12/26/2012 19:51:01  
Cluster: cluster1
```

Counter	Value
branchcache_missing_hash_bytes	0
branchcache_missing_hash_bytes	0
branchcache_missing_hash_bytes	0
branchcache_missing_hash_bytes	0

Learn more about `statistics show` in the [ONTAP command reference](#).

5. Return to the admin privilege level: `set -privilege admin`

```
cluster1::*> set -privilege admin
```

## Related information

- [Display statistics](#)
- [Performance monitoring setup](#)
- [statistics start](#)
- [statistics stop](#)

## Learn about ONTAP SMB support for BranchCache Group Policy Objects

ONTAP BranchCache provides support for BranchCache Group Policy Objects (GPOs), which allow centralized management for certain BranchCache configuration parameters. There are two GPOs used for BranchCache, the Hash Publication for BranchCache GPO and the Hash Version Support for BranchCache GPO.

- **Hash Publication for BranchCache GPO**

The Hash Publication for BranchCache GPO corresponds to the `-operating-mode` parameter. When GPO updates occur, this value is applied to storage virtual machine (SVM) objects contained within the organizational unit (OU) to which the group policy applies.

- **Hash Version Support for BranchCache GPO**

The Hash Version Support for BranchCache GPO corresponds to the `-versions` parameter. When GPO updates occur, this value is applied to SVM objects contained within the organizational unit to which the group policy applies.

### Related information

[Learn about applying Group Policy Objects to SMB servers](#)

## Display information about ONTAP SMB BranchCache Group Policy Objects

You can display information about the CIFS server's Group Policy Object (GPO) configuration to determine whether BranchCache GPOs are defined for the domain to which the CIFS server belongs and, if so, what the allowed settings are. You can also determine whether BranchCache GPO settings are applied to the CIFS server.

### About this task

Even though a GPO setting is defined within the domain to which the CIFS server belongs, it is not necessarily applied to the organizational unit (OU) containing the CIFS-enabled storage virtual machine (SVM). Applied GPO settings are the subset of all defined GPOs that are applied to the CIFS-enabled SVM. BranchCache settings applied through GPOs override settings applied through the CLI.

### Steps

1. Display the defined BranchCache GPO setting for the Active Directory domain by using the `vserver cifs group-policy show-defined` command.



This example does not display all of the available output fields for the command. Output is truncated.



```
cluster1::> vserver cifs group-policy show-defined -vserver vs1
```

```
Vserver: vs1
```

```
-----
```

```
    GPO Name: Default Domain Policy
```

```
    Level: Domain
```

```
    Status: enabled
```

```
Advanced Audit Settings:
```

```
    Object Access:
```

```
        Central Access Policy Staging: failure
```

```
Registry Settings:
```

```
    Refresh Time Interval: 22
```

```
    Refresh Random Offset: 8
```

```
    Hash Publication Mode for BranchCache: per-share
```

```
    Hash Version Support for BranchCache: version1
```

```
[...]
```

```
    GPO Name: Resultant Set of Policy
```

```
    Status: enabled
```

```
Advanced Audit Settings:
```

```
    Object Access:
```

```
        Central Access Policy Staging: failure
```

```
Registry Settings:
```

```
    Refresh Time Interval: 22
```

```
    Refresh Random Offset: 8
```

```
    Hash Publication for Mode BranchCache: per-share
```

```
    Hash Version Support for BranchCache: version1
```

```
[...]
```

2. Display the BranchCache GPO setting applied to the CIFS server by using the `vserver cifs group-policy show-applied` command. ``



This example does not display all of the available output fields for the command. Output is truncated.

```
cluster1::> vserver cifs group-policy show-applied -vserver vs1
```

```
Vserver: vs1
```

```
-----
```

```
    GPO Name: Default Domain Policy
```

```
        Level: Domain
```

```
        Status: enabled
```

```
Advanced Audit Settings:
```

```
    Object Access:
```

```
        Central Access Policy Staging: failure
```

```
Registry Settings:
```

```
    Refresh Time Interval: 22
```

```
    Refresh Random Offset: 8
```

```
    Hash Publication Mode for BranchCache: per-share
```

```
    Hash Version Support for BranchCache: version1
```

```
[...]
```

```
    GPO Name: Resultant Set of Policy
```

```
        Level: RSOP
```

```
Advanced Audit Settings:
```

```
    Object Access:
```

```
        Central Access Policy Staging: failure
```

```
Registry Settings:
```

```
    Refresh Time Interval: 22
```

```
    Refresh Random Offset: 8
```

```
    Hash Publication Mode for BranchCache: per-share
```

```
    Hash Version Support for BranchCache: version1
```

```
[...]
```

## Related information

- [Enable or disable GPO support on servers](#)
- [vserver cifs group-policy show-defined](#)
- [vserver cifs group-policy show-applied](#)

## Disable BranchCache on SMB shares

### Learn about disabling BranchCache on ONTAP SMB shares

If you do not want to provide BranchCache caching services on certain SMB shares but you might want to provide caching services on those shares later, you can disable BranchCache on a share-by-share basis. If you have BranchCache configured to offer caching on all shares but you want to temporarily disable all caching services, you can modify the BranchCache configuration to stop automatic caching on all shares.

If BranchCache on an SMB share is subsequently disabled after first being enabled, ONTAP stops sending

metadata to the requesting client. A client that needs data retrieves it directly from the content server (CIFS server on the storage virtual machine (SVM)).

#### **Related information**

[Learn about configuring BranchCache-enabled shares](#)

#### **Disable BranchCache on a single ONTAP SMB share**

If you do not want to offer caching services on certain shares that previously offered cached content, you can disable BranchCache on an existing SMB share.

#### **Step**

1. Enter the following command: `vserver cifs share properties remove -vserver vserver_name -share-name share_name -share-properties branchcache`

The BranchCache share property is removed. Other applied share properties remain in effect.

#### **Example**

The following command disables BranchCache on an existing SMB share named “data2”:

```
cluster1::> vservice cifs share show -vservice vs1 -share-name data2
```

```

    Vservice: vs1
    Share: data2
CIFS Server NetBIOS Name: VS1
    Path: /data2
    Share Properties: oplocks
                     browsable
                     changenotify
                     attributecache
                     branchcache
    Symlink Properties: -
    File Mode Creation Mask: -
    Directory Mode Creation Mask: -
    Share Comment: -
    Share ACL: Everyone / Full Control
File Attribute Cache Lifetime: 10s
    Volume Name: -
    Offline Files: manual
Vscan File-Operations Profile: standard
```

```
cluster1::> vservice cifs share properties remove -vservice vs1 -share-name
data2 -share-properties branchcache
```

```
cluster1::> vservice cifs share show -vservice vs1 -share-name data2
```

```

    Vservice: vs1
    Share: data2
CIFS Server NetBIOS Name: VS1
    Path: /data2
    Share Properties: oplocks
                     browsable
                     changenotify
                     attributecache
    Symlink Properties: -
    File Mode Creation Mask: -
    Directory Mode Creation Mask: -
    Share Comment: -
    Share ACL: Everyone / Full Control
File Attribute Cache Lifetime: 10s
    Volume Name: -
    Offline Files: manual
Vscan File-Operations Profile: standard
```

## Stop automatic caching on all ONTAP SMB shares

If your BranchCache configuration automatically enables caching on all SMB shares on each storage virtual machine (SVM), you can modify the BranchCache configuration to stop automatically caching content for all SMB shares.

### About this task

To stop automatic caching on all SMB shares, you change the BranchCache operating mode to per-share caching.

### Steps

1. Configure BranchCache to stop automatic caching on all SMB shares: `vserver cifs branchcache modify -vserver vserver_name -operating-mode per-share`
2. Verify that the BranchCache configuration is correct: `vserver cifs branchcache show -vserver vserver_name`

### Example

The following command changes the BranchCache configuration on storage virtual machine (SVM, formerly known as Vserver) vs1 to stop automatic caching on all SMB shares:

```
cluster1::> vserver cifs branchcache modify -vserver vs1 -operating-mode
per-share

cluster1::> vserver cifs branchcache show -vserver vs1

                Vserver: vs1
Supported BranchCache Versions: enable_all
                Path to Hash Store: /hash_data
Maximum Size of the Hash Store: 20GB
Encryption Key Used to Secure the Hashes: -
CIFS BranchCache Operating Modes: per_share
```

## Disable or enable BranchCache on the SVM

### Learn what happens when you disable or reenable BranchCache on ONTAP SMB servers

If you previously configured BranchCache but do not want the branch office clients to use cached content, you can disable caching on the CIFS server. You must be aware of what happens when you disable BranchCache.

When you disable BranchCache, ONTAP no longer computes hashes or sends the metadata to the requesting client. However, there is no interruption to file access. Thereafter, when BranchCache-enabled clients request metadata information for content they want to access, ONTAP responds with a Microsoft-defined error, which causes the client to send a second request, requesting the actual content. In response to the request for content, the CIFS server sends the actual content that is stored on the storage virtual machine (SVM).

After BranchCache is disabled on the CIFS server, SMB shares do not advertise BranchCache capabilities. To access data on new SMB connections, clients make normal read SMB requests.

You can reenable BranchCache on the CIFS server at any time.

- Because the hash store is not deleted when you disable BranchCache, ONTAP can use the stored hashes when replying to hash requests after you reenable BranchCache, provided that the requested hash is still valid.
- Any clients that have made SMB connections to BranchCache-enabled shares during the time when BranchCache was disabled do not get BranchCache support if BranchCache is subsequently reenabled.

This is because ONTAP advertises BranchCache support for a share at the time the SMB session is set up. Clients that established sessions to BranchCache-enabled shares while BranchCache was disabled need to disconnect and reconnect to use cached content for this share.



If you do not want to save the hash store after you disable BranchCache on a CIFS server, you can manually delete it. If you reenable BranchCache, you must ensure that the hash store directory exists. After BranchCache is reenabled, BranchCache-enabled shares advertise BranchCache capabilities. ONTAP creates new hashes as new requests are made by BranchCache-enabled clients.

## Disable or enable BranchCache on ONTAP SMB shares

You can disable BranchCache on the storage virtual machine (SVM) by changing the BranchCache operating mode to `disabled`. You can enable BranchCache at any time by changing the operating mode to either offer BranchCache services per-share or automatically for all shares.

### Steps

1. Run the appropriate command:

If you want to...	Then enter the following...
Disable BranchCache	<pre>vserver cifs branchcache modify -vserver vserver_name -operating-mode disable</pre>
Enable BranchCache per share	<pre>vserver cifs branchcache modify -vserver vserver_name -operating-mode per-share</pre>
Enable BranchCache for all shares	<pre>vserver cifs branchcache modify -vserver vserver_name -operating-mode all-shares</pre>

2. Verify that the BranchCache operating mode is configured with the desired setting: `vserver cifs branchcache show -vserver vserver_name`

### Example

The following example disables BranchCache on SVM vs1:

```
cluster1::> vserver cifs branchcache modify -vserver vs1 -operating-mode
disable

cluster1::> vserver cifs branchcache show -vserver vs1

                Vserver: vs1
    Supported BranchCache Versions: enable_all
                Path to Hash Store: /hash_data
    Maximum Size of the Hash Store: 20GB
Encryption Key Used to Secure the Hashes: -
    CIFS BranchCache Operating Modes: disable
```

## Delete the BranchCache configuration on SVMs

### Learn what happens when you delete the BranchCache configuration on ONTAP SMB shares

If you previously configured BranchCache but do not want the storage virtual machine (SVM) to continue providing cached content, you can delete the BranchCache configuration on the CIFS server. You must be aware of what happens when you delete the configuration.

When you delete the configuration, ONTAP removes the configuration information for that SVM from the cluster and stops the BranchCache service. You can choose whether ONTAP should delete the hash store on the SVM.

Deleting the BranchCache configuration does not disrupt access by BranchCache-enabled clients. Thereafter, when BranchCache-enabled clients request metadata information on existing SMB connections for content that is already cached, ONTAP responds with a Microsoft defined error, which causes the client to send a second request, requesting the actual content. In response to the request for content, the CIFS server sends the actual content that is stored on the SVM

After the BranchCache configuration is deleted, SMB shares do not advertise BranchCache capabilities. To access content that has not previously been cached using new SMB connections, clients make normal read SMB requests.

### Delete the BranchCache configuration on ONTAP SMB shares

The command you use for deleting the BranchCache service on your storage virtual machine (SVM) differs depending on whether you want to delete or keep existing hashes.

#### Step

1. Run the appropriate command:

If you want to...	Then enter the following...
Delete the BranchCache configuration and delete existing hashes	<pre>vserver cifs branchcache delete -vserver vserver_name -flush-hashes true</pre>

If you want to...	Then enter the following...
Delete the BranchCache configuration but keep existing hashes	<pre>vserver cifs branchcache delete -vserver vserver_name -flush-hashes false</pre>

### Example

The following example deletes the BranchCache configuration on SVM vs1 and deletes all existing hashes:

```
cluster1::> vserver cifs branchcache delete -vserver vs1 -flush-hashes
true
```

## Learn what happens to ONTAP SMB BranchCache when reverting

It is important to understand what happens when you revert ONTAP to a release that does not support BranchCache.

- When you revert to a version of ONTAP that does not support BranchCache, the SMB shares do not advertise BranchCache capabilities to BranchCache-enabled clients; therefore, the clients do not request hash information.

Instead, they request the actual content using normal SMB read requests. In response to the request for content, the SMB server sends the actual content that is stored on the storage virtual machine (SVM).

- When a node hosting a hash store is reverted to a release that does not support BranchCache, the storage administrator needs to manually revert the BranchCache configuration using a command that is printed out during the revert.

This command deletes the BranchCache configuration and hashes.

After the revert completes, the storage administrator can manually delete the directory that contained the hash store if desired.

### Related information

[Delete the BranchCache configuration on shares](#)

## Improve Microsoft remote copy performance

### Learn about Microsoft remote copy performance improvements on ONTAP SMB servers

Microsoft Offloaded Data Transfer (ODX), also known as *copy offload*, enables direct data transfers within or between compatible storage devices without transferring the data through the host computer.

ONTAP supports ODX for both the SMB and SAN protocols. The source can be either a CIFS server or LUN, and the destination can be either a CIFS server or LUN.



In non-ODX file transfers, the data is read from the source and is transferred across the network to the client computer. The client computer transfers the data back over the network to the destination. In summary, the client computer reads the data from the source and writes it to the destination. With ODX file transfers, data is copied directly from the source to the destination.

Because ODX offloaded copies are performed directly between the source and destination storage, there are significant performance benefits. The performance benefits realized include faster copy time between source and destination, reduced resource utilization (CPU, memory) on the client, and reduced network I/O bandwidth utilization.

For SMB environments, this functionality is only available when both the client and the storage server support SMB 3.0 and the ODX feature. For SAN environments, this functionality is only available when both the client and the storage server support the ODX feature. Client computers that support ODX and have ODX enabled automatically and transparently use offloaded file transfer when moving or copying files. ODX is used irrespective of whether you drag-and-drop files through Windows Explorer or use command-line file copy commands, or whether a client application initiates file copy requests.

#### Related information

- [Learn about improving client response time by providing automatic node referrals with Auto Location](#)
- [SMB configuration for Microsoft Hyper-V and SQL Server](#)

## Learn about ODX on ONTAP SMB servers

ODX copy offload uses a token-based mechanism for reading and writing data within or between ODX-enabled CIFS servers. Instead of routing the data through the host, the CIFS server sends a small token, which represents the data, to the client. The ODX client presents that token to the destination server, which then can transfer the data represented by that token from the source to the destination.

When an ODX client learns that the CIFS server is ODX-capable, it opens the source file and requests a token from the CIFS server. After opening the destination file, the client uses the token to instruct the server to copy the data directly from the source to the destination.

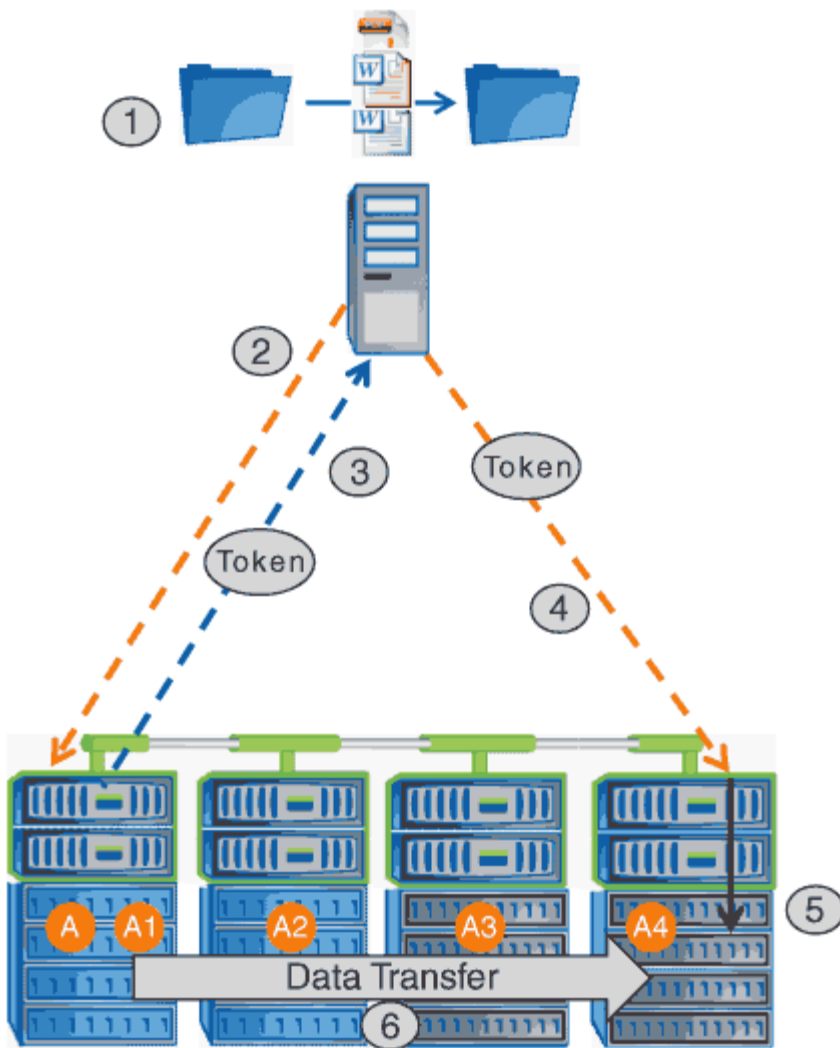


The source and destination can be on the same storage virtual machine (SVM) or on different SVMs, depending on the scope of the copy operation.

The token serves as a point-in-time representation of the data. As an example, when you copy data between storage locations, a token representing a data segment is returned to the requesting client, which the client copies to the destination, thereby removing the need to copy the underlying data through the client.

ONTAP supports tokens that represent 8 MB of data. ODX copies of greater than 8 MB are performed by using multiple tokens, with each token representing 8 MB of data.

The following figure explains the steps that are involved with an ODX copy operation:



1. A user copies or moves a file by using Windows Explorer, a command-line interface, or as part of a virtual machine migration, or an application initiates file copies or moves.
2. The ODX-capable client automatically translates this transfer request into an ODX request.

The ODX request that is sent to the CIFS server contains a request for a token.

3. If ODX is enabled on the CIFS server and the connection is over SMB 3.0, the CIFS server generates a token, which is a logical representation of the data on the source.
4. The client receives a token that represents the data and sends it with the write request to the destination CIFS server.

This is the only data that is copied over the network from the source to the client and then from the client to the destination.

5. The token is delivered to the storage subsystem.
6. The SVM internally performs the copy or move.

If the file that is copied or moved is larger than 8 MB, multiple tokens are needed to perform the copy. Steps 2 through 6 as performed as needed to complete the copy.



If there is a failure with the ODX offloaded copy, the copy or move operation falls back to traditional reads and writes for the copy or move operation. Similarly, if the destination CIFS server does not support ODX or ODX is disabled, the copy or move operation falls back to traditional reads and writes for the copy or move operation.

## Requirements for using ODX on ONTAP SMB servers

Before you can use ODX for copy offloads with your storage virtual machine (SVM), you need to be aware of certain requirements.

### ONTAP version requirements

ONTAP releases support ODX for copy offloads.

### SMB version requirements

- ONTAP supports ODX with SMB 3.0 and later.
- SMB 3.0 must be enabled on the CIFS server before ODX can be enabled:
  - Enabling ODX also enables SMB 3.0, if it is not already enabled.
  - Disabling SMB 3.0 also disables ODX.

### Windows server and client requirements

Before you can use ODX for copy offloads, the Windows client must support the feature.

The [NetApp Interoperability Matrix](#) contains the latest information about supported Windows clients.

### Volume requirements

- Source volumes must be a minimum of 1.25 GB.
- If you use compressed volumes, the compression type must be adaptive and only compression group size 8K is supported.

Secondary compression type is not supported.

## Guidelines for using ODX on ONTAP SMB servers

Before you can use ODX for copy offload, you need to be aware of the guidelines. For example, you need to know on which types of volumes you can use ODX and you need to understand the intra-cluster and inter-cluster ODX considerations.

### Volume guidelines

- You cannot use ODX for copy offload with the following volume configurations:
  - Source volume size is less than 1.25 GB

The volume size must be 1.25 GB or larger to use ODX.

- Read-only volumes

ODX is not used for files and folders residing in load-sharing mirrors or in SnapMirror or SnapVault destination volumes.

- If the source volume is not deduplicated
- ODX copies are supported only for intra-cluster copies.

You cannot use ODX to copy files or folders to a volume in another cluster.

### Other guidelines

- In SMB environments, to use ODX for copy offload, the files must be 256 kb or larger.

Smaller files are transferred using a traditional copy operation.

- ODX copy offload uses deduplication as part of the copy process.

If you do not want deduplication to occur on SVM volumes when copying or moving data, you should disable ODX copy offload on that SVM.

- The application that performs the data transfer must be written to support ODX.

Application operations that support ODX include the following:

- Hyper-V management operations, such as creating and converting virtual hard disks (VHDs), managing snapshots, and copying files between virtual machines
- Windows Explorer operations
- Windows PowerShell copy commands
- Windows command prompt copy commands

Robocopy at the Windows command prompt supports ODX.



The applications must be running on Windows servers or clients that support ODX.

For more information about supported ODX applications on Windows servers and clients, consult the Microsoft TechNet Library.

### Related information

Microsoft TechNet Library: [technet.microsoft.com/en-us/library/](https://technet.microsoft.com/en-us/library/)

## Use cases for ODX on ONTAP SMB servers

You should be aware of the use cases for using ODX on SVMs so that you can determine under what circumstances ODX provides you with performance benefits.

Windows servers and clients that support ODX use copy offload as the default way of copying data across remote servers. If the Windows server or client does not support ODX or the ODX copy offload fails at any point, the copy or move operation falls back to traditional reads and writes for the copy or move operation.

The following use cases support using ODX copies and moves:

- Intra-volume

The source and destination files or LUNs are within the same volume.

- Inter-volume, same node, same SVM

The source and destination files or LUNs are on different volumes that are located on the same node. The data is owned by the same SVM.

- Inter-volume, different nodes, same SVM

The source and destination files or LUNs are on different volumes that are located on different nodes. The data is owned by the same SVM.

- Inter-SVM, same node

The source and destination file or LUNs are on different volumes that are located on the same node. The data is owned by different SVMs.

- Inter-SVM, different nodes

The source and destination file or LUNs are on different volumes that are located on different nodes. The data is owned by different SVMs.

- Inter-cluster

The source and destination LUNs are on different volumes that are located on different nodes across clusters. This is only supported for SAN and does not work for CIFS.

There are some additional special use cases:

- With the ONTAP ODX implementation, you can use ODX to copy files between SMB shares and FC or iSCSI attached virtual drives.

You can use Windows Explorer, the Windows CLI or PowerShell, Hyper-V, or other applications that support ODX to copy or move files seamlessly using ODX copy offload between SMB shares and connected LUNs, provided that the SMB shares and LUNs are on the same cluster.

- Hyper-V provides some additional use cases for ODX copy offload:
  - You can use ODX copy offload pass-through with Hyper-V to copy data within or across virtual hard disk (VHD) files or to copy data between mapped SMB shares and connected iSCSI LUNs within the same cluster.

This allows copies from guest operating systems to pass through to the underlying storage.

- When creating fixed-sized VHDs, ODX is used for initializing the disk with zeros, using a well-known zeroed token.
- ODX copy offload is used for virtual machine storage migration if the source and destination storage is on the same cluster.



To take advantage of the use cases for ODX copy offload pass-through with Hyper-V, the guest operating system must support ODX and the guest operating system's disks must be SCSI disks backed by storage (either SMB or SAN) that supports ODX. IDE disks on the guest operating system do not support ODX pass-through.

## Enable or disable ODX on ONTAP SMB servers

You can enable or disable ODX on storage virtual machines (SVMs). The default is to enable support for ODX copy offload if SMB 3.0 is also enabled.

### Before you begin

SMB 3.0 must be enabled.

### About this task

If you disable SMB 3.0, ONTAP also disables SMB ODX. If you reenables SMB 3.0, you must manually reenables SMB ODX.

### Steps

1. Set the privilege level to advanced: `set -privilege advanced`
2. Perform one of the following actions:

If you want ODX copy offload to be...	Enter the command...
Enabled	<code>vserver cifs options modify -vserver vserver_name -copy-offload-enabled true</code>
Disabled	<code>vserver cifs options modify -vserver vserver_name -copy-offload-enabled false</code>

3. Return to the admin privilege level: `set -privilege admin`

### Example

The following example enables ODX copy offload on SVM vs1:

```
cluster1::> set -privilege advanced
Warning: These advanced commands are potentially dangerous; use them
only when directed to do so by technical support personnel.
Do you wish to continue? (y or n): y

cluster1::*> vserver cifs options modify -vserver vs1 -copy-offload
-enabled true

cluster1::*> set -privilege admin
```

### Related information

[Available server options](#)

# Improve client response time by providing SMB automatic node referrals with Auto Location

## Learn about improving client response time by providing ONTAP SMB automatic node referrals with Auto Location

Auto Location uses SMB automatic node referrals to increase SMB client performance on storage virtual machines (SVMs). Automatic node referrals automatically redirect the requesting client to a LIF on the node SVM that is hosting the volume in which the data resides, which can lead to improved client response times.

When an SMB client connects to an SMB share hosted on the SVM, it might connect using a LIF that is on a node that does not own the requested data. The node to which the client is connected accesses data owned by another node by using the cluster network. The client can experience faster response times if the SMB connection uses a LIF located on the node containing the requested data:

- ONTAP provides this functionality by using Microsoft DFS referrals to inform SMB clients that a requested file or folder in the namespace is hosted somewhere else.

A node makes a referral when it determines that there is an SVM LIF on the node containing the data.

- Automatic node referrals are supported for IPv4 and IPv6 LIF IP addresses.
- Referrals are made based on the location of the root of the share through which the client is connected.
- The referral occurs during SMB negotiation.

The referral is made before the connection is established. After ONTAP refers the SMB client to the target node, the connection is made, and the client accesses data through the referred LIF path from that point on. This allows the clients faster access to the data and avoids extra cluster communication.



If a share spans multiple junction points and some of the junctions are to volumes contained on other nodes, data within the share is spread across multiple nodes. Because ONTAP provides referrals that are local to the root of the share, ONTAP must use the cluster network to retrieve the data contained within these non-local volumes. With this type of namespace architecture, automatic node referrals might not provide significant performance benefits.

If the node hosting the data does not have an available LIF, ONTAP establishes the connection using the LIF chosen by the client. After a file is opened by an SMB client, it continues to access the file through the same referred connection.

If, for any reason, the CIFS server cannot make a referral, there is no disruption to SMB service. The SMB connection is established as if automatic node referrals were not enabled.

### Related information

[Improving Microsoft remote copy performance](#)

## Requirements and guidelines for using automatic node referrals on ONTAP SMB servers

Before you can use SMB automatic node referrals, also known as *autolocation*, you need

to be aware of certain requirements, including which versions of ONTAP support the feature. You also need to know about supported SMB protocol versions and certain other special guidelines.

### **ONTAP version and license requirements**

- All nodes in the cluster must be running a version of ONTAP that supports automatic node referrals.
- Widelinks must be enabled on a SMB share to use autolocation.
- CIFS must be licensed, and an SMB server must exist on the SVMs. The SMB license is included with [ONTAP One](#). If you don't have ONTAP One and the license is not installed, contact your sales representative.

### **SMB protocol version requirements**

- For SVMs, ONTAP supports automatic node referrals on all versions of SMB.

### **SMB client requirements**

All Microsoft clients supported by ONTAP support SMB automatic node referrals.

The Interoperability Matrix contains the latest information about which Windows clients ONTAP supports.

[NetApp Interoperability Matrix Tool](#)

### **Data LIF requirements**

If you want to use a data LIF as a potential referral for SMB clients, you must create data LIFs with both NFS and CIFS enabled.

Automatic node referrals can fail to work if the target node contains data LIFs that are enabled only for the NFS protocol, or enabled only for the SMB protocol.

If this requirement is not met, data access is not affected. The SMB client maps the share using the original LIF that the client used to connect to the SVM.

### **NTLM authentication requirements when making a referred SMB connection**

NTLM authentication must be allowed on the domain containing the CIFS server and on the domains containing clients that want to use automatic node referrals.

When making a referral, the SMB server refers an IP address to the Windows client. Because NTLM authentication is used when making a connection using an IP address, Kerberos authentication is not performed for referred connections.

This happens because the Windows client cannot craft the service principal name used by Kerberos (which is of the form `service/NetBIOS name` and `service/FQDN`), which means that the client cannot request a Kerberos ticket to the service.

### **Guidelines for using automatic node referrals with the home directory feature**

When shares are configured with the home directory share property enabled, there can be one or more home directory search paths configured for a home directory configuration. The search paths can point to volumes contained on each node containing SVM volumes. Clients receive a referral and, if an active, local data LIF is



available, connect through a referred LIF that is local to the home user's home directory.

There are guidelines when SMB 1.0 clients access dynamic home directories with automatic node referrals enabled. This is because SMB 1.0 clients require the automatic node referral before they have authenticated, which is before the SMB server has the user's name. However, SMB home directory access works correctly for SMB 1.0 clients if the following statements are true:

- SMB home directories are configured to use simple names, such as "%w" (Windows user name) or "%u" (mapped UNIX user name), and not domain-name style names, such as "%d\%w" (domain-name\user-name).
- When creating home directory shares, the CIFS home directory shares names are configured with variables ("%w" or "%u"), and not with static names, such as "HOME".

For SMB 2.x and SMB 3.0 clients, there are no special guidelines when accessing home directories using automatic node referrals.

### **Guidelines for disabling automatic node referrals on CIFS servers with existing referred connections**

If you disable automatic node referrals after the option has been enabled, clients currently connected to a referred LIF keep the referred connection. Because ONTAP uses DFS referrals as the mechanism for SMB automatic node referrals, clients can even reconnect to the referred LIF after you disable the option until the client's cached DFS referral for the referred connection times out. This is true even in the case of a revert to a version of ONTAP that does not support automatic node referrals. Clients continue to use referrals until the DFS referral times out from the client's cache.

Autolocation uses SMB automatic node referrals to increase SMB client performance by referring clients to the LIF on the node that owns the data volume of an SVM. When an SMB client connects to an SMB share hosted on an SVM, it might connect using a LIF on a node that does not own the requested data and uses cluster interconnect network to retrieve data. The client can experience faster response times if the SMB connection uses a LIF located on the node containing the requested data.

ONTAP provides this functionality by using Microsoft Distributed File System (DFS) referrals to inform SMB clients that a requested file or folder in the namespace is hosted somewhere else. A node makes a referral when it determines that there is an SVM LIF on the node containing the data. Referrals are made based on the location of the root of the share through which the client is connected.

The referral occurs during SMB negotiation. The referral is made before the connection is established. After ONTAP refers the SMB client to the target node, the connection is made, and the client accesses data through the referred LIF path from that point on. This allows the clients faster access to the data and avoids extra cluster communication.

### **Guidelines for using automatic node referrals with Mac OS clients**

Mac OS X clients do not support SMB automatic node referrals, even though the Mac OS supports Microsoft's Distributed File System (DFS). Windows clients make a DFS referral request before connecting to an SMB share. ONTAP provides a referral to a data LIF found on the same node that hosts the requested data, which leads to improved client response times. Although the Mac OS supports DFS, Mac OS clients do not behave exactly like Windows clients in this area.

### **Related information**

- [Learn about enabling dynamic home directories on servers](#)
- [Network management](#)
- [NetApp Interoperability Matrix Tool](#)

## Support for ONTAP SMB automatic node referrals

Before you enable SMB automatic node referrals, you should be aware that certain ONTAP functionality does not support referrals.

- The following types of volumes do not support SMB automatic node referrals:
  - Read-only members of a load-sharing mirror
  - Destination volume of a data-protection mirror
- Node referrals do not move alongside a LIF move.

If a client is using a referred connection over an SMB 2.x or SMB 3.0 connection and a data LIF moves nondisruptively, the client continues to use the same referred connection, even if the LIF is no longer local to the data.

- Node referrals do not move alongside a volume move.

If a client is using a referred connection over any SMB connection and a volume move occurs, the client continues to use the same referred connection, even if the volume is no longer located on the same node as the data LIF.

## Enable or disable ONTAP SMB automatic node referrals

You can enable SMB automatic node referrals to increase SMB client access performance. You can disable automatic node referrals if you do not want ONTAP to make referrals to SMB clients.

### Before you begin

A CIFS server must be configured and running on the storage virtual machine (SVM).

### About this task

The SMB automatic node referrals functionality is disabled by default. You can enable or disable this functionality on each SVM as required.

This option is available at the advanced privilege level.

### Steps

1. Set the privilege level to advanced: `set -privilege advanced`
2. Enable or disable SMB automatic node referrals as required:

If you want SMB automatic node referrals to be...	Enter the following command...
Enabled	<pre>vserver cifs options modify -vserver vserver_name -is-referral-enabled true</pre>
Disabled	<pre>vserver cifs options modify -vserver vserver_name -is-referral-enabled false</pre>

The option setting takes effect for new SMB sessions. Clients with existing connection can use node referral only when their existing cache timeout expires.

3. Switch to the admin privilege level: `set -privilege admin`

#### Related information

[Available server options](#)

## Use statistics to monitor ONTAP SMB automatic node referral activity

To determine how many SMB connections are referred, you can monitor automatic node referral activity by using the `statistics` command. By monitoring referrals you can determine the extent to which automatic referrals are locating connections on nodes that host the shares and whether you should redistribute your data LIFs to provide better local access to shares on the CIFS server.

#### About this task

The `cifs` object provides several counters at the advanced privilege level that are helpful when monitoring SMB automatic node referrals:

- `node_referral_issued`

Number of clients that have been issued a referral to the share root's node after the client connected using a LIF hosted by a node different from the share root's node.

- `node_referral_local`

Number of clients that connected using a LIF hosted by the same node that hosts the share root. Local access generally provides optimal performance.

- `node_referral_not_possible`

Number of clients that have not been issued a referral to the node hosting the share root after connecting using a LIF hosted by a node different from the share root's node. This is because an active data LIF for the share root's node was not found.

- `node_referral_remote`

Number of clients that connected using a LIF hosted by a node different from the node that hosts the share root. Remote access might result in degraded performance.

You can monitor automatic node referral statistics on your storage virtual machine (SVM) by collecting and viewing data for a specific time period (a sample). You can view data from the sample if you do not stop data collection. Stopping data collection gives you a fixed sample. Not stopping data collection gives you the ability to get updated data that you can use to compare against previous queries. The comparison can help you identify performance trends.



To evaluate and use the information you gather from the `statistics` command, you should understand the distribution of clients in your environments.

#### Steps

1. Set the privilege level to advanced: `set -privilege advanced`
2. View automatic node referral statistics by using the `statistics` command.

This example views automatic node referral statistics by collecting and viewing data for a sampled time period:

- a. Start the collection: `statistics start -object cifs -instance vs1 -sample-id sample1`

```
Statistics collection is being started for Sample-id: sample1
```

- b. Wait for the desired collection time to elapse.
- c. Stop the collection: `statistics stop -sample-id sample1`

```
Statistics collection is being stopped for Sample-id: sample1
```

Learn more about `statistics start` and `statistics stop` in the [ONTAP command reference](#).

- d. View the automatic node referral statistics: `statistics show -sample-id sample1 -counter node`

```
Object: cifs
Instance: vs1
Start-time: 2/4/2013 19:27:02
End-time: 2/4/2013 19:30:11
Cluster: cluster1
```

Counter	Value
node_name	node1
node_referral_issued	0
node_referral_local	1
node_referral_not_possible	2
node_referral_remote	2
...	
node_name	node2
node_referral_issued	2
node_referral_local	1
node_referral_not_possible	0
node_referral_remote	2
...	

Output displays counters for all nodes participating in SVM vs1. For clarity, only output fields related to

automatic node referral statistics are provided in the example.

Learn more about `statistics show` in the [ONTAP command reference](#).

3. Return to the admin privilege level: `set -privilege admin`

#### Related information

- [Display statistics](#)
- [Performance monitoring setup](#)

## Monitor client-side ONTAP SMB automatic node referral information using a Windows client

To determine what referrals are made from the client's perspective, you can use the Windows `dfsutil.exe` utility.

The Remote Server Administration Tools (RSAT) kit available with Windows 7 and later clients contains the `dfsutil.exe` utility. Using this utility, you can display information about the contents of the referral cache as well as view information about each referral that the client is currently using. You can also use the utility to clear the client's referral cache. For more information, consult the Microsoft TechNet Library.

#### Related information

[Microsoft TechNet Library: technet.microsoft.com/en-us/library/](http://technet.microsoft.com/en-us/library/)

## Provide folder security on shares with access-based enumeration

### Provide ONTAP SMB folder security on shares with access-based enumeration

When access-based enumeration (ABE) is enabled on an SMB share, users who do not have permission to access a folder or file contained within the share (whether through individual or group permission restrictions) do not see that shared resource displayed in their environment, although the share itself remains visible.

Conventional share properties allow you to specify which users (individually or in groups) have permission to view or modify files or folders contained within the share. However, they do not allow you to control whether folders or files within the share are visible to users who do not have permission to access them. This could pose problems if the names of these folders or files within the share describe sensitive information, such as the names of customers or products under development.

Access-based enumeration (ABE) extends share properties to include the enumeration of files and folders within the share. ABE therefore enables you to filter the display of files and folders within the share based on user access rights. That is, the share itself would be visible to all users, but files and folders within the share could be displayed to or hidden from designated users. In addition to protecting sensitive information in your workplace, ABE enables you to simplify the display of large directory structures for the benefit of users who do not need access to your full range of content. For example, the share itself would be visible to all users, but files and folders within the share could be displayed or hidden.

Learn about [Performance impact when using SMB/CIFS Access Based Enumeration](#).

## Enable or disable access-based enumeration on ONTAP SMB shares

You can enable or disable access-based enumeration (ABE) on SMB shares to allow or prevent users from seeing shared resources that they do not have permission to access.

### About this task

By default, ABE is disabled.

### Steps

1. Perform one of the following actions:

If you want to...	Enter the command...
Enable ABE on a new share	<pre>vserver cifs share create -vserver vserver_name -share-name share_name -path path -share-properties access- based-enumeration</pre> <p>You can specify additional optional share settings and additional share properties when you create an SMB share.</p> <p>Learn more about <code>vserver cifs share create</code> in the <a href="#">ONTAP command reference</a>.</p>
Enable ABE on an existing share	<pre>vserver cifs share properties add -vserver vserver_name -share-name share_name -share-properties access- based-enumeration</pre> <p>Existing share properties are preserved. The ABE share property is added to the existing list of share properties.</p>
Disable ABE on an existing share	<pre>vserver cifs share properties remove -vserver vserver_name -share-name share_name -share-properties access- based-enumeration</pre> <p>Other share properties are preserved. Only the ABE share property is removed from the list of share properties.</p>

2. Verify that the share configuration is correct by using the `vserver cifs share show` command.

### Examples

The following example creates an ABE SMB share named “sales” with a path of `/sales` on SVM `vs1`. The share is created with `access-based-enumeration` as a share property:

```
cluster1::> vservers cifs share create -vservers vs1 -share-name sales -path
/sales -share-properties access-based-
enumeration,oplocks,browsable,changeNotify

cluster1::> vservers cifs share show -vservers vs1 -share-name sales

Vserver: vs1
Share: sales
CIFS Server NetBIOS Name: VS1
Path: /sales
Share Properties: access-based-enumeration
                  oplocks
                  browsable
                  changeNotify
SymLink Properties: enable
File Mode Creation Mask: -
Directory Mode Creation Mask: -
Share Comment: -
Share ACL: Everyone / Full Control
File Attribute Cache Lifetime: -
Volume Name: -
Offline Files: manual
Vscan File-Operations Profile: standard
```

The following example adds the access-based-enumeration share property to an SMB share named “data2”:

```
cluster1::> vservers cifs share properties add -vservers vs1 -share-name
data2 -share-properties access-based-enumeration

cluster1::> vservers cifs share show -vservers vs1 -share-name data2 -fields
share-name,share-properties
server  share-name share-properties
-----
vs1     data2      oplocks,browsable,changeNotify,access-based-enumeration
```

## Related information

[Add or remove share properties on existing shares](#)

## Enable or disable access-based enumeration from a Windows client on ONTAP SMB shares

You can enable or disable access-based enumeration (ABE) on SMB shares from a Windows client, which allows you to configure this share setting without needing to

connect to the CIFS server.



The `abecmd` utility is not available in new versions of Windows Server and Windows clients. It was released as part of Windows Server 2008. Support ended for Windows Server 2008 on January 14, 2020.

### Steps

1. From a Windows client that supports ABE, enter the following command: `abecmd [/enable | /disable] [/server CIFS_server_name] {/all | share_name}`

For more information about the `abecmd` command, see your Windows client documentation.



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