

# Firmware, system, and security updates ONTAP 9

NetApp December 21, 2024

This PDF was generated from https://docs.netapp.com/us-en/ontap/update/index.html on December 21, 2024. Always check docs.netapp.com for the latest.

# **Table of Contents**

Firmware, system, and security updates	1
Firmware, system, and security updates overview	1
How automatic updates are scheduled for installation	2
Enable automatic updates	3
Modify automatic updates	5
Manage recommended automatic updates	5
Update firmware manually	6

# Firmware, system, and security updates

### Firmware, system, and security updates overview

Depending upon your version of ONTAP, you can enable automatic firmware, system, and security updates.

ONTAP Version	What's included in automatic updates
9.16.1 and later	<ul> <li>Autonomous Ransomware Protection with Artificial Intelligence (ARP/AI)</li> <li>ONTAP Time Zone Database</li> <li>Storage firmware for storage devices, disks, and disk shelves</li> <li>SP/BMC firmware for service processors and BMC modules</li> </ul>
9.13.1 and later	<ul> <li>ONTAP Time Zone Database</li> <li>Storage firmware for storage devices, disks, and disk shelves</li> <li>SP/BMC firmware for service processors and BMC modules</li> </ul>
9.10.1 and later	<ul> <li>Storage firmware for storage devices, disks, and disk shelves</li> <li>SP/BMC firmware for service processors and BMC modules</li> </ul>
9.9.1 and earlier	Not supported

If an automatic update is not available for your version of ONTAP or you don't have automatic updates enabled, you can perform firmware, Time Zone Database, and security updates manually.

### **Related links**

- · Learn to make firmware updates manually
- Knowledge Base article, How to update time zone information in ONTAP 9
- Learn to make security updates manually

### Video: Automatic firmware update feature

Take a look at the automatic firmware update feature available starting in ONTAP 9.10.1.

## **■** NetApp

Automatic Firmware Update feature is available starting in ONTAP 9.10.1

By Jim Svesnik, Quality Assurance Engineer



### How automatic updates are scheduled for installation

All eligible nodes within the same cluster are grouped together for automatic updates. The timeframe in which the eligible nodes are scheduled for automatic update varies based upon the priority level of the update and the percentage of systems in your environment that require the update.

For example, if 10% or less of your total systems are eligible for a non-priority update, the update is scheduled for all eligible systems within 1 week. However, if 76% or more of your total systems are eligible for a non-priority update, then the update is staggered across the eligible systems over the course of 8 weeks. This staggered installation helps to mitigate risks to your overall environment if there is an issue with an update that needs to be remedied.

The percentage of your total systems scheduled for automatic updates by week are as follows:

### For critical updates

% of systems requiring update	% of updates that occur week 1	% of updates that occur week 2
50% or less	100%	
50-100%	30%	70%

### For high priority updates

% of systems requiring update	% of updates that occur by week			
	week 1	week 2	week 3	week 4

% of systems requiring update	% of updates that occur by week			
25% or less	100%			
26-50%	30%	70%		
50-100%	10%	20%	30%	40%

### For normal priority updates

% of systems requiring update	% of upd	ates that oc	cur by weel	(				
	week 1	week 2	week 3	week 4	week 5	week 6	week 7	week 8
10% or less	100%							
11-20%	30%	70%						
21-50%	10%	20%	30%	40%				
51-75%	5%	10%	15%	20%	20%	30%		
76-100%	5%	5%	10%	10%	15%	15%	20%	20%

### **Enable automatic updates**

Enabling automatic updates allows ONTAP to download and install firmware, system, and security updates without your intervention.

Availability of automatic updates vary based upon your version of ONTAP.

ONTAP Version	Available automatic updates	Enabled by default to	
9.16.1 and later	Autonomous Ransomware Protection with Artificial Intelligence (ARP/AI)	Show notifications	
	ONTAP Time Zone Database		
	<ul> <li>Storage firmware for storage devices, disks, and disk shelves</li> </ul>		
	SP/BMC firmware for service processors and BMC modules		
9.13.1 and later	ONTAP Time Zone Database	Update automatically	
	<ul> <li>Storage firmware for storage devices, disks, and disk shelves</li> </ul>		
	SP/BMC firmware for service processors and BMC modules		

ONTAP Version	Available automatic updates	Enabled by default to
9.10.1 and later	<ul> <li>Storage firmware for storage devices, disks, and disk shelves</li> </ul>	Update automatically
	<ul> <li>SP/BMC firmware for service processors and BMC modules</li> </ul>	

### Before you begin

- You must have a current support entitlement. This can be validated on the NetApp support site in the System Details page.
- To enable automatic updates, you must first enable AutoSupport with HTTPS. If AutoSupport is not enabled on your cluster, or if AutoSupport is enabled on your cluster with another transport protocol, you will be given the option to enable it with HTTPS during this procedure.



AutoSupport OnDemand is enabled by default and functional when configured to send messages to technical support using HTTPS transport protocol.

### About this task

Depending on your version of ONTAP, the default settings on the **Enable automatic updates** page for firmware, system, or security files will be set to either update automatically or show notifications. Be sure to confirm that these settings are correct for your environment before completing the appropriate version-specific procedure.

### Example 1. Steps

### **ONTAP 9.16.1 and later**

- 1. In System Manager, navigate to Cluster > Settings.
- 2. If you do not have AutoSupport OnDemand enabled with HTTPS, click the ‡ to enable the settings needed to proceed.
- 3. In the **Software updates** section, click **Enable**.
- 4. Specify the action to be taken for each update type.

You can choose to automatically update, show notifications, or automatically dismiss the updates for each update type.

5. Accept the terms and conditions and select **Save**.

#### ONTAP 9.15.1 and earlier

- 1. In System Manager, click Events.
- 2. In the Overview section, next to Enable automatic update, click Actions > Enable.
- If you do not have AutoSupport with HTTPS enabled, select it to enable it.
- 4. Accept the terms and conditions and select **Save**.

### Related information

- Prepare to use AutoSupport
- Troubleshoot AutoSupport message delivery over HTTP or HTTPS

### Modify automatic updates

When automatic updates are enabled, by default ONTAP automatically detects, downloads, and installs all recommended updates. If you would like to view recommended updates before they are installed, or if you would like to have the recommendations automatically dismissed, you can modify the default behavior to your preference.

### **Example 2. Steps**

#### ONTAP 9.16.1 and later

- 1. In System Manager, navigate to Cluster > Settings.
- 2. In the **Software updates** section, select  $\rightarrow$ .
- 3. Select the All other updates tab and click Edit automatic update settings.
- 4. Specify the default actions to be taken for each update type.

You can choose to automatically update, show notifications, or automatically dismiss the updates for each update type.



The ONTAP Time Zone database is controlled by the **System files** update type.

5. Accept the terms and conditions and select **Save**.

### **ONTAP 9.15.1 and earlier**

- 1. In System Manager, click Cluster > Settings.
- 2. In the **Automatic Update** section, click to view a list of actions.
- 3. Click Edit Automatic Update Settings.
- 4. Specify the default actions to be taken for each update type.

You can choose to automatically update, show notifications, or automatically dismiss the updates for each type.



The ONTAP Time Zone database is controlled by the SYSTEM FILES update type.

### Manage recommended automatic updates

The automatic update log displays a list of update recommendations and details about each one, including a description, category, scheduled time to install, status, and any errors. You can view the log and then decide what action you would like to perform for each recommendation.

#### **Steps**

1. View the list of recommendations:

View from Cluster settings	View from update tab
a. Click Cluster > Settings.	a. Click Cluster > Overview.
<ul> <li>b. Do one of the following depending on your version of ONTAP:</li> </ul>	b. In the <b>Overview</b> section, click <b>More</b> ; then click <b>ONTAP Update</b> .
<ul> <li>For ONTAP 9.15.1 and earlier, in the</li> <li>Automatic Update section click , then</li> </ul>	c. Depending on your version of ONTAP do the following:
click the option to view all updates.  ∘ For ONTAP 9.16.1 and later, in the  Software updates section, select →. In the right corner of the All other updates pane, click More : then click the option to view all	<ul> <li>For ONTAP 9.15.1 and earlier, click</li> <li>Firmware Update.</li> </ul>
	<ul> <li>For ONTAP 9.16.1 and later, click All other updates.</li> </ul>
updates.	d. On the update page, click <b>More</b> ; then click the option to view all updates.

2. Click inext to the description to view a list of actions you can perform on the recommendation.

You can perform one of the following actions, depending on the state of the recommendation:

If the update is in this state	You can
Has not been scheduled	Update: Starts the updating process.  Schedule: Lets you set a date for starting the updating process.  Dismiss: Removes the recommendation from the list.
Has been scheduled	Update: Starts the updating process.  Edit Schedule: Lets you modify the scheduled date for starting the updating process.  Cancel Schedule: Cancels the scheduled date.
Has been dismissed	Undismiss: Returns the recommendation to the list.
Is being applied or is being downloaded	Cancel: Cancels the update.

### **Update firmware manually**

Beginning with ONTAP 9.9.1, if you are registered with Active IQ Unified Manager, you can receive alerts in System Manager that inform you when firmware updates for supported devices, such as disk, disk shelves, the service processor (SP), or the Baseboard Management Controller (BMC) are pending on the cluster.

If you are running ONTAP 9.8 or you are not registered with Active IQ Unified Manager, navigate to the NetApp Support Site to download firmware updates.

### Before you begin

To prepare for a smooth firmware update, you should reboot the SP or BMC before the update begins. Use the system service-processor reboot-sp -node node\_name command to reboot.

### Steps

Follow the appropriate procedure based upon your version of ONTAP and if you are registered with Active IQ Unified Manager.

### **ONTAP 9.16.1 and later with Digital Advisor**

### **Steps**

1. In System Manager, go to **Dashboard**.

In the **Health** section, a message displays if there are any recommended firmware updates for the cluster.

- 2. Click on the alert message.
- 3. Next to the security updates in the list of recommended updates, select Actions.
- 4. Click **Update** to install the update immediately or **Schedule** to schedule it for later.

If the update is already scheduled, you can **Edit** or **Cancel** it.

### ONTAP 9.9.1 to 9.15.1 with Digital Advisor

1. In System Manager, go to **Dashboard**.

In the **Health** section, a message displays if there are any recommended firmware updates for the cluster.

2. Click on the alert message.

The **Firmware Update** tab is displayed in the **Update** page.

3. Click **Download from NetApp Support Site** for the firmware update that you want to perform.

The NetApp Support Site is displayed.

- 4. Log into the NetApp Support Site and download the firmware image package needed for the update.
- 5. Copy the files to an HTTP or FTP server on your network or to a local folder.
- 6. In System Manager, click Cluster > Overview.
- 7. In the right corner of the **Overview** pane, click **More** and select **ONTAP Update**.
- 8. Click Firmware Update.
- 9. Depending on your version of ONTAP do the following:

ONTAP 9.9.1 and 9.10.0	ONTAP 9.10.1 and later
Select From Server or Local Client     Provide the server URL or the file location.	<ul> <li>a. In the list of recommended updates, select Actions.</li> </ul>
	<ul> <li>b. Click <b>Update</b> to install the update immediately or <b>Schedule</b> to schedule it for later.</li> </ul>
	If the update is already scheduled, you can <b>Edit</b> or <b>Cancel</b> it.
	c. Select the <b>Update Firmware</b> button.

### ONTAP 9.8 and later without Digital Advisor

- 1. Navigate to the NetApp Support Site and log in.
- 2. Select the firmware package that you want to use to update your cluster firmware.
- 3. Copy the files to an HTTP or FTP server on your network or to a local folder.
- 4. In System Manager, click Cluster > Overview.
- 5. In the right corner of the **Overview** pane, click **More** and select **ONTAP Update** or **Software** updates (depending on your version).
- 6. Depending on your version of ONTAP, do the following:
  - For ONTAP 9.15.1 and earlier, click Firmware Update.
  - For ONTAP 9.16.1 and later, click All other updates.
- 7. Depending on your version of ONTAP do the following:

ONTAP 9.8, 9.9.1, and 9.10.0	ONTAP 9.10.1 and later
a. Select From Server or Local Client     b. Provide the server URL or the file location.	<ul> <li>a. In the list of recommended updates, select Actions.</li> <li>b. Click Update to install the update immediately or Schedule to schedule it for later.</li> <li>If the update is already scheduled, you can Edit or Cancel it.</li> <li>c. Select the Update Firmware button.</li> </ul>

### After you finish

You can monitor or verify updates under **Firmware Update Summary**. To view updates that were dismissed or failed to install, do one of the following depending on your version of ONTAP:

- For ONTAP 9.15.1 and earlier, click Cluster > Settings > Automatic Update > View All Automatic Updates
- For ONTAP 9.16.1 and later, click Cluster > Settings > Software updates. In the right corner of the All other updates pane, click More i and select View all automatic updates.

### Copyright information

Copyright © 2024 NetApp, Inc. All Rights Reserved. Printed in the U.S. No part of this document covered by copyright may be reproduced in any form or by any means—graphic, electronic, or mechanical, including photocopying, recording, taping, or storage in an electronic retrieval system—without prior written permission of the copyright owner.

Software derived from copyrighted NetApp material is subject to the following license and disclaimer:

THIS SOFTWARE IS PROVIDED BY NETAPP "AS IS" AND WITHOUT ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WHICH ARE HEREBY DISCLAIMED. IN NO EVENT SHALL NETAPP BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

NetApp reserves the right to change any products described herein at any time, and without notice. NetApp assumes no responsibility or liability arising from the use of products described herein, except as expressly agreed to in writing by NetApp. The use or purchase of this product does not convey a license under any patent rights, trademark rights, or any other intellectual property rights of NetApp.

The product described in this manual may be protected by one or more U.S. patents, foreign patents, or pending applications.

LIMITED RIGHTS LEGEND: Use, duplication, or disclosure by the government is subject to restrictions as set forth in subparagraph (b)(3) of the Rights in Technical Data -Noncommercial Items at DFARS 252.227-7013 (FEB 2014) and FAR 52.227-19 (DEC 2007).

Data contained herein pertains to a commercial product and/or commercial service (as defined in FAR 2.101) and is proprietary to NetApp, Inc. All NetApp technical data and computer software provided under this Agreement is commercial in nature and developed solely at private expense. The U.S. Government has a non-exclusive, non-transferrable, nonsublicensable, worldwide, limited irrevocable license to use the Data only in connection with and in support of the U.S. Government contract under which the Data was delivered. Except as provided herein, the Data may not be used, disclosed, reproduced, modified, performed, or displayed without the prior written approval of NetApp, Inc. United States Government license rights for the Department of Defense are limited to those rights identified in DFARS clause 252.227-7015(b) (FEB 2014).

#### **Trademark information**

NETAPP, the NETAPP logo, and the marks listed at <a href="http://www.netapp.com/TM">http://www.netapp.com/TM</a> are trademarks of NetApp, Inc. Other company and product names may be trademarks of their respective owners.